

## **EXPLANATION OF FY 2012 CARRYOVER INTO FY 2013 BY ACCOUNT**

The National Science Foundation's (NSF) total unobligated balance of \$166.83 million from the FY 2012 Discretionary and Mandatory accounts consist of amounts described below.

### **DISCRETIONARY**

Within the **Research and Related Activities (R&RA)** account, NSF carried over \$14.81 million into FY 2013. Obligation of these funds is expected by the end of the third quarter of FY 2013.

- Office of Polar Programs (OPP): OPP carried over \$6.04 million of no-year funds that were recovered from several awards and contracts from prior years.
- Directorate for Engineering (ENG): ENG carried over \$6.0 million of Small Business Innovation Research and Small Business Technology Transfer (SBIR/STTR) FY 2012 funds into FY 2013.
- Office of Integrative Activities (OIA): OIA carried over \$890,000 in the Science and Technology Centers (STCs) line to support the STC Summary Panel and the five strategic planning workshops related to the new STC centers cohort in FY 2013.
- National Coordination Office/Networking and Information Technology Research and Development (NCO/NITRD): NCO/NITRD carried over \$120,000 of operational funds to continue government procurements and operations.
- The remaining \$1.76 million was recovered from several awards and contracts from various programs throughout NSF that were not ready for obligation in FY 2012.

Within the **Education and Human Resources (EHR)** account, NSF carried over \$960,000 (2-year: \$330,000 and no-year: \$630,000) into FY 2013 for awards and contracts that were not ready for obligation in FY 2012. Obligation of these funds is expected by the end of the third quarter of FY 2013.

Within the **Major Research Equipment and Facilities Construction (MREFC)** account, \$690,000 was carried over into FY 2013; however, the obligation of these no-year funds may be spread over several years.

- NSF carried over \$510,000 for the Atacama Large Millimeter Array (ALMA) into FY 2013. The FY 2012 appropriation provided \$3.0 million for ALMA construction, which represents the final amount necessary to complete funding for the 11-year project, totaling \$499.26 million. The remaining \$510,000 in FY 2012 MREFC will be obligated in FY 2013 to complete construction.
- NSF carried over \$180,000 for South Pole Station Modernization (SPSM) for closing-out costs.

### **AMERICAN RECOVERY AND REINVESTMENT ACT OF 2009 (ARRA)**

Within the **Office of Inspector General ARRA** account, \$1.15 million was carried over from FY 2012 into FY 2013. These multi-year funds are intended explicitly for ARRA oversight. These funds were obligated during the first quarter of FY 2013.

**INCOMING INTERAGENCY REIMBURSABLE AGREEMENTS**

- R&RA: Incoming two-year interagency funds were carried over into FY 2013 in the amount of \$3.57 million. Obligation of these funds is expected by the end of the third quarter of FY 2013.
- EHR: Incoming two-year interagency funds were carried over into FY 2013 in the amount of \$4.21 million. Obligation of these funds is expected by the end of the third quarter of FY 2013.

**MANDATORY**

Within the **H-1B Nonimmigrant Petitioner** account, \$99.31 million was carried over into FY 2013, which consists of \$19.31 million in Innovative Technology Experiences for Students and Teachers (ITEST) and \$80.0 million in NSF Scholarship in Science, Technology, Engineering, and Mathematics (S-STEM) and includes \$69.61 million in third quarter H-1B receipts (received in August 2012) and \$18.88 million in fourth quarter receipts (received in November 2012). Since NSF receives the largest quarterly payment of H-1B visa fees in August, there is insufficient time to obligate the receipts on awards before the end of the fiscal year. These resources will allow both ITEST and S-STEM to support awards through the third quarter of FY 2013.

Within the **Donations** account, \$42.13 million was carried over into FY 2013. Donations were received from foreign governments, organizations, and individuals to fund various cooperative efforts in science, research, and education.

**Discretionary and Mandatory Accounts  
Distribution of FY 2012 Carryover into FY 2013**  
(Dollars in Millions)

	Discretionary and Mandatory Accounts	Incoming Interagency Reimbursables	Total FY 2013 Carryover from FY 2012
<b>Discretionary Accounts</b>			
Research and Related Activities	\$14.81	\$3.57	\$18.38
Education and Human Resources	0.96	4.21	5.17
Major Research Equipment and Facilities Construction	0.69	-	0.69
Office of Inspector General (ARRA)	1.15	-	1.15
<b>Subtotal</b>	<b>17.61</b>	<b>7.78</b>	<b>25.39</b>
<b>Mandatory Accounts</b>			
H-1B Non-Immigrant Petitioner	99.31	-	99.31
Donations (Special or Trust Fund)	42.13	-	42.13
<b>Subtotal</b>	<b>141.44</b>	<b>-</b>	<b>141.44</b>
<b>TOTAL</b>	<b>\$159.05</b>	<b>\$7.78</b>	<b>\$166.83</b>

Totals may not add due to rounding.