

GENERAL NOTES

The data presented in this report were derived from the Survey of Federal Funds for Research and Development and cover fiscal years 2001–2003. Data for 2001 represent completed transactions. Data for 2002 and 2003 are estimated. During the second quarter of fiscal year 2002, surveys were sent to all Federal agencies that were identified as conducting research and development (R&D) programs. Twenty-nine agencies reported R&D funding levels. The amounts reported for 2002 reflect congressional appropriation actions as of that period, as well as apportionment and reprogramming decisions as of that time. Data for 2003 represent administration budget proposals that had not been acted on at the time of the survey. Authorization, appropriation, deferral, and apportionment actions that were completed after these data were collected will be reflected in later surveys of this series.

R&D totals in the tables are given in both outlays and obligations. The R&D obligation data are further categorized according to character of work (basic research, applied research, and development), performer, field of science or engineering (for research but not for development), and Federal R&D funding by state. Obligations for research performance at universities and colleges by fields of science or engineering are also shown, as are R&D plant data.

The amounts reported for each year are expressed in obligations or outlays incurred or expected to be incurred in that year, regardless of when the funds may have been authorized, appropriated, or received by an agency, and regardless of whether the funds are identified in an agency's budget specifically for research, development, or R&D plant.

Accuracy of the data depends in part on the Federal respondents. Because many agency R&D programs are not identified as budget-line items, agency officials must identify R&D and R&D plant activities within broader programs. Over the years, personnel of the participating agencies have developed increasing skill and consistency in meeting the survey requirements, and their interactions with the National Science Foundation staff have considerably increased the reliability of the data.

Inquiries relating to *Federal Funds for Research and Development: Fiscal Years 2001, 2002, and 2003, Volume 51* of the Federal Funds series of detailed statistical tables, should be directed to

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NOTE:

For trend comparison, use only the historical data for fiscal years 1982–2003 contained in tables C-92 through C-112a in this volume of the Federal Funds series. These tables incorporate changes in prior-year data made by the agencies to reflect program reclassifications. Do not use data published earlier.