

FY 2011 CARRYOVER INTO FY 2012 BY ACCOUNT

The National Science Foundation's (NSF) total unobligated balance of \$127.52 million from the FY 2011 Discretionary appropriation, the American Recovery and Reinvestment Act of FY 2009 (ARRA) appropriation, Incoming Interagency Reimbursable Agreements, and Mandatory accounts consist of amounts described below.

DISCRETIONARY

Within the **Research and Related Activities (R&RA)** appropriation, NSF carried over \$2.38 million (i.e., 2-year: \$1.31 million; and no-year: \$1.07 million) into FY 2012. The major items include awards and contracts from various programs throughout NSF that were not ready for obligation in FY 2011. Obligation of these funds is expected by the second quarter of FY 2012.

Within the **Education and Human Resources (EHR)** appropriation, NSF carried over \$221,000 (i.e., 2-year: \$181,000; and no-year: \$40,000) into FY 2012 for awards and contracts that were not ready for obligation in FY 2011. Obligation of these funds is expected by the second quarter of FY 2012.

Within the **Major Research Equipment and Facilities Construction (MREFC)** appropriation, \$878,000 was carried over into FY 2012. This includes:

- \$694,000 for IceCube Neutrino Observatory (IceCube): Project closure activities and associated costs are currently being finalized.
- \$164,000 for South Pole Station Modernization (SPSM): Final project costs are being reconciled and will be concluded in FY 2012. If any of the remaining funds are required, they will be obligated in the second quarter of FY 2012.
- NSF recovered \$20,000 in FY 2011 following the conclusion of the Earthscope and NEES construction projects. These funds were carried over and are being applied to ongoing projects.

AMERICAN RECOVERY AND REINVESTMENT ACT OF 2009 (ARRA)

Within the **Office of Inspector General ARRA** appropriation, \$1.84 million was carried over from FY 2011 into FY 2012. These multi-year funds are intended explicitly for ARRA oversight. Obligation of these funds will occur over the period of availability, which was revised under P.L. 111-203 to be through December 31, 2012 pending a waiver process which could extend availability back to September 30, 2013.

INCOMING INTERAGENCY REIMBURSABLE AGREEMENTS

- R&RA: Incoming two-year interagency funds were carried over into FY 2012 in the amount of \$4.73 million. Obligation of these funds is expected by the second quarter of FY 2012.
- EHR: Incoming two-year interagency funds were carried over into FY 2012 in the amount of \$4.20 million. Obligation of these funds is expected by the second quarter of FY 2012.

MANDATORY

Within the **H-1B Nonimmigrant Petitioner** account (Mandatory), \$60.93 million was carried over into FY 2012, which consisted of \$17.41 million in ITEST and \$43.52 million in S-STEM and includes third quarter H-1B receipts (received in July 2011) and \$32.5 million in fourth quarter receipts (received in October 2011). Since NSF receives the largest quarterly payment of H-1B visa fees in July, there is insufficient time to obligate the receipts on awards before the end of the fiscal year. These resources will allow for both I-TEST and S-STEM to support awards in the third quarter of FY 2012.

Within the **Donations** account, \$52.34 million was carried over into FY 2012. Donations were received from foreign governments, organizations, and individuals to fund various cooperative efforts in science, research, and education. This includes donations from the Bill and Melinda Gates Foundation (BMGF), which provided substantial funding ahead of schedule that enabled forward funding on several awards. NSF is currently ahead on spending the BMGF portion of funds.

Discretionary and ARRA Appropriations, Incoming Interagency Reimbursable Agreements, Donations, and Mandatory Account

Distribution of FY 2011 Carryover into FY 2012

(Dollars in Millions)

	FY 2012 Carryover from FY 2011	ARRA FY 2012 Carryover from FY 2011	Incoming Interagency Reimbursables	Total FY 2012 Carryover
Research and Related Activities (R&RA)	\$2.38	-	-	\$2.38
R&RA Incoming Reimbursables			4.73	4.73
Education and Human Resources (EHR)	0.22	-		0.22
EHR Incoming Reimbursables (EHR)			4.20	4.20
Major Research Equipment and Facilities Construction (MREFC)	0.88	-	-	0.88
Office of Inspector General (OIG)	-	1.84	-	1.84
Subtotal	3.48	1.84	8.93	14.25
Mandatory				
Mandatory (H-1B Non-Immigrant Petitioner)	60.93	-	-	60.93
Donations (Special or Trust Fund)	52.34	-	-	52.34
Subtotal	113.27	-	-	113.27
Total	\$116.75	\$1.84	\$8.93	\$127.52

Totals may not add due to rounding.