

EXPLANATION OF FY 2014 CARRYOVER INTO FY 2015 BY ACCOUNT

The National Science Foundation's (NSF) total unobligated balance of \$211.02 million (\$68.94 million for Discretionary accounts, including \$5.88 million for Incoming Interagency Reimbursable Agreements, and \$136.20 million for Mandatory accounts) is described below.

DISCRETIONARY

Within the **Research and Related Activities (R&RA)** account, \$57.66 million (including \$5.60 million in reimbursable funds) was carried over into FY 2015. Obligation of all of these funds is expected by the end of the second quarter of FY 2015, unless noted otherwise.

- Directorate for Engineering (ENG) carried over \$18.07 million.
 - \$8.47 million is for the solicitation NSF14-557, Decision Frameworks for Multi-Hazard Resilient and Sustainable Buildings (RSB), which had a proposal deadline date of July 24, 2014. Programs involved include Network for Earthquake Engineering Simulation (NEES) Operations and Research, Hazard Mitigation and Structural Engineering, Geotechnical Engineering, Engineering and Systems Design, and Systems Science. This solicitation replaces the annual NEES Research solicitation as the program undergoes the transition from NEES to the follow-on facility.
 - \$3.09 million is for Sustainability Research Networks (SRN) connected to the SRN solicitation (14-534), which was released later than anticipated. This competition covers both FY 2014 and FY 2015.
 - \$6.51 million is for awards related to the Brain Research through Advancing Innovative Neurotechnologies (BRAIN) Initiative, Early Concept Grant for Exploratory Research (EAGER), joint partnership with the Air Force Office of Scientific Research (AFOSR), and Dear Colleague Letters (DCLs) for other items that were unable to be processed before the end of the fiscal year.
- Directorate for Mathematical and Physical Sciences (MPS) carried over \$29.81 million.
 - MPS carried over \$29.81 million for the Materials Research Science and Engineering Centers (MRSEC) awards in the Division of Materials Research. Awards were not ready for obligation before the close of FY 2014.
- Integrative Activities (IA) carried over \$1.67 million.
 - \$1.67 million is for Evaluation and Assessment Capability (EAC). This amount includes funding for the Graduate Research Postdoctoral Fellowship program pilot longitudinal monitoring/data collection, information system to store evaluation reports and statements of work, development of internal EAC website, NSF Innovation Corps (I-Corps™) longitudinal data collection for I-Corps™ Teams (evaluation and initial data collection system), portfolio analysis pilots, and the G8 meeting.
- The carryover associated with R&RA includes \$100,018 for the operations of the National Coordination Office/Networking and Information Technology Research and Development, and \$20,354 for the operations of the National Nanotechnology Coordination Office.
- Within R&RA, \$5.60 million of incoming two-year interagency funds were carried over.
- The remaining R&RA carryover of \$2.39 million consists of funds from throughout the Foundation for projects that were not ready for obligation in FY 2014.

Within the **Education and Human Resources (EHR)** account, \$16.37 million (including \$281,000 in reimbursable funds) was carried over into FY 2015. It is estimated that these funds will be obligated during the second quarter of FY 2015.

- \$12.50 million for the NSF Research Traineeships (NRT) was carried over. The solicitation for the cross-directorate program was released on March 24, 2014, with 258 proposals received by the June 24, 2014 due date. Thirteen panels were scheduled during the August 18 to September 15 timeframe. Award recommendations will be finalized during the second quarter of FY 2015.
- \$2.75 million for the Excellence Awards in Science and Engineering (EASE) Program:
 - \$2.36 million for the Presidential Awards for Excellence in Mathematics and Science Teaching (PAEMST) program was carried over into FY 2015. The FY 2013 awardees were not recognized in FY 2014 and have been scheduled to be recognized in FY 2015 along with the FY 2014 awardees.
 - \$394,000 for the Presidential Awards for Excellence in Science, Mathematics and Engineering Mentoring (PAESMEM) was carried over into FY 2015. The FY 2012 and FY 2013 awardees were not recognized in FY 2014. They are scheduled to be recognized in FY 2015 along with FY 2014 awardees.
- \$827,693 for the Robert Noyce program was carried over into FY 2015 for awards that were not ready for obligation.
- Within EHR, \$281,000 of incoming two-year interagency funds were carried over.

Within the **Major Research Equipment and Facilities Construction** account an amount of \$390,592 was carried over into FY 2015.

Within the **Office of Inspector General (OIG)** account, \$400,000 in two-year funds was carried over into FY 2015. Most of these funds are expected to be used to procure audit and forensic contracts during the second quarter of FY 2015. The selection of awards and institutions to be audited will require careful preparation and is subject to changing circumstances and new information that may require additional time to process.

MANDATORY

Within the **H-1B Nonimmigrant Petitioner** account, \$108.35 million was carried over and consists of \$27.09 million for Innovative Technology Experiences for Students and Teachers (ITEEST) and \$81.26 million for Scholarship in Science, Technology, Engineering, and Mathematics (S-STEM). Since NSF receives the largest payments of H-1B visa fees in August and September, there was insufficient time to obligate the receipts on awards before the end of the fiscal year. These resources will allow both ITEEST and S-STEM to support awards through the second quarter of FY 2015.

Within the **Donations** account, \$27.85 million was carried over into FY 2015. Donations were received from foreign governments, organizations, and individuals to fund various cooperative efforts in science, research, and education.

Discretionary and Mandatory Accounts
Distribution of FY 2014 Carryover into FY 2015
(Dollars in Millions)

Discretionary Accounts	Amount
Research and Related Activities	\$57.66
Education and Human Resources	16.37
Major Research Equipment and Facilities Construction	0.39
Office of Inspector General	0.40
Subtotal	74.82
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Mandatory Accounts	
H-1B Non-Immigrant Petitioner	108.35
Donations (Special or Trust Fund)	27.85
Subtotal	136.20
TOTAL	\$211.02

Totals may not add due to rounding.