Information and Intelligent Systems (IIS): Core Programs

PROGRAM SOLICITATION
NSF 16-581

REPLACES DOCUMENT(S):
NSF 15-574

National Science Foundation

Directorate for Computer & Information Science & Engineering
  Division of Information & Intelligent Systems

Submission Window Date(s) (due by 5 p.m. submitter's local time):

October 12, 2016 - October 19, 2016

October 12 - October 19, Annually Thereafter

     MEDIUM Projects

October 12, 2016 - October 19, 2016

October 12 - October 19, Annually Thereafter

     LARGE Projects

November 02, 2016 - November 16, 2016

November 2 - November 16, Annually Thereafter

     SMALL Projects

IMPORTANT INFORMATION AND REVISION NOTES

This is a revision of NSF 15-574, the solicitation for the CISE/IIS Core Programs. The revisions include: Under Additional Solicitation Specific Review Criteria, reviewers are now asked to provide specific evaluation of whether key personnel, and especially lead PIs, have allocated adequate time for both their individual technical contributions and the leadership of collaborative activities necessary to realize the synergistic effects of larger-scale research.

The following recent revisions to the *Grant Proposal Guide* (GPG) will be closely observed for all submissions to this solicitation:

- GPG Chapter II.C.2.d.i requires that, "The Project Description must contain, as a separate section within the narrative, a section labeled 'Broader Impacts'."
- GPG Chapter II.C.2.f clarifies the requirements for Biographical Sketch(es).
- GPG Chapter II.C.2.h revises requirements for reporting Current and Pending Support.
- GPG Chapter II.C.2.j Special Information and Supplementary Documentation, specifies the proper scope for letters of collaboration.

Any proposal submitted in response to this solicitation should be submitted in accordance with the revised *NSF Proposal & Award Policies & Procedures Guide* (PAPPG) (NSF 16-1), which is effective for proposals submitted, or due, on or after January 25, 2016.

SUMMARY OF PROGRAM REQUIREMENTS

General Information

Program Title:

     Information and Intelligent Systems (IIS): Core Programs

Synopsis of Program:

     CISE's Division of Information and Intelligent Systems (IIS) supports research and education projects that develop new knowledge in three **core programs:**

- The Cyber-Human Systems (CHS) program;
- The Information Integration and Informatics (III) program; and
- The Robust Intelligence (RI) program.

Proposals in the area of computer graphics and visualization may be submitted to any of the three core programs described above.

Proposers are invited to submit proposals in three project classes, which are defined as follows:

- Small Projects - up to $500,000 total budget with durations up to three years;
- Medium Projects - $500,001 to $1,200,000 total budget with durations up to four years; and
- Large Projects - $1,200,001 to $3,000,000 total budget with durations up to five years.

A more complete description of the three project classes can be found in section *II. Program Description* of this document.

Cognizant Program Officer(s):

Please note that the following information is current at the time of publishing. See program website for any updates to the points of contact.

- William S. Bainbridge, Point of Contact, Cyber-Human Systems (CHS), 1125, telephone: (703) 292-8930, email: wbainbri@nsf.gov
- Ephraim P. Glinert, Point of Contact, Cyber-Human Systems (CHS), 1125, telephone: (703) 292-8930, email: eglinert@nsf.gov
- Tatiana Korelsky, Point of Contact, Robust Intelligence (RI), 1125, telephone: (703) 292-8930, email: tkorelsk@nsf.gov
- Sylvia Spengler, Point of Contact, Information Integration and Informatics (III), 1122, telephone: (703) 292-8930, email: sspengle@nsf.gov
- Kenneth Whang, Point of Contact, Robust Intelligence (RI), 1125, telephone: (703) 292-8930, email: kwhang@nsf.gov
- Jie Yang, Point of Contact, Robust Intelligence (RI), 1125, telephone: (703) 292-8930, email: jyang@nsf.gov
- Maria Zemankova, Point of Contact, Information Integration and Informatics (III), 1125, telephone: (703) 292-8930, email: mzemanko@nsf.gov

Applicable Catalog of Federal Domestic Assistance (CFDA) Number(s):

- 47.070 --- Computer and Information Science and Engineering

Award Information

Anticipated Type of Award: Standard Grant or Continuing Grant

Estimated Number of Awards: 150 to 200 awards will be made each year.

Anticipated Funding Amount: $100,000,000 per year, dependent on the availability of funds.

Eligibility Information

Who May Submit Proposals:

Proposals may only be submitted by the following:

- Universities and Colleges - Universities and two- and four-year colleges (including community colleges) accredited in, and having a campus located in, the US acting on behalf of their faculty members. Such organizations also are referred to as academic institutions.
- Non-profit, non-academic organizations: Independent museums, observatories, research labs, professional societies and similar organizations in the U.S. associated with educational or research activities.

Who May Serve as PI:

There are no restrictions or limits.

Limit on Number of Proposals per Organization:

There are no restrictions or limits.

Limit on Number of Proposals per PI or Co-PI: 2

In any contiguous October through November period, an individual may participate as PI, Co-PI or Senior Personnel in **no more than two Small, Medium or Large** proposals submitted in response to the *coordinated solicitation* (where *coordinated solicitation* is defined to include the *Information and Intelligent Systems (IIS): Core Programs*, the *Computer and Network Systems (CNS): Core Programs* and the *Computing and Communication Foundations (CCF): Core Programs* solicitations). For example, between October 2016 and November 2016, an individual may participate as PI, co-PI or Senior Personnel in one proposal submitted to a core program in CCF and in a second proposal submitted to a core program in CNS, or an individual may participate as PI, co-PI or Senior Personnel in two proposals submitted to an IIS core program, etc.

These eligibility constraints will be strictly enforced in order to treat everyone fairly and consistently. In the event that an individual exceeds this limit, proposals received within the limit will be accepted based on earliest date and time of proposal submission (i.e., the first two proposals received will be accepted and the remainder will be returned without review). **No exceptions will be made.**

The limit on the number of proposals per PI, co-PI or Senior Personnel applies only to the *coordinated solicitation*.

Proposal Preparation and Submission Instructions

A. Proposal Preparation Instructions

- **Letters of Intent:** Not required
- **Preliminary Proposal Submission:** Not required
- **Full Proposals:**
  - Full Proposals submitted via FastLane: NSF Proposal and Award Policies and Procedures Guide, Part I: Grant Proposal Guide (GPG) Guidelines apply. The complete text of the GPG is available electronically on the NSF website at: http://www.nsf.gov/publications/pub_summ.jsp?ods_key=gpg.

  - Full Proposals submitted via Grants.gov: NSF Grants.gov Application Guide: A Guide for the Preparation and Submission of NSF Applications via Grants.gov Guidelines apply (Note: The NSF Grants.gov Application Guide is available on the Grants.gov website and on the NSF website at: http://www.nsf.gov/publications/pub_summ.jsp?ods_key=grantsgovguide)

B. Budgetary Information

- **Cost Sharing Requirements:**

  Inclusion of voluntary committed cost sharing is prohibited.

- **Indirect Cost (F&A) Limitations:**

  Not Applicable

- **Other Budgetary Limitations:**

  Not Applicable

C. Due Dates

- **Submission Window Date(s)** (due by 5 p.m. submitter's local time):

  October 12, 2016 - October 19, 2016

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  > MEDIUM Projects

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  November 02, 2016 - November 16, 2016

  November 2 - November 16, Annually Thereafter

  > SMALL Projects

Proposal Review Information Criteria

Merit Review Criteria:

National Science Board approved criteria. Additional merit review considerations apply. Please see the full text of this solicitation for further information.

Award Administration Information

Award Conditions:

Standard NSF award conditions apply.

Reporting Requirements:

Standard NSF reporting requirements apply.

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I. INTRODUCTION

The Division of Information and Intelligent Systems (IIS) studies the inter-related roles of people, computers, and information. IIS supports research and education activities that 1) develop new knowledge about the role of people in the design and use of information technology; 2) increase our capability to create, manage, and understand data and information in circumstances ranging from personal computers to globally-distributed systems; and 3) advance our understanding of how computational systems can exhibit the hallmarks of intelligence.

II. PROGRAM DESCRIPTION

IIS supports three core programs as described below.

- ***Cyber-Human Systems (CHS)***

   In a world in which computers and networks are increasingly ubiquitous, computing, information, and computation play a central role in how humans work, learn, live, discover, and communicate. Technology is increasingly embedded throughout society, and is becoming commonplace in almost everything we do. The boundaries between humans and technology are shrinking to the point where socio-technical systems are becoming natural extensions to our human experience – second nature, helping us, caring for us, and enhancing us. As a result, computing technologies and human lives, organizations, and societies are co-evolving, transforming each other in the process. Cyber-Human Systems (CHS) research explores potentially transformative and disruptive ideas, novel theories, and technological innovations in computer and information science that accelerate both the creation and understanding of the complex and increasingly coupled relationships between humans and technology with the broad goal of advancing human capabilities: perceptual and cognitive, physical and virtual, social and societal.

   Advancement can take many forms, and involve diverse computing platforms. These platforms can include "traditional" devices and systems, such as common computers and handheld and mobile devices. Increasingly, however, the frontier is moving towards cyber-human technologies in the "post-mobile and web" world, including robots, wearables, person-embedded sensors and computers, digital fabrication and programmable matter, virtual and augmented reality, computationally-enabled objects and environments, distributed and networked socio-technical systems, socially intelligent computing, and cloud computing. These technologies increasingly involve smart objects and systems with increased "agency," along with other less traditional interfaces, such as systems that interact with users through varied and possibly multiple modalities, including innovative computer or visual displays; haptic, audio, and brain-machine interfaces; and other transformative interaction techniques. Proposed projects may work at scales ranging from an individual device with a single user; to networked and cloud-based information systems supporting collaborating groups; to large, evolving, heterogeneous socio-technical systems supported by pervasive networking; and to systems that merge the physical and virtual for both places and people.

   CHS research advances scientific understanding of computing and communications systems together with theoretical and practical understanding of behavioral, social, and design sciences to better design and develop diverse kinds of systems, such as those that:

   - amplify individual human capabilities through a device or environment that empowers them to improve their performance, achieve their goals, improve well-being, and enhance creative expression while assuring that the computer is no longer a distraction or an obstacle;
   - enrich the abilities, lives, and self-sufficiency of people with disabilities, including people with temporary or situational disabilities;
   - improve the intelligence of increasingly autonomous systems that require varying levels of supervisory control by the human; this includes a more symbiotic relationship between human and machine through the development of systems that can sense and learn the human's cognitive and physical states while possessing the ability to sense, learn, and adapt in their environments;
   - enhance computing environments, including virtual and/or augmented reality, to enable and improve scientific, engineering, and education production and innovation;
   - augment individuals' and computers' abilities to work together, to distribute and assimilate information and knowledge as required, to solve previously intractable problems, and to do things collectively that neither could do separately;

> advance computer facilitated human networks and communications that help teams, groups, organizations, and communities accomplish collective goals; and
>> • use computing to advance society's cohesiveness, innovativeness, security, and sustainability.

In addition, CHS research seeks to improve our fundamental understanding of how, and the processes by which, interactive systems should be designed to achieve human-computer symbiosis and computer-mediated human communication, collaboration, and competition. It explores the designs of socio-technical systems that lead to new ways of perceiving the self, groups, organizations, communities, and society, along with the broader impacts of these designs on both individuals and society It extends the reach of computing to new communities and furthers understanding of the newly-created communities made possible by computing.

Through partnerships and engagements across diverse and emerging disciplines, CHS research brings novel technology, new perspectives, and new models of inquiry, practice, and scholarship to research and education in computer and information science. While diverse in approach, CHS research is grounded in theory and rooted in empirical methods to produce broadly applicable and transferable results that advance the knowledge and practice around cyber-human systems.

More information on topics of interest to the CHS program is available at:
http://www.nsf.gov/cise/iis/chs_pgm13.jsp

- *Information Integration and Informatics (III)*

    Technological advances have resulted in accelerating increases in size, diversity, and complexity of data in virtually all aspects of human endeavor. Our ability to gather data of all types greatly outstrips our cognitive capacity to use it, while scientific, technical, and societal advances are increasingly dependent on new insights, theories, and tools to exploit data effectively for timely delivery of relevant and accurate information and for knowledge discovery. The Information Integration and Informatics (III) program supports research to realize the full transformative potential of data, information, and knowledge in this increasingly digital and interconnected world.

    III-funded projects may address data of unprecedented scale, complexity, and rate of acquisition, as well as issues of heterogeneity and complexity with innovative approaches and deep insights. Projects may support the diverse functionalities and processing needs for data, information, and knowledge from disparate and uncoordinated sources, or cope with the changing landscape of computing platforms at scales ranging from small mobile devices to potentially global-scale cloud and networked computing resources. Successful proposals should demonstrate effectiveness in dimensions such as scalability, interactivity, or scientific, technological, or societal impact.

    III-funded projects should address contemporary applications of societal importance through advances in information integration and informatics. Projects may deal with one or more facets of the full knowledge lifecycle, including creation, acquisition, selection, storage, display, and preservation, use, and re-use of data, information, and knowledge for decision-making and action. Ultimately, the deep scientific insights and advanced technologies resulting from III-funded projects will transform the functions and uses of data, information, and knowledge in society.

    More information on topics of interest to the III program is available at: http://www.nsf.gov/cise/iis/iii_pgm12.jsp.

- *Robust Intelligence (RI)*

    The Robust Intelligence (RI) program encompasses all aspects of the computational understanding and modeling of intelligence in complex, realistic contexts. In contrast to systems that use limited reasoning strategies or address problems in narrow unchanging contexts, robust intelligence may be characterized by flexibility, resourcefulness, creativity, real-time responsiveness and long-term reflection, use of a variety of modeling or reasoning approaches, ability to learn and adapt performance at a level of intelligence seen in humans and animals, and awareness of and competence in larger natural, built, and social contexts. The RI program advances and integrates the research traditions of artificial intelligence, computer vision, human language research, robotics, machine learning, computational neuroscience, cognitive science, and related areas.

    Researchers across all areas of RI are addressing progressively richer environments, larger-scale data and more diverse computing platforms, and more sophisticated computational and statistical approaches, looking to nature in many cases to model cognitive and computational processes. Interactions across traditional disciplines are also of increasing importance. For example, speech and dialogue research seeks to understand the cognitive psychological underpinnings of conversation that contribute to the robustness of human speech perception and intention understanding. Computer vision is exploring approaches developed in language processing to represent the semantic information in images and video in ways useful for mining, navigation, and robotic interaction, and working with ideas developed in computer graphics and physics-based modeling to understand and depict collections of images. Language and vision can be used together in a complimentary way to enhance understanding, for instance, of an image with use of text that discusses or describes it. A cognitive architecture may bridge sophisticated planning and problem solving modules with perception and action modules, perhaps accounting for certain human or animal behaviors and the ways in which they are learned and applied in new contexts. Multi-agent systems may need to tackle planning and learning as well as coordination in novel environments. Robotic systems need to understand and interact with humans in unfamiliar, unstructured, and dynamic environments. Computational understanding of neurons, networks, and the brain increasingly draws on computer vision, robotics, and machine learning, and provides insights into the neural coding, representations, and learning underlying intelligent behavior in nature.

    These examples are meant to convey the general goals of RI, not to limit its scope. The program supports projects that will advance the frontiers of all RI research areas, as well as those that integrate different aspects of these fields. We especially encourage a synergistic integration of these fields for Large proposals.

    More information on topics of interest to the RI program is available at: http://www.nsf.gov/cise/iis/ri_pgm12.jsp.

Proposals in the area of *computer graphics and visualization* should be submitted to the most relevant of the three core programs described above during their submission windows.

PROJECT CLASSES

Proposals submitted to this solicitation must be consistent with one of three project classes defined below. Proposals will be considered for funding within their project classes.

- **SMALL Projects:**

  Small Projects, with total budgets up to $500,000 for durations of up to three years, are well suited to one or two investigators (PI and one co-PI or other Senior Personnel) and at least one student and/or postdoc.

- **MEDIUM Projects:**

  Medium Projects, with total budgets ranging from $500,001 to $1,200,000 for durations up to four years, are well suited to one or more investigators (PI, co-PI and/or other Senior Personnel) and several students and/or postdocs. Medium project descriptions must be comprehensive and well-integrated, and should make a convincing case that the collaborative contributions of the project team will be greater than the sum of each of their individual contributions. Rationale must be provided to explain why a budget of this size is required to carry out the proposed work. Since the success of collaborative research efforts are known to depend on thoughtful coordination mechanisms that regularly bring together the various participants of the project, **a Collaboration Plan is required for all Medium proposals with more than one investigator**. Up to 2 pages are allowed for Collaboration Plans and they must be submitted as a document under Supplementary Documentation. The length of and level of detail provided in the Collaboration Plan should be commensurate with the complexity of the proposed project. Collaboration plans and proposed budgets should demonstrate that key personnel, and especially lead PIs, have allocated adequate time for both their individual technical contributions and the leadership of collaborative activities necessary to realize the synergistic effects of larger-scale research. **If a Medium proposal with more than one investigator does not include a Collaboration Plan, that proposal will be returned without review.** Please see *Proposal Preparation Instructions* Section V.A for additional submission guidelines.

- **LARGE Projects:**

  Large Projects, with total budgets ranging from $1,200,001 to $3,000,000 for durations of up to five years, are well suited to two or more investigators (PI, co-PI(s), or other Senior Personnel), and a team of students and/or postdocs. Large project descriptions must be comprehensive and well-integrated, and should make a convincing case that the collaborative contributions of the project team will be greater than the sum of each of their individual contributions. Large projects will typically integrate research from various areas, either within a cluster or across clusters, or tackle ambitious goals not feasible with smaller projects. Rationale must be provided to explain why a budget of this size is required to carry out the proposed work. Since the success of collaborative research efforts are known to depend on thoughtful coordination mechanisms that regularly bring together the various participants of the project, a **Collaboration Plan is required for all Large proposals.** Up to 2 pages are allowed for Collaboration Plans and they must be submitted as a document under Supplementary Documentation. The length of and degree of detail provided in the Collaboration Plan should be commensurate with the complexity of the proposed project. Collaboration plans and proposed budgets should demonstrate that key personnel, and especially lead PIs, have allocated adequate time for both their individual technical contributions and the leadership of collaborative activities necessary to realize the synergistic effects of larger-scale research. **If a Large proposal does not include a Collaboration Plan, that proposal will be returned without review.** Please see *Proposal Preparation Instructions* Section V.A for additional submission guidelines.

CISE investments in Small, Medium and Large projects complement the Directorate's investments in the Expeditions in Computing program, where projects are funded at levels of up to $10,000,000 total for durations of up to 5 years. Additional information on the Expeditions program can be accessed at: http://www.nsf.gov/funding/pgm_summ.jsp?pims_id=503169.

BREAKTHROUGH PROPOSALS

CISE encourages proposals that promise extraordinary outcomes, with a possibly corresponding increase in uncertainty in the research plan and overall risk of success relative to traditional submissions, such as: revolutionizing disciplines or sub-disciplines, creating new fields or subfields, disrupting accepted theories and perspectives, and solving widely recognized challenging problems. In order to encourage the submission of proposals possessing one or more of these characteristics, we are offering the opportunity to submit and identify such projects as "***Breakthrough Proposals***".

Breakthrough proposals may be submitted to all CISE (CCF/CNS/IIS) core programs and may be Small, Medium, or Large. They must be submitted in accordance with the deadlines for Small, Medium, and Large proposals. Submission of a *breakthrough proposal* will count as one against the limit of two proposals per PI as described in the previous sections. The proposal preparation instructions, budgetary limits, and requirements for these proposals are identical to other proposals submitted to CISE (CCF/CNS/IIS) core programs under each of three size classes *with the following exceptions:*

1. The word "breakthrough" must be listed as the first keyword in the submitted list of keywords in the Project Summary.
2. A statement, of **up to two pages**, explaining why the proposed research can be described as "breakthrough" and how any associated uncertainty and risk will be managed, **must be submitted as a document under Supplementary Documentation.** A breakthrough proposal must include this statement in order to be considered as a breakthrough proposal. **If it does not include this statement, that proposal will be considered as a regular proposal.**

PROPOSALS FOR CONSIDERATION BY MULTIPLE CISE PROGRAMS

Proposals that intersect more than one CISE research program are welcome. In such cases, PIs must identify the most relevant programs in the proposal submission process (for information about submission and how to identify such proposals, see *Proposal Preparation Instructions* later in this document). CISE Program Officers will ensure that these proposals are co-reviewed as appropriate.

IMPORTANT PROJECT CHARACTERISTICS

The submission of far-reaching, creative research and education projects is encouraged. Funds will be used to support potentially transformative research with high-impact potential. In this way, CISE will catalyze exciting new research activities with the potential to make significant advances in the state-of-the-art.

Interdisciplinary, international and/or academic-industry collaborations that promise to result in major science or engineering advances are welcome. The directorate hopes to attract proposals from faculty at a broad range of academic institutions, including faculty at minority-serving and predominantly undergraduate institutions.

Proposals submitted should demonstrate that rich learning experiences will be provided for a diverse population of students, and may propose the development of innovative curricula or educational materials that advance literacy about and expertise in areas supported by CISE.

EMBEDDED REU SUPPLEMENTS

The Research Experiences for Undergraduates (REU): Sites and Supplements solicitation (NSF 13-542) gives instructions for embedding a request for an REU Supplement in a proposal. Proposers are invited to embed a request for an REU Supplement in the typical amount **for one year only** according to normal CISE guidelines (detailed below). The amounts of the REU Supplements **do not** count against the budget limitations described in this solicitation for the Small, Medium, and Large project classes.

For single investigator projects, CISE REU supplemental funding requests should typically be for no more than two students for one year. Research teams funded through multi-investigator projects may request support for a larger number of students, commensurate with the size and nature of their projects. For example, for projects involving two principal investigators, REU supplemental funding is typically requested for about four undergraduates for one year. Requests for larger numbers of students should be accompanied by detailed justifications.

CISE expects to provide up to $8,000 per student per year through the REU supplemental support mechanism. As described in the REU program solicitation (NSF 13-542), indirect costs (F&A) are not allowed on Participant Support Costs in REU Site or REU Supplement budgets.

REU stipend support is one way to retain talented students in undergraduate education, while providing meaningful research experiences. The participation of students from groups underrepresented in computing - underrepresented minorities, women and persons with disabilities - is strongly encouraged. In addition, CISE encourages REU supplements that specifically afford US veterans an opportunity to engage in meaningful research experiences.

CISE REU supplemental funding requests must describe results of any previous such support, including students supported, papers published, etc. Other factors influencing supplemental funding decisions include the number of REU requests submitted by any one principal investigator across all of her/his CISE grants.

Investigators are encouraged to refer to the current REU program solicitation (NSF 13-542) for detailed information concerning submission requirements. Grantees with questions may also contact one of the Cognizant Program Officers listed in this solicitation.

III. AWARD INFORMATION

Up to $100 million each year will support up to 200 awards, pending the availability of funds.

IV. ELIGIBILITY INFORMATION

Who May Submit Proposals:

Proposals may only be submitted by the following:

- Universities and Colleges - Universities and two- and four-year colleges (including community colleges) accredited in, and having a campus located in, the US acting on behalf of their faculty members. Such organizations also are referred to as academic institutions.
- Non-profit, non-academic organizations: Independent museums, observatories, research labs, professional societies and similar organizations in the U.S. associated with educational or research activities.

Who May Serve as PI:

There are no restrictions or limits.

Limit on Number of Proposals per Organization:

There are no restrictions or limits.

Limit on Number of Proposals per PI or Co-PI: 2

In any contiguous October through November period, an individual may participate as PI, Co-PI or Senior Personnel in **no more than two Small, Medium or Large** proposals submitted in response to the *coordinated solicitation* (where *coordinated solicitation* is defined to include the *Information and Intelligent Systems (IIS): Core Programs*, the *Computer and Network Systems (CNS): Core Programs* and the *Computing and Communication Foundations (CCF): Core Programs* solicitations). For example, between October 2016 and November 2016, an individual may participate as PI, co-PI or Senior Personnel in one proposal submitted to a core program in CCF and in a second proposal submitted to a core program in CNS, or an individual may participate as PI, co-PI or Senior Personnel in two proposals submitted to an IIS core program, etc.

These eligibility constraints will be strictly enforced in order to treat everyone fairly and consistently. In the event that an individual exceeds this limit, proposals received within the limit will be accepted based on earliest date and time of proposal submission (i.e., the first two proposals received will be accepted and the remainder will be returned without review). **No exceptions will be made.**

The limit on the number of proposals per PI, co-PI or Senior Personnel applies only to the *coordinated solicitation*.

Additional Eligibility Info:

For U.S. universities and two- and four-year colleges with overseas campuses, this solicitation restricts eligibility to research activities using the facilities, equipment, and other resources of the campus(es) located in the U.S. only. Note: this restriction is directed at institutional eligibility only; it is not intended to restrict international collaborations or research activities by subsequent awardees.

V. PROPOSAL PREPARATION AND SUBMISSION INSTRUCTIONS

A. Proposal Preparation Instructions

Full Proposal Preparation Instructions: Proposers may opt to submit proposals in response to this Program Solicitation via Grants.gov or via the NSF FastLane system.

- Full proposals submitted via FastLane: Proposals submitted in response to this program solicitation should be prepared and submitted in accordance with the general guidelines contained in the NSF Grant Proposal Guide (GPG). The complete text of the GPG is available electronically on the NSF website at: http://www.nsf.gov/publications/pub_summ.jsp?ods_key=gpg. Paper copies of the GPG may be obtained from the NSF Publications Clearinghouse, telephone (703) 292-7827 or by e-mail from nsfpubs@nsf.gov. Proposers are reminded to identify this program solicitation number in the program solicitation block on the NSF Cover Sheet For Proposal to the National Science Foundation. Compliance with this requirement is critical to determining the relevant proposal processing guidelines. Failure to submit this information may delay processing.

- Full proposals submitted via Grants.gov: Proposals submitted in response to this program solicitation via Grants.gov should be prepared and submitted in accordance with the NSF Grants.gov Application Guide: A Guide for the Preparation and Submission of NSF Applications via Grants.gov. The complete text of the NSF Grants.gov Application Guide is available on the Grants.gov website and on the NSF website at: (http://www.nsf.gov/publications/pub_summ.jsp?ods_key=grantsgovguide). To obtain copies of the Application Guide and Application Forms Package, click on the Apply tab on the Grants.gov site, then click on the Apply Step 1: Download a Grant Application Package and Application Instructions link and enter the funding opportunity number, (the program solicitation number without the NSF prefix) and press the Download Package button. Paper copies of the Grants.gov Application Guide also may be obtained from the NSF Publications Clearinghouse, telephone (703) 292-7827 or by e-mail from nsfpubs@nsf.gov.

In determining which method to utilize in the electronic preparation and submission of the proposal, please note the following:

Collaborative Proposals. All collaborative proposals submitted as separate submissions from multiple organizations must be submitted via the NSF FastLane system. Chapter II, Section D.5 of the Grant Proposal Guide provides additional information on collaborative proposals.

See Chapter II.C.2 of the GPG for guidance on the required sections of a full research proposal submitted to NSF. Please note that the proposal preparation instructions provided in this program solicitation may deviate from the GPG instructions.

The following information SUPPLEMENTS (note that it does NOT replace) the guidelines provided in the NSF Grant Proposal Guide (GPG).

Proposal Titles: Proposal titles must begin with an acronym that indicates the most relevant core program. Select an acronym from the following list:

- Cyber-Human Systems: CHS
- Information Integration and Informatics: III
- Robust Intelligence: RI

The acronym should be followed with a colon, then the project class followed by a colon, then the title of your project. For example, if you are submitting a Medium proposal to the Cyber-Human Systems core program, then your title would be **CHS: Medium: Title**. If you submit a proposal as part of a set of collaborative proposals, the title of the proposal should begin with the acronym that indicates the most relevant core program followed by a colon, then the project class followed by a colon, then "Collaborative Research" followed by a colon, and the title. For example, if you are submitting a collaborative set of proposals for a Large project to the Robust Intelligence core program, the title of each would be **RI:Large:Collaborative Research:Title.**

Proposals from PIs in institutions that have RUI (Research in Undergraduate Institutions) eligibility should have a proposal title that begins with the acronym that indicates most relevant core program, followed by a colon then the project class, followed by a colon then "RUI", followed by a colon and then the title, for example, **III:Small:RUI:Title.**

PIs submitting Grant Opportunities for Academic Liaison with Industry (GOALI) proposals should have a proposal title that begins with the acronym that indicates the most relevant core program, followed by a colon then the project class, followed by a colon then "GOALI", followed by a colon and then the title, for example, **RI:Small:GOALI:Title**.

Proposals that extend beyond the scope of one CISE core program or area are welcome. Proposals should be submitted in response to the solicitation for the CISE division (CCF, CNS or IIS) that includes the most relevant core program. In such cases, PIs should identify the acronym for the **most relevant** core program or area, followed by any other relevant program acronym(s) separated by colons (for example, **III:AF:Medium:Title).** In this case, the proposal would be submitted to the IIS solicitation but would be considered by IIS/III and CCF/Algorithmic Foundations (AF). CISE Program Officers will work with their NSF and CISE colleagues to ensure that these proposals are appropriately reviewed and considered for funding. Please see the coordinated CNS and CCF solicitations for information on other CISE core programs and the corresponding acronyms.

Project Summary: The Project Summary consists of an overview, a statement on the intellectual merit of the proposed activity, and a statement on the broader impacts of the proposed activity.

Please provide between 2 and 6 sets of keywords at the end of the overview in the Project Summary. CISE personnel will use this information in implementing the merit review process. The keywords should describe the main scientific/engineering areas explored in the proposal. Keywords should be prefaced with "Keywords" followed by a colon and each keyword set should be separated by semi-colons. Keywords should be of the type used to describe research in a journal submission. They should be included at the end of the overview in the project summary and might appear, for example, as **Keywords: energy-aware computing; formal logic;**

computer graphics; sensor networks; information visualization; privacy. "Breakthrough proposals" should have the word "breakthrough" as the first keyword in the submitted list of keywords.

Project Description: *Length of Project Description* – Describe the research and education activities to be undertaken **in up to 15 pages for Small and Medium proposals** and **in up to 20 pages for Large proposals**. Describe curriculum development activities, if any, in a separate section (included in these page limits) titled "Curriculum Development Activities."

Proposers are reminded that, as specified in GPG Chapter II.C.2.d:

- The Project Description must contain, as a separate section within the narrative, a section labeled "Broader Impacts." This section should include a discussion of the broader impacts of the proposed activities. **Proposals without this clearly-identifiable section will be returned without review.**

- Results from Prior NSF Support: If any PI or co-PI identified on the project has received NSF funding with a start date in the past five years (including any current funding and no-cost extensions), information on the award is required for each PI and co-PI, regardless of whether the support was directly related to the proposal. In cases where the PI or co-PI has received more than one award (excluding amendments), they need only report on the one award most closely related to the proposal. Funding includes not just salary support, but any funding awarded by NSF. Please refer to the GPG for details about the information that must be provided. Further requirements for this section of the proposal are in the GPG. **Note that these results from prior NSF support must be separately described under two distinct headings, "Intellectual Merit" and "Broader Impacts."**

Supplementary Documents:

In the Supplementary Documents Section, upload the following information where relevant:

(1) List of Project Personnel and Partner Institutions (Note: In collaborative proposals, the lead institution should provide this information for all participants):

Provide current, accurate information for all personnel and institutions involved in the project. NSF staff will use this information in the merit review process to manage conflicts of interest. The list should include all PIs, Co-PIs, Senior Personnel, paid/unpaid Consultants or Collaborators, Subawardees, Postdocs, and project-level advisory committee members. This list should be numbered and include (in this order) Full name, Organization(s), and Role in the project, with each item separated by a semi-colon. Each person listed should start a new numbered line. For example:

1. Mary Smith; XYZ University; PI
2. John Jones; University of PQR; Senior Personnel
3. Jane Brown; XYZ University; Postdoc
4. ABC Community College; Paid Consultant
5. Susan White; DEF Corporation; Unpaid Collaborator
6. Tim Green; ZZZ University; Subawardee

(2) Collaboration Plans for Medium and Large Proposals (if applicable):

Since the success of collaborative research efforts are known to depend on thoughtful coordination mechanisms that regularly bring together the various participants of the project, **all Medium proposals that include more than one investigator and all Large proposals must include a Collaboration Plan of up to 2 pages.** The length of and degree of detail provided in the Collaboration Plan should be commensurate with the complexity of the proposed project. Where appropriate, the Collaboration Plan might include: 1) the specific roles of the project participants in all organizations involved; 2) information on how the project will be managed across all the investigators, institutions, and/or disciplines; 3) identification of the specific coordination mechanisms that will enable cross-investigator, cross-institution, and/or cross-discipline scientific integration (e.g., yearly workshops, graduate student exchange, project meetings at conferences, use of the grid for videoconferences, software repositories, etc.), and 4) specific references to the budget line items that support collaboration and coordination mechanisms. **If a Large proposal, or a Medium proposal with more than one investigator, does not include a Collaboration Plan of up to 2 pages, that proposal will be returned without review.**

(3) Data Management Plan (required):

Proposals must include a supplementary document of no more than two pages labeled "Data Management Plan". This supplementary document should describe how the proposal will conform to NSF policy on the dissemination and sharing of research results.

See Chapter II.C.2.j of the GPG for full policy implementation.

For additional information on the Dissemination and Sharing of Research Results, see: http://www.nsf.gov/bfa/dias/policy/dmp.jsp.

For specific guidance for Data Management Plans submitted to the Directorate for Computer and Information Science and Engineering (CISE) see: http://www.nsf.gov/cise/cise_dmp.jsp.

(4) Breakthrough Description (if applicable):

A statement, of **up to two pages**, explaining why the proposed research can be described as "breakthrough," and how any associated uncertainty and risk will be managed, **must be submitted as a document under Supplementary Documentation.** A breakthrough proposal must include this statement in order to be considered as a breakthrough proposal. **If it does not include this statement, that proposal will be considered as a regular proposal.**

(5) Documentation of Collaborative Arrangements of Significance to the Proposal through Letters of Collaboration:

There are two types of collaboration, one involving individuals/organizations that are included in the budget, and the other involving individuals/organizations that are not included in the budget. Collaborations that are included in the budget should be described in the Project Description. Any substantial collaboration with individuals/organizations not included in the budget should be described in the Facilities, Equipment and Other Resources section of the proposal (see GPG Chapter II.C.2.i). In either case, whether or not the collaborator is included in the budget, **a letter of collaboration from each named participating organization other than the submitting lead, non-lead, and/or subawardee institutions must be provided at the time of submission of the proposal. Such letters must explicitly state the nature of the collaboration, appear on the organization's letterhead and be signed by the appropriate organizational representative. These letters must not otherwise deviate from the restrictions and**

requirements set forth in the GPG, Chapter II.C.2.j.

Please note that letters of support may not be submitted. Such letters do not document collaborative arrangements of significance to the project, but primarily convey a sense of enthusiasm for the project and/or highlight the qualifications of the PI or co-PI. **Reviewers will be instructed not to consider these letters of support in reviewing the merits of the proposal.**

(6) Other Specialized Information:

RUI Proposals: PIs from predominantly undergraduate institutions should include a Research in Undergraduate Institutions (RUI) Impact Statement and Certification of RUI Eligibility in this section.

GOALI proposals: PIs submitting GOALI proposals should include industry-university agreement letters on intellectual property in this section.

No other supplementary documents, except as permitted by the NSF *Grant Proposal Guide**, are allowed.***

Single Copy Documents:

Collaborators and Other Affiliations Information: In lieu of the instructions specified in the GPG, Collaborators and Other Affiliations Information should be submitted as follows. (Note: In collaborative proposals, the lead institution should assemble and provide this information for all participants in the collaborative group):

Provide current, accurate information for all active or recent collaborators of personnel and institutions involved in the project. NSF staff will use this information in the merit review process to manage conflicts of interest. **This list is distinct from (1) above under Supplementary Documents in that it must include all active or recent Collaborators of all personnel involved with the proposed project.** Collaborators include any individual with whom any member of the project team – including PIs, Co-PIs, Senior Personnel, paid/unpaid Consultants or Collaborators, Subawardees, Postdocs, and project-level advisory committee members – has collaborated on a project, book, article, report, or paper within the preceding 48 months; or co-edited a journal, compendium, or conference proceedings within the preceding 24 months. This list should be numbered and include (in this order) Full name and Organization(s), with each item separated by a semi-colon. Each person listed should start a new numbered line. The following is a sample format; other similar formats are acceptable.

1. Collaborators for Mary Smith; XYZ University; PI

    a. Helen Gupta; ABC University
    b. John Jones; University of PQR
    c. Fred Gonzales; DEF Corporation
    d. Susan White; DEF Corporation
2. Collaborators for John Jones; University of PQR; Senior Personnel

    a. Tim Green; ZZZ University
    b. Ping Chang; ZZZ University
    c. Mary Smith; XYZ University
3. Collaborators for Jane Brown; XYZ University; Postdoc

    a. Fred Gonzales; DEF Corporation
4. Collaborators for Bob Adams; ABC Community College; Paid Consultant

    a. None
5. Collaborators for Susan White; DEF Corporation; Unpaid Collaborator

    a. Mary Smith; XYZ University
    b. Harry Nguyen; Welldone Institution
6. Collaborators for Tim Green; ZZZ University; Subawardee

    a. John Jones; University of PQR

NOTE: The list of collaborators includes all current and past projects (within above timelines) for all participants in the proposal. It is not a list of the collaborators for the given proposal; this should be provided pursuant to item (1) of Supplementary Documents above.

B. Budgetary Information

Cost Sharing:

Inclusion of voluntary committed cost sharing is prohibited.

C. Due Dates

- **Submission Window Date(s)** (due by 5 p.m. submitter's local time):

    October 12, 2016 - October 19, 2016

    October 12 - October 19, Annually Thereafter

        MEDIUM Projects

    October 12, 2016 - October 19, 2016

    October 12 - October 19, Annually Thereafter

        LARGE Projects

November 02, 2016 - November 16, 2016

November 2 - November 16, Annually Thereafter

     SMALL Projects

D. FastLane/Grants.gov Requirements

For Proposals Submitted Via FastLane:

To prepare and submit a proposal via FastLane, see detailed technical instructions available at: https://www.fastlane.nsf.gov/a1/newstan.htm. For FastLane user support, call the FastLane Help Desk at 1-800-673-6188 or e-mail fastlane@nsf.gov. The FastLane Help Desk answers general technical questions related to the use of the FastLane system. Specific questions related to this program solicitation should be referred to the NSF program staff contact(s) listed in Section VIII of this funding opportunity.

For Proposals Submitted Via Grants.gov:

Before using Grants.gov for the first time, each organization must register to create an institutional profile. Once registered, the applicant's organization can then apply for any federal grant on the Grants.gov website. Comprehensive information about using Grants.gov is available on the Grants.gov Applicant Resources webpage: http://www.grants.gov/web/grants/applicants.html. In addition, the NSF Grants.gov Application Guide (see link in Section V.A) provides instructions regarding the technical preparation of proposals via Grants.gov. For Grants.gov user support, contact the Grants.gov Contact Center at 1-800-518-4726 or by email: support@grants.gov. The Grants.gov Contact Center answers general technical questions related to the use of Grants.gov. Specific questions related to this program solicitation should be referred to the NSF program staff contact(s) listed in Section VIII of this solicitation.

Submitting the Proposal: Once all documents have been completed, the Authorized Organizational Representative (AOR) must submit the application to Grants.gov and verify the desired funding opportunity and agency to which the application is submitted. The AOR must then sign and submit the application to Grants.gov. The completed application will be transferred to the NSF FastLane system for further processing.

Proposers that submitted via FastLane are strongly encouraged to use FastLane to verify the status of their submission to NSF. For proposers that submitted via Grants.gov, until an application has been received and validated by NSF, the Authorized Organizational Representative may check the status of an application on Grants.gov. After proposers have received an e-mail notification from NSF, Research.gov should be used to check the status of an application.

VI. NSF PROPOSAL PROCESSING AND REVIEW PROCEDURES

Proposals received by NSF are assigned to the appropriate NSF program for acknowledgement and, if they meet NSF requirements, for review. All proposals are carefully reviewed by a scientist, engineer, or educator serving as an NSF Program Officer, and usually by three to ten other persons outside NSF either as *ad hoc* reviewers, panelists, or both, who are experts in the particular fields represented by the proposal. These reviewers are selected by Program Officers charged with oversight of the review process. Proposers are invited to suggest names of persons they believe are especially well qualified to review the proposal and/or persons they would prefer not review the proposal. These suggestions may serve as one source in the reviewer selection process at the Program Officer's discretion. Submission of such names, however, is optional. Care is taken to ensure that reviewers have no conflicts of interest with the proposal. In addition, Program Officers may obtain comments from site visits before recommending final action on proposals. Senior NSF staff further review recommendations for awards. A flowchart that depicts the entire NSF proposal and award process (and associated timeline) is included in the GPG as Exhibit III-1.

A comprehensive description of the Foundation's merit review process is available on the NSF website at: http://www.nsf.gov/bfa/dias/policy/merit_review/.

Proposers should also be aware of core strategies that are essential to the fulfillment of NSF's mission, as articulated in *Investing in Science, Engineering, and Education for the Nation's Future: NSF Strategic Plan for 2014-2018*. These strategies are integrated in the program planning and implementation process, of which proposal review is one part. NSF's mission is particularly well-implemented through the integration of research and education and broadening participation in NSF programs, projects, and activities.

One of the strategic objectives in support of NSF's mission is to foster integration of research and education through the programs, projects, and activities it supports at academic and research institutions. These institutions must recruit, train, and prepare a diverse STEM workforce to advance the frontiers of science and participate in the U.S. technology-based economy. NSF's contribution to the national innovation ecosystem is to provide cutting-edge research under the guidance of the Nation's most creative scientists and engineers. NSF also supports development of a strong science, technology, engineering, and mathematics (STEM) workforce by investing in building the knowledge that informs improvements in STEM teaching and learning.

NSF's mission calls for the broadening of opportunities and expanding participation of groups, institutions, and geographic regions that are underrepresented in STEM disciplines, which is essential to the health and vitality of science and engineering. NSF is committed to this principle of diversity and deems it central to the programs, projects, and activities it considers and supports.

A. Merit Review Principles and Criteria

The National Science Foundation strives to invest in a robust and diverse portfolio of projects that creates new knowledge and enables breakthroughs in understanding across all areas of science and engineering research and education. To identify which projects to support, NSF relies on a merit review process that incorporates consideration of both the technical aspects of a proposed

project and its potential to contribute more broadly to advancing NSF's mission "to promote the progress of science; to advance the national health, prosperity, and welfare; to secure the national defense; and for other purposes." NSF makes every effort to conduct a fair, competitive, transparent merit review process for the selection of projects.

1. Merit Review Principles

These principles are to be given due diligence by PIs and organizations when preparing proposals and managing projects, by reviewers when reading and evaluating proposals, and by NSF program staff when determining whether or not to recommend proposals for funding and while overseeing awards. Given that NSF is the primary federal agency charged with nurturing and supporting excellence in basic research and education, the following three principles apply:

- All NSF projects should be of the highest quality and have the potential to advance, if not transform, the frontiers of knowledge.
- NSF projects, in the aggregate, should contribute more broadly to achieving societal goals. These "Broader Impacts" may be accomplished through the research itself, through activities that are directly related to specific research projects, or through activities that are supported by, but are complementary to, the project. The project activities may be based on previously established and/or innovative methods and approaches, but in either case must be well justified.
- Meaningful assessment and evaluation of NSF funded projects should be based on appropriate metrics, keeping in mind the likely correlation between the effect of broader impacts and the resources provided to implement projects. If the size of the activity is limited, evaluation of that activity in isolation is not likely to be meaningful. Thus, assessing the effectiveness of these activities may best be done at a higher, more aggregated, level than the individual project.

With respect to the third principle, even if assessment of Broader Impacts outcomes for particular projects is done at an aggregated level, PIs are expected to be accountable for carrying out the activities described in the funded project. Thus, individual projects should include clearly stated goals, specific descriptions of the activities that the PI intends to do, and a plan in place to document the outputs of those activities.

These three merit review principles provide the basis for the merit review criteria, as well as a context within which the users of the criteria can better understand their intent.

2. Merit Review Criteria

All NSF proposals are evaluated through use of the two National Science Board approved merit review criteria. In some instances, however, NSF will employ additional criteria as required to highlight the specific objectives of certain programs and activities.

The two merit review criteria are listed below. **Both** criteria are to be given **full consideration** during the review and decision-making processes; each criterion is necessary but neither, by itself, is sufficient. Therefore, proposers must fully address both criteria. (GPG Chapter II.C.2.d.i. contains additional information for use by proposers in development of the Project Description section of the proposal.) Reviewers are strongly encouraged to review the criteria, including GPG Chapter II.C.2.d.i., prior to the review of a proposal.

When evaluating NSF proposals, reviewers will be asked to consider what the proposers want to do, why they want to do it, how they plan to do it, how they will know if they succeed, and what benefits could accrue if the project is successful. These issues apply both to the technical aspects of the proposal and the way in which the project may make broader contributions. To that end, reviewers will be asked to evaluate all proposals against two criteria:

- **Intellectual Merit:** The Intellectual Merit criterion encompasses the potential to advance knowledge; and
- **Broader Impacts:** The Broader Impacts criterion encompasses the potential to benefit society and contribute to the achievement of specific, desired societal outcomes.

The following elements should be considered in the review for both criteria:

1. What is the potential for the proposed activity to
   a. Advance knowledge and understanding within its own field or across different fields (Intellectual Merit); and
   b. Benefit society or advance desired societal outcomes (Broader Impacts)?
2. To what extent do the proposed activities suggest and explore creative, original, or potentially transformative concepts?
3. Is the plan for carrying out the proposed activities well-reasoned, well-organized, and based on a sound rationale? Does the plan incorporate a mechanism to assess success?
4. How well qualified is the individual, team, or organization to conduct the proposed activities?
5. Are there adequate resources available to the PI (either at the home organization or through collaborations) to carry out the proposed activities?

Broader impacts may be accomplished through the research itself, through the activities that are directly related to specific research projects, or through activities that are supported by, but are complementary to, the project. NSF values the advancement of scientific knowledge and activities that contribute to achievement of societally relevant outcomes. Such outcomes include, but are not limited to: full participation of women, persons with disabilities, and underrepresented minorities in science, technology, engineering, and mathematics (STEM); improved STEM education and educator development at any level; increased public scientific literacy and public engagement with science and technology; improved well-being of individuals in society; development of a diverse, globally competitive STEM workforce; increased partnerships between academia, industry, and others; improved national security; increased economic competitiveness of the United States; and enhanced infrastructure for research and education.

Proposers are reminded that reviewers will also be asked to review the Data Management Plan and the Postdoctoral Researcher Mentoring Plan, as appropriate.

Additional Solicitation Specific Review Criteria

For Large and relevant Medium proposals, reviewers will be asked to:

- Comment on the extent to which the project scope justifies the level of investment requested, and the degree to which the Collaboration Plan (if required) adequately demonstrates that the participating investigators will work synergistically to accomplish the project objectives.
- Comment on whether key personnel, and especially lead PIs, have allocated adequate time for both their individual technical contributions and the leadership of collaborative activities necessary to realize the synergistic effects of larger-scale research.

For Breakthrough proposals, reviewers will be asked to:

- Comment on the extent to which the project satisfies the "breakthrough qualities" as described above in Section II, including an assessment of uncertainty and risk associated with the proposed work.

B. Review and Selection Process

Proposals submitted in response to this program solicitation will be reviewed by Ad hoc Review and/or Panel Review.

Reviewers will be asked to evaluate proposals using two National Science Board approved merit review criteria and, if applicable, additional program specific criteria. A summary rating and accompanying narrative will generally be completed and submitted by each reviewer and/or panel. The Program Officer assigned to manage the proposal's review will consider the advice of reviewers and will formulate a recommendation.

After scientific, technical and programmatic review and consideration of appropriate factors, the NSF Program Officer recommends to the cognizant Division Director whether the proposal should be declined or recommended for award. NSF strives to be able to tell applicants whether their proposals have been declined or recommended for funding within six months. Large or particularly complex proposals or proposals from new awardees may require additional review and processing time. The time interval begins on the deadline or target date, or receipt date, whichever is later. The interval ends when the Division Director acts upon the Program Officer's recommendation.

After programmatic approval has been obtained, the proposals recommended for funding will be forwarded to the Division of Grants and Agreements for review of business, financial, and policy implications. After an administrative review has occurred, Grants and Agreements Officers perform the processing and issuance of a grant or other agreement. Proposers are cautioned that only a Grants and Agreements Officer may make commitments, obligations or awards on behalf of NSF or authorize the expenditure of funds. No commitment on the part of NSF should be inferred from technical or budgetary discussions with a NSF Program Officer. A Principal Investigator or organization that makes financial or personnel commitments in the absence of a grant or cooperative agreement signed by the NSF Grants and Agreements Officer does so at their own risk.

Once an award or declination decision has been made, Principal Investigators are provided feedback about their proposals. In all cases, reviews are treated as confidential documents. Verbatim copies of reviews, excluding the names of the reviewers or any reviewer-identifying information, are sent to the Principal Investigator/Project Director by the Program Officer. In addition, the proposer will receive an explanation of the decision to award or decline funding.

VII. AWARD ADMINISTRATION INFORMATION

A. Notification of the Award

Notification of the award is made to *the submitting organization* by a Grants Officer in the Division of Grants and Agreements. Organizations whose proposals are declined will be advised as promptly as possible by the cognizant NSF Program administering the program. Verbatim copies of reviews, not including the identity of the reviewer, will be provided automatically to the Principal Investigator. (See Section VI.B. for additional information on the review process.)

B. Award Conditions

An NSF award consists of: (1) the award notice, which includes any special provisions applicable to the award and any numbered amendments thereto; (2) the budget, which indicates the amounts, by categories of expense, on which NSF has based its support (or otherwise communicates any specific approvals or disapprovals of proposed expenditures); (3) the proposal referenced in the award notice; (4) the applicable award conditions, such as Grant General Conditions (GC-1)*; or Research Terms and Conditions* and (5) any announcement or other NSF issuance that may be incorporated by reference in the award notice. Cooperative agreements also are administered in accordance with NSF Cooperative Agreement Financial and Administrative Terms and Conditions (CA-FATC) and the applicable Programmatic Terms and Conditions. NSF awards are electronically signed by an NSF Grants and Agreements Officer and transmitted electronically to the organization via e-mail.

*These documents may be accessed electronically on NSF's Website at http://www.nsf.gov/awards/managing/award_conditions.jsp?org=NSF. Paper copies may be obtained from the NSF Publications Clearinghouse, telephone (703) 292-7827 or by e-mail from nsfpubs@nsf.gov.

More comprehensive information on NSF Award Conditions and other important information on the administration of NSF awards is contained in the NSF *Award & Administration Guide* (AAG) Chapter II, available electronically on the NSF Website at http://www.nsf.gov/publications/pub_summ.jsp?ods_key=aag.

C. Reporting Requirements

For all multi-year grants (including both standard and continuing grants), the Principal Investigator must submit an annual project report to the cognizant Program Officer no later than 90 days prior to the end of the current budget period. (Some programs or awards require submission of more frequent project reports). No later than 120 days following expiration of a grant, the PI also is required to submit a final project report, and a project outcomes report for the general public.

Failure to provide the required annual or final project reports, or the project outcomes report, will delay NSF review and processing of any future funding increments as well as any pending proposals for all identified PIs and co-PIs on a given award. PIs should examine the formats of the required reports in advance to assure availability of required data.

PIs are required to use NSF's electronic project-reporting system, available through Research.gov, for preparation and submission of annual and final project reports. Such reports provide information on accomplishments, project participants (individual and organizational), publications, and other specific products and impacts of the project. Submission of the report via Research.gov

constitutes certification by the PI that the contents of the report are accurate and complete. The project outcomes report also must be prepared and submitted using Research.gov. This report serves as a brief summary, prepared specifically for the public, of the nature and outcomes of the project. This report will be posted on the NSF website exactly as it is submitted by the PI.

More comprehensive information on NSF Reporting Requirements and other important information on the administration of NSF awards is contained in the NSF *Award & Administration Guide* (AAG) Chapter II, available electronically on the NSF Website at http://www.nsf.gov/publications/pub_summ.jsp?ods_key=aag.

VIII. AGENCY CONTACTS

Please note that the program contact information is current at the time of publishing. See program website for any updates to the points of contact.

General inquiries regarding this program should be made to:

- William S. Bainbridge, Point of Contact, Cyber-Human Systems (CHS), 1125, telephone: (703) 292-8930, email: wbainbri@nsf.gov
- Ephraim P. Glinert, Point of Contact, Cyber-Human Systems (CHS), 1125, telephone: (703) 292-8930, email: eglinert@nsf.gov
- Tatiana Korelsky, Point of Contact, Robust Intelligence (RI), 1125, telephone: (703) 292-8930, email: tkorelsk@nsf.gov
- Sylvia Spengler, Point of Contact, Information Integration and Informatics (III), 1122, telephone: (703) 292-8930, email: sspengle@nsf.gov
- Kenneth Whang, Point of Contact, Robust Intelligence (RI), 1125, telephone: (703) 292-8930, email: kwhang@nsf.gov
- Jie Yang, Point of Contact, Robust Intelligence (RI), 1125, telephone: (703) 292-8930, email: jyang@nsf.gov
- Maria Zemankova, Point of Contact, Information Integration and Informatics (III), 1125, telephone: (703) 292-8930, email: mzemanko@nsf.gov

For questions related to the use of FastLane, contact:

- FastLane Help Desk, telephone: 1-800-673-6188; e-mail: fastlane@nsf.gov.

For questions relating to Grants.gov contact:

- Grants.gov Contact Center: If the Authorized Organizational Representatives (AOR) has not received a confirmation message from Grants.gov within 48 hours of submission of application, please contact via telephone: 1-800-518-4726; e-mail: support@grants.gov.

In addition to the Program Officers identified as program points of contact above, the following IIS Program Officers also support IIS core programs as indicated below:

Cyber-Human Systems (CHS)

- Dan Cosley, Room 1125, (703) 292-8930, dcosley@nsf.gov
- Laura Stanley, Room 1122, (703) 292-8930, lstanley@nsf.gov

Information Integration & Informatics (III)

- Jun (Luke) Huan, Room 1125, (703) 292-8930, jhuan@nsf.gov
- Aidong Zhang, Room 1125, (703) 292-8930, azhang@nsf.gov
- Nan Zhang, Room 1125, (703) 292-8930, nanzhang@nsf.gov

Robust Intelligence (RI)

- Hector Munoz-Avila Room 1122, (703) 292-8930, hmunoz@nsf.gov
- Reid Simmons, Room 1125 (703) 292-8930, resimmon@nsf.gov
- Jeffrey Trinkle, Room 1125, (703) 292-8930, jtrinkle@nsf.gov

IX. OTHER INFORMATION

The NSF website provides the most comprehensive source of information on NSF Directorates (including contact information), programs and funding opportunities. Use of this website by potential proposers is strongly encouraged. In addition, "NSF Update" is an information-delivery system designed to keep potential proposers and other interested parties apprised of new NSF funding opportunities and publications, important changes in proposal and award policies and procedures, and upcoming NSF Grants Conferences. Subscribers are informed through e-mail or the user's Web browser each time new publications are issued that match their identified interests. "NSF Update" also is available on NSF's website.

Grants.gov provides an additional electronic capability to search for Federal government-wide grant opportunities. NSF funding opportunities may be accessed via this mechanism. Further information on Grants.gov may be obtained at http://www.grants.gov.

In addition to the *coordinated solicitation* discussed in this document, NSF provides funding opportunities for the computing community via the following programs and their solicitations:

Discovery Research Programs

Algorithms in the Field (AitF), *http://www.nsf.gov/funding/pgm_summ.jsp?pims_id=505125*

Collaborative Research in Computational Neuroscience (CRCNS), *http://www.nsf.gov/funding/pgm_summ.jsp?pims_id=5147*

Critical Resilient Interdependent Infrastructure Systems and Processes (CRISP), *http://www.nsf.gov/publications/pub_summ.jsp?ods_key=nsf16519*

Critical & Techniques and Technologies for Advancing Foundations and Applications of Big Data Science and Engineering (BIGDATA), *http://www.nsf.gov/funding/pgm_summ.jsp?pims_id=504767*

Cultivating Cultures for Ethical STEM (CCE STEM), *http://www.nsf.gov/funding/pgm_summ.jsp?pims_id=505027*

Cyber-Physical Systems (CPS), *http://www.nsf.gov/funding/pgm_summ.jsp?pims_id=503286*

Emerging Frontiers in Research and Innovation (ERFI), *http://www.nsf.gov/funding/pgm_summ.jsp?pims_id=13708*

Energy-Efficient Computing: from Devices to Architectures (E2CDA), *https://www.nsf.gov/publications/pub_summ.jsp?ods_key=nsf16526*

Engineering Research Centers (ERCs), *http://www.nsf.gov/funding/pgm_summ.jsp?pims_id=5502*

Enhancing Access to the Radio Spectrum (EARS), *http://www.nsf.gov/funding/pgm_summ.jsp?pims_id=503480*

Expeditions in Computing, *http://www.nsf.gov/funding/pgm_summ.jsp?pims_id=503169*

Exploiting Parallelism and Scalability (XPS), *http://www.nsf.gov/funding/pgm_summ.jsp?pims_id=504842*

Facilitating Research at Primarily Undergraduate Institutions, *http://www.nsf.gov/funding/pgm_summ.jsp?pims_id=5518*

Faculty Early Career Development (CAREER), *http://www.nsf.gov/funding/pgm_summ.jsp?pims_id=503214*

Grant Opportunities for Academic Liaison with Industry (GOALI), *https://www.nsf.gov/publications/pub_summ.jsp?ods_key=nsf16099*

Industry/University Cooperative Research Centers Program (I/UCRC), *http://www.nsf.gov/funding/pgm_summ.jsp?pims_id=5501*

Innovations at the Nexus of Food, Energy and Water Systems (INFEWS), *http://www.nsf.gov/funding/pgm_summ.jsp?pims_id=505241*

Innovation Corps Teams Program (I-Corps), *http://www.nsf.gov/funding/pgm_summ.jsp?pims_id=504672*

Integrative Strategies for Understanding Neural and Cognitive Systems (NSF-NCS), *http://www.nsf.gov/funding/pgm_summ.jsp?pims_id=505132*

National Robotics Initiative (NRI), *http://www.nsf.gov/funding/pgm_summ.jsp?pims_id=503641*

Partnerships for International Research and Education (PIRE), *http://www.nsf.gov/publications/pub_summ.jsp?ods_key=nsf14587*

Science and Technology Centers: Integrative Partnerships (STC), *http://www.nsf.gov/funding/pgm_summ.jsp?pims_id=5541*

Science of Learning Collaborative Networks (SL-CN), *http://www.nsf.gov/funding/pgm_summ.jsp?pims_id=505176*

Secure and Trustworthy Cyberspace (SaTC), *http://www.nsf.gov/funding/pgm_summ.jsp?pims_id=504709*

Smart and Connected Health (SCH), *http://www.nsf.gov/funding/pgm_summ.jsp?pims_id=504739*

United States-Israel Collaboration in Computer Science (USICCS), *http://www.nsf.gov/funding/pgm_summ.jsp?pims_id=504828*

Education and Workforce Development Programs

ADVANCE: Increasing the Participation and Advancement of Women in Academic Science and Engineering Careers, *http://www.nsf.gov/funding/pgm_summ.jsp?pims_id=5383*

Advanced Technological Education (ATE), *http://www.nsf.gov/funding/pgm_summ.jsp?pims_id=5464*

CISE Research Initiation Initiative (CRII), *https://www.nsf.gov/funding/pgm_summ.jsp?pims_id=504952*

Computer Science for All (CS for All), *http://www.nsf.gov/news/special_reports/csed/index.jsp*

CyberCorps(R): Scholarship for Service (SFS), *http://www.nsf.gov/funding/pgm_summ.jsp?pims_id=504991*

Cyberlearning and Future Learning Technologies, *http://www.nsf.gov/funding/pgm_summ.jsp?pims_id=504984*

Discovery Research K-12 (DRK-12), *http://www.nsf.gov/funding/pgm_summ.jsp?pims_id=500047*

East Asia and Pacific Summer Institutes for US Graduate Students (EAPSI), *http://www.nsf.gov/funding/pgm_summ.jsp?pims_id=5284*

Graduate Research Fellowship Program (GRFP), *http://www.nsf.gov/funding/pgm_summ.jsp?pims_id=6201*

Improving Undergraduate STEM Education (IUSE: EHR), *https://www.nsf.gov/funding/pgm_summ.jsp?pims_id=505082*

Information Technology Experiences for Students and Teachers (ITEST), *http://www.nsf.gov/funding/pgm_summ.jsp?pims_id=5467*

International Research Experiences for Students (IRES), *http://www.nsf.gov/funding/pgm_summ.jsp?pims_id=12831*

NSF Research Traineeship Program (NRT), *http://www.nsf.gov/funding/pgm_summ.jsp?pims_id=505015*

NSF Scholarships in Science, Technology, Engineering, and Mathematics (S-STEM), *http://www.nsf.gov/funding/pgm_summ.jsp?pims_id=5257*

Research Experiences for Teachers (RET) in Engineering and Computer Science, *https://www.nsf.gov/publications/pub_summ.jsp?ods_key=nsf15536*

Research Experiences for Undergraduates (REU), *http://www.nsf.gov/funding/pgm_summ.jsp?pims_id=5517*

STEM + Computing Partnerships (STEM+C), *http://www.nsf.gov/funding/pgm_summ.jsp?pims_id=505006*

Research Infrastructure Programs

Big Data Regional Innovation Hubs (BD Hubs), *http://www.nsf.gov/funding/pgm_summ.jsp?pims_id=505185*

Big Data Regional Innovation Hubs: Establishing Spokes to Advance Big Data Applications (BD Spokes), *http://www.nsf.gov/funding/pgm_summ.jsp?pims_id=505264*

Campus Cyberinfrastructure – Data, Networking, and Innovation Program (CC*DNI), *http://www.nsf.gov/funding/pgm_summ.jsp?pims_id=504748*

CISE Research Infrastructure (CRI), *http://www.nsf.gov/funding/pgm_summ.jsp?pims_id=12810*

Cybersecurity Innovation for Cyberinfrastructure (CICI), *https://www.nsf.gov/publications/pub_summ.jsp?ods_key=nsf16533*

Data Infrastructure Building Blocks (DIBBs), *https://www.nsf.gov/publications/pub_summ.jsp?ods_key=nsf16530*

Major Research Instrumentation (MRI), *http://www.nsf.gov/od/iia/programs/mri/*

Petascale Computing Resource Allocations (PRAC), *https://www.nsf.gov/publications/pub_summ.jsp?ods_key=nsf16529*

Software Infrastructure for Sustained Innovation - SSE&SSI (SI^2-SSE&SSI), *http://www.nsf.gov/funding/pgm_summ.jsp?pims_id=503489*

For more information on these programs, please consult the NSF web site.

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