



Astrophysics in the NSF Physics Division

C. Denise Caldwell
Division Director

With input from Jean Cottam, Jim Whitmore, Keith Dienes,
Pedro Marronetti, Mark Coles, Allena Oppen, and Slava Lukin



NATIONAL SCIENCE FOUNDATION

NATIONAL SCIENCE BOARD (NSB)

Don E. Arvizu
Chair

Kelvin K. Droegemeier
Vice Chair

703.292.7000

NATIONAL SCIENCE BOARD OFFICE

Michael Van Woert
Executive Officer

703.292.7000

OFFICE OF INSPECTOR GENERAL (OIG)

Allison C. Lerner, Inspector General

703.292.7100

Richard Buckius
Chief Operating Officer

OFFICE OF THE DIRECTOR
703.292.8000

France A. Córdova
Director

Vacant
Deputy Director

OFFICE OF DIVERSITY & INCLUSION (ODI)

Rhonda Davis, Head

703.292.8000

OFFICE OF THE GENERAL COUNSEL (OGC)

Lawrence Rudolph, General Counsel

Peggy Ruffa, Deputy GC

703.292.8000

OFFICE OF INTEGRATIVE ACTIVITIES (OIA)

Stephanie Jackson, Acting Head

703.292.8000

OFFICE OF INTERNATIONAL SCIENCE & ENGINEERING (OISE)

Rafaela Katsar, Head

703.292.8710

OFFICE OF LEGISLATIVE & PUBLIC AFFAIRS (OLPA)

Amanda Greenwell, Head

703.292.8070

DIRECTORATE FOR BIOLOGICAL SCIENCES (BIO)

James L. Cole, Assistant Director

Jana Elbertson, Deputy AD

703.292.8600

DIVISION OF BIOLOGICAL INFRASTRUCTURE (BIBI)

James Decker, Acting Division Director

703.292.8670

DIVISION OF ENVIRONMENTAL BIOLOGY (EB)

Paula M. Walker, Division Director

703.292.8660

DIVISION OF INTEGRATIVE ORGANISMAL SYSTEMS (IOS)

Robert D. Miller, Acting Division Director

703.292.8600

DIVISION OF MOLECULAR & CELLULAR BIOLOGICAL SCIENCES (MBCS)

Ulfar E. Ryman, Division Director

703.292.8660

OFFICE OF EMERGING FRONTIERS (EF)

Charles Lumsden, Acting Division Director

703.292.8600

DIRECTORATE FOR COMPUTER & INFORMATION SCIENCE & ENGINEERING (CISE)

James F. Krumm, Assistant Director

Brian Glenshaw, Acting Deputy AD

703.292.8600

DIVISION OF COMPUTER & SERVICES INFRASTRUCTURE (CSI)

Peter Amburgey, Acting Division Director

703.292.8680

DIVISION OF COMPUTING & COMMUNICATION FOUNDATIONS (CCF)

Rae Koenig, Division Director

703.292.8670

DIVISION OF ADVANCED CYBERINFRASTRUCTURE (ACI)

Steve Quilley, Division Director

703.292.8670

DIVISION OF INFORMATION & INTELLIGENT SYSTEMS (IIS)

Lynne E. Parker, Division Director

703.292.8680

DIRECTORATE FOR EDUCATION & HUMAN RESOURCES (EHR)

Jean Perrotti Murthy, Assistant Director

William (Jim) Lewis, Deputy AD

703.292.8600

DIVISION OF GRADUATE EDUCATION (GE)

Dean Franklin, Division Director

703.292.8680

DIVISION OF HUMAN RESOURCE DEVELOPMENT (HRD)

Byrle James, Division Director

703.292.8660

DIVISION OF RESEARCH ON LEARNING IN FORMAL & INFORMAL SETTINGS (RLIFS)

Frank Hall, Division Director

703.292.8680

DIVISION OF UNDERGRADUATE EDUCATION (DUE)

Brian Briggs, Division Director

703.292.8670

DIRECTORATE FOR ENGINEERING (ENG)

Frederic R. Khargonekar, Assistant Director

Grace Wang, Deputy AD

703.292.8600

DIVISION OF CHEMICAL, BIOCHEMICAL, MANUFACTURING, & TRANSPORT SYSTEMS (CBMTS)

Jeffrey Lighty, Division Director

703.292.8630

DIVISION OF CIVIL, MECHANICAL & MANUFACTURING INNOVATION (CMMI)

Deborah Goodings, Division Director

703.292.8680

DIVISION OF ELECTRICAL, COMMUNICATIONS & CYBER SYSTEMS (ECCS)

Brian W. Gossard, Division Director

703.292.8630

DIVISION OF ENGINEERING EDUCATION & CENTER & INNOVATION (EEECI)

Brian Fink, Division Director

703.292.8630

DIVISION OF INDUSTRIAL INNOVATION & PARTNERSHIPS (IIP)

Mary Johnson, Division Director

703.292.8630

OFFICE OF EMERGING FRONTIERS IN RESEARCH & INNOVATION (EFRI)

Ruth Redinger, Senior Advisor

703.292.8600

DIRECTORATE FOR GEOSCIENCES (GEO)

Roger W. Reynolds, Assistant Director

Margaret Cunningham, Deputy AD

703.292.8600

DIVISION OF ATMOSPHERIC & GEOSPHERE SCIENCES (AGS)

Paul Haysom, Division Director

703.292.8700

DIVISION OF EARTH SCIENCE (ES)

Carol Fink, Division Director

703.292.8630

DIVISION OF OCEAN SCIENCES (OCS)

Richard Murray, Division Director

703.292.8680

DIVISION OF POLAR PROGRAMS (PP)

Kelly Palmer, Division Director

703.292.8630

DIRECTORATE FOR MATHEMATICAL & PHYSICAL SCIENCES (MPS)

Flannery Cole, Assistant Director

Clifford Gabel, Acting Deputy AD

703.292.8600

DIVISION OF ASTROPHYSICAL SCIENCES (AUS)

James Greenleaf, Division Director

703.292.8630

DIVISION OF CHEMISTRY (CH)

David Reed, Acting Division Director

703.292.8660

DIVISION OF MATERIALS RESEARCH (DMR)

Linnea R. Spergel, Acting Division Director

703.292.8670

DIVISION OF MATHEMATICAL SCIENCES (DMS)

Michael Vogelius, Division Director

703.292.8670

DIVISION OF PHYSICS (PHY)

David Carlson, Division Director

703.292.8680

OFFICE OF MULTIDISCIPLINARY ACTIVITIES (OMA)

Clark Cooper, Office Head

703.292.8680

DIRECTORATE FOR SOCIAL, BEHAVIORAL, & ECONOMIC SCIENCES (SBE)

Peg L. Cook, Assistant Director

Kathleen M. Cragg-Henderson, Deputy AD

703.292.8700

DIVISION OF BEHAVIORAL & COGNITIVE SCIENCES (BCS)

Arthur Wong, Acting Division Director

703.292.8760

DIVISION OF SOCIAL & ECONOMIC SCIENCES (SES)

John Lyndley, Acting Division Director

703.292.8760

NATIONAL CENTER FOR SCIENCE AND ENGINEERING STATISTICS (NCSES)

John Gossard, Division Director

703.292.8760

OFFICE OF BUDGET, FINANCE, & AWARD MANAGEMENT (BFA)

Barbara A. Rubenstein, Head / Chief Financial Officer

Teresa Greenwell, Acting Deputy Head

703.292.8200

BUDGET DIVISION (BD)

Michael Roberts, Division Director

703.292.8200

DIVISION OF ACQUISITION AND COOPERATIVE SUPPORT (DACS)

Jeffrey Lupton, Division Director

703.292.8260

DIVISION OF FINANCIAL MANAGEMENT (DFM)

John Lyndley, Acting Division Director

703.292.8260

DIVISION OF GRANTS & AGREEMENTS (DGA)

Jane French, Acting Division Director

703.292.8270

DIVISION OF INSTITUTION & AWARD SUPPORT (DIAS)

Debi Hall, Division Director

703.292.8200

LARGE FACILITIES OFFICE

Matthew J. Perkins, Deputy Director

703.292.8410

OFFICE OF INFORMATION & RESOURCE MANAGEMENT (OIRM)

Jeanne E. Thomas, Head / Chief Human Capital Officer

Donna Roloff, Deputy Office Head

703.292.8100

DIVISION OF ADMINISTRATIVE SERVICES (DAS)

Monica Sanchez, Acting Division Director

703.292.8100

DIVISION OF INFORMATION SYSTEMS (DIS)

Donna Roloff, Division Director

703.292.8100

DIVISION OF HUMAN RESOURCE MANAGEMENT (HRM)

Judy Runkle, Division Director

703.292.8100



Denise Caldwell, DD

Division of Physics – 2017

Brad Keister, DDD

Atomic, Molecular, Optical
& Plasma Physics

Gillaspy (F); Lukin (T); Cronin (I)

Interactive Activities in Physics

(REU Sites, MRI, CAREER, BP org) McCloud (F)

Elementary Particle Physics; LHC

Shank (I); Gonzalez (F); Ruchti (E); Coles (F)

Particle Astrophysics; IceCube

Whitmore (F); Cottam (F)

Physics at the Information Frontier
(QIS, Computational Physics, CDS&E)

Cronin (I); Mihaila (F)

Gravitational Physics; LIGO, AdvLIGO

Marronetti (F); Coles (F)

Nuclear Physics; NSCL

Opper (F); Garcia (I)

Theoretical Physics

(AMO, Nuclear, EPP, AC)

Cavagnero (I); Mihaila (F); Dienes (V)

Physics of Living Systems

Blagoev (F)

Physics Frontiers Centers

Cottam (F); McCloud (F)

Accelerator Science

Shank (I); Gonzalez (F); Lukin (T)

Mid-Scale Instrumentation, Coles, Science Advisor



Physics Division Portfolio

The portfolio of awards made through the Physics Division has as primary goal “to promote the progress of science”, as expressed in the NSF act. Awards in the portfolio support the research needed to address a scientific question that is at the frontier of knowledge as it is currently known, while at the same time extending and redefining that frontier. Inherent in the implementation of this portfolio, which includes significant support for students and junior scientists, is the preparation of the next generation of the advanced high tech workforce and the development of innovative new technologies that arise in the quest to answer some of the hardest questions that Nature can pose.

Implementation:

Begin with new ideas generated by the physics community

Inform the process through workshops, input from advisory committees, proposal reviews,
and the scientific expertise of the Program Directors



Five Perspectives on the Frontiers of Physics

Controlling the Quantum World– Electromagnetic radiation in the non-classical limit, Entanglement, Cavity QED, QIS, Optomechanics

Complex Systems and Collective Behavior – Living cells, biological systems, ultracold fermions and bosons, quark-gluon liquid

Neutrinos and Beyond the Higgs – Neutrino mass, new particles, unification of quantum mechanics and gravity, electron and neutron dipole moments

Origin and Structure of the Universe – Star formation and creation of the elements, dark matter and dark energy, modeling of black holes, gravitational waves

Strongly-Interacting Systems– QCD computations, quark structure of baryons, high-field laser-matter interactions, supernovae, strong gravity



Questions Cut Across Disciplinary Programs

Controlling the Quantum World: Optical Physics; Quantum Information Science

Complex Systems and Collective Behavior: Physics of Living Systems; Atomic and Molecular Dynamics; Nuclear Physics; Plasma Physics

Neutrinos and Beyond the Higgs: Particle Astrophysics; Gravitational Physics; Nuclear Physics; Precision Measurements; Elementary Particle Physics

Origin and Structure of the Universe: Gravitational Physics; Nuclear Physics; Particle Astrophysics; Plasma Physics

Strongly-Interacting Systems: Nuclear Physics; Gravitational Physics; Plasma Physics



Era of Gravitational Wave Astrophysics

Direct Detection of Gravitational Waves

Binary Black Hole – Black Hole mergers

Event GW150914

Original black holes:

29 and 36 solar masses (M_{\odot}).

Final black hole:

62 M_{\odot} with dimensionless spin 0.67

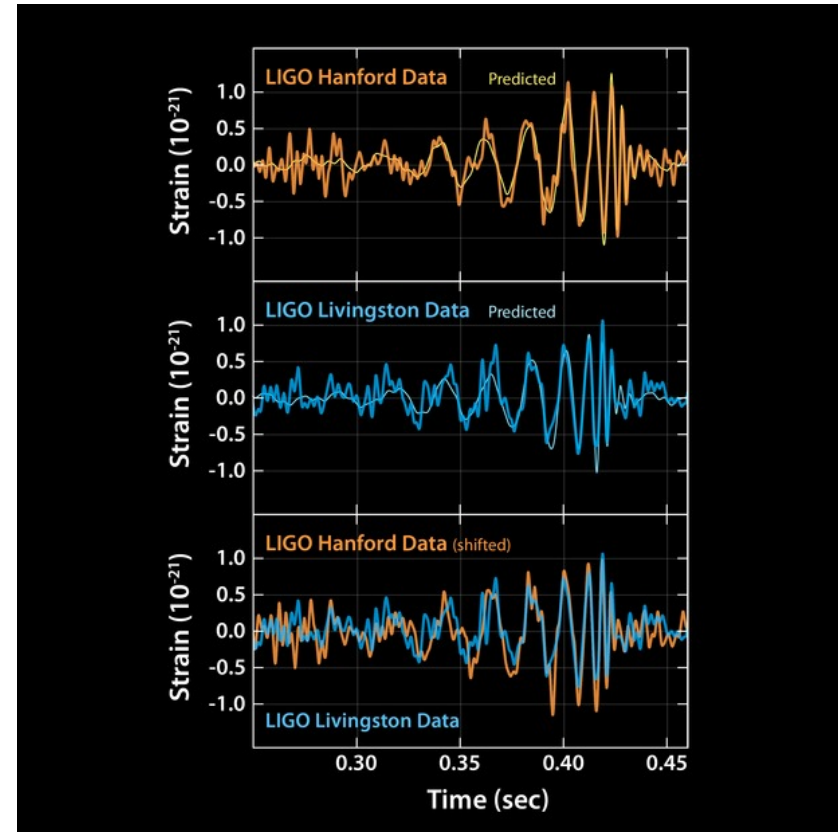
Event GW151226

Original black holes:

14 and 7.5 solar masses (M_{\odot}).

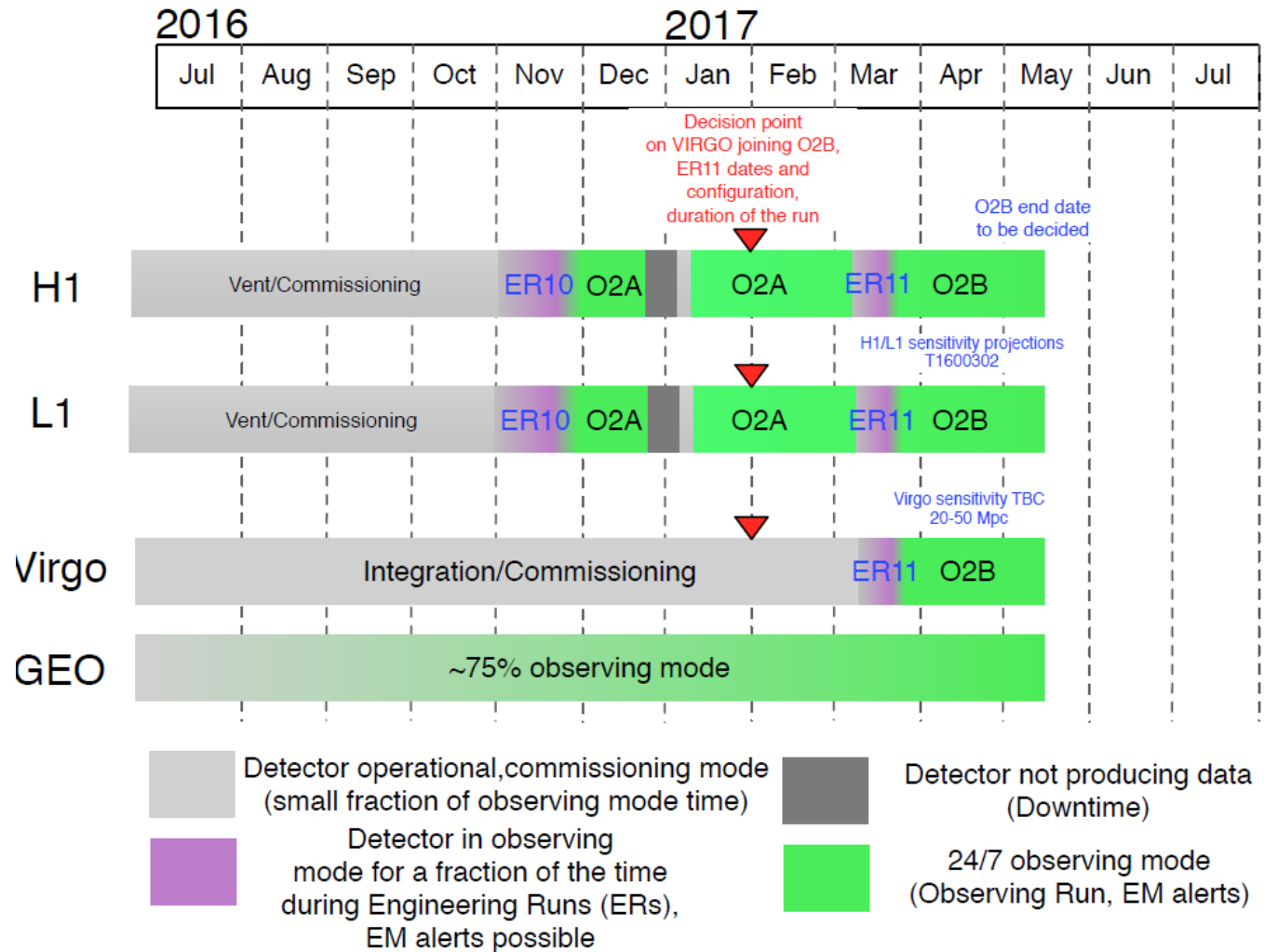
Final black hole:

20.8 M_{\odot} with one component spin >0.2

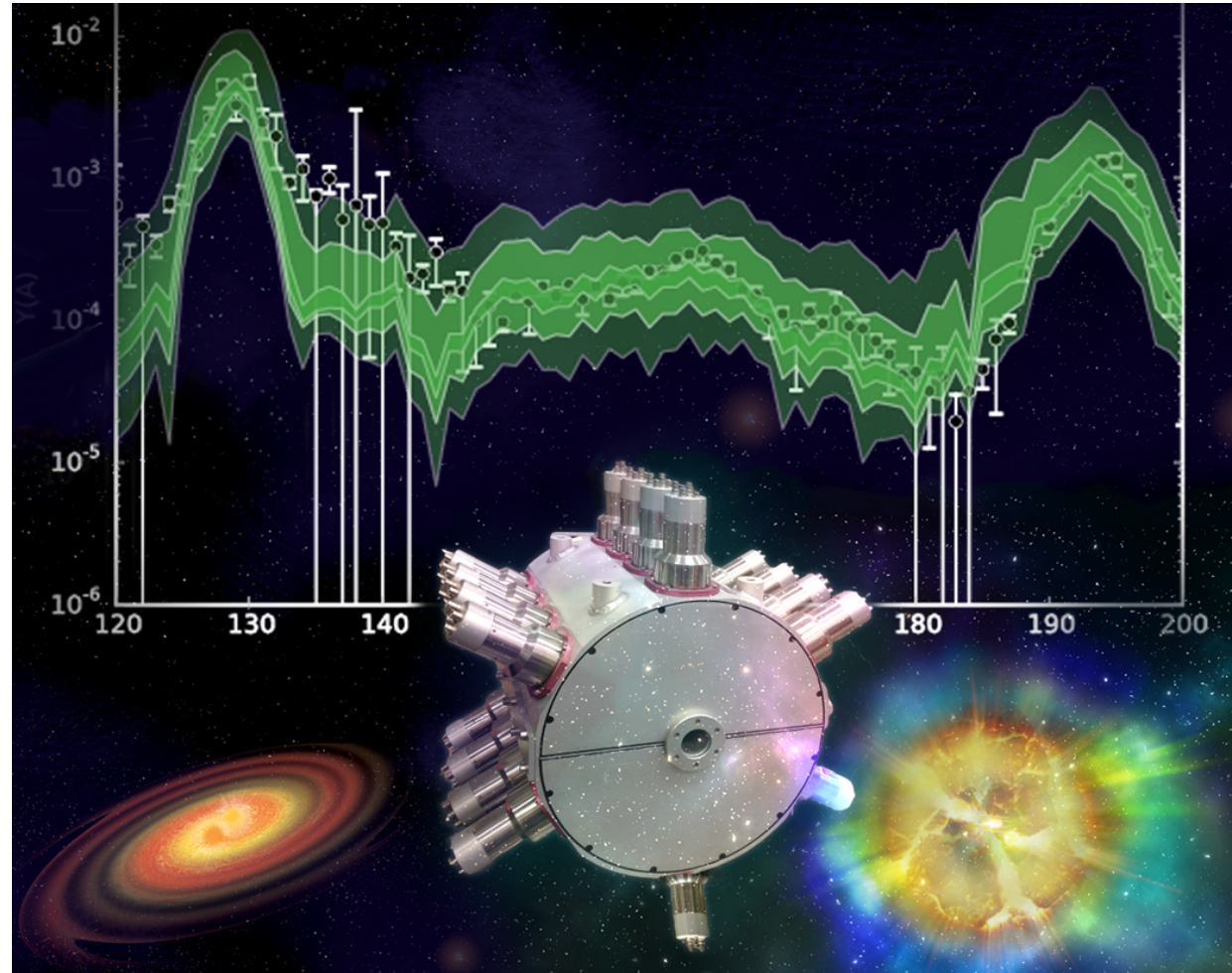


LIGO is preparing for the second scientific observation run after Advanced LIGO upgrade (O2)

- O2 begins first week of December 2016
- After a holiday break, O2 continues during spring 2017
- LIGO sensitivity is expected to be 10% to 30% greater than first run (1.3 to 2.2 increase in event rate)
- Virgo operation planned to overlap with LIGO in spring 2017. Virgo sensitivity TBD

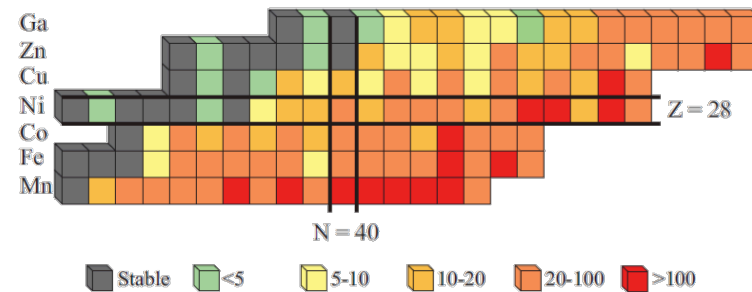


Connecting astrophysics and low-energy nuclear physics

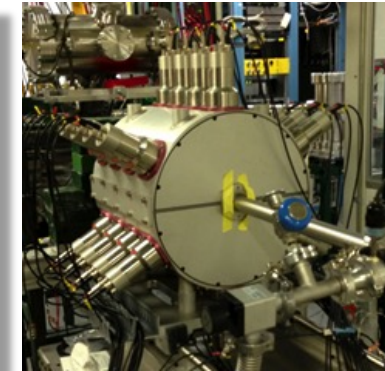
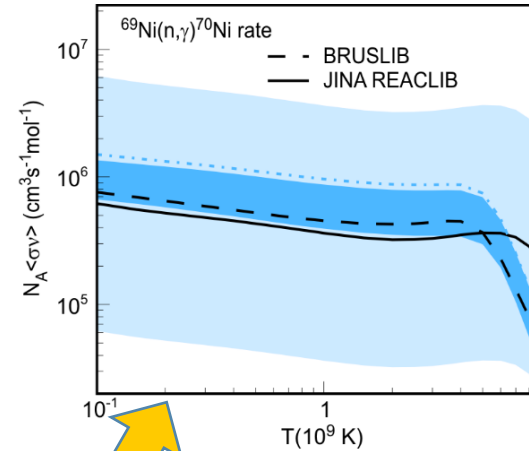


New work at NSCL: constrains neutron capture rates – key to modeling stellar explosions

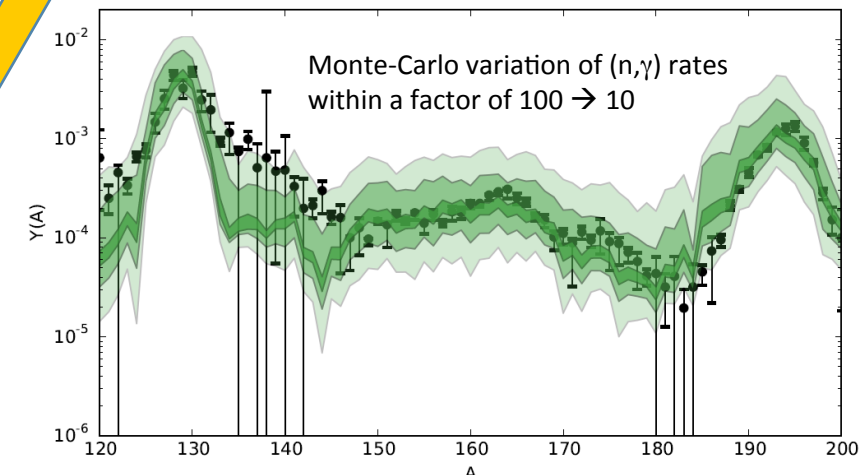
- The abundance pattern from stellar events encodes the underlying physics.
- Nuclear reaction rates needed to test r-process models against observations
- Color = uncertainty of neutron-capture rates. Most key reactions are far from stability



- New technique using γ -ray calorimetry developed by MSU and Univ of Oslo using SuN detector at NSCL used to extract $^{69}\text{Ni}(n,\gamma)^{70}\text{Ni}$.
- Uncertainty now approximately 2-3 (dark blue band) – achievable for rare isotopes far from stable
- **Accurate rates allow model comparisons. With error of 2-3 dark green band is possible**



SuN detector at NSCL

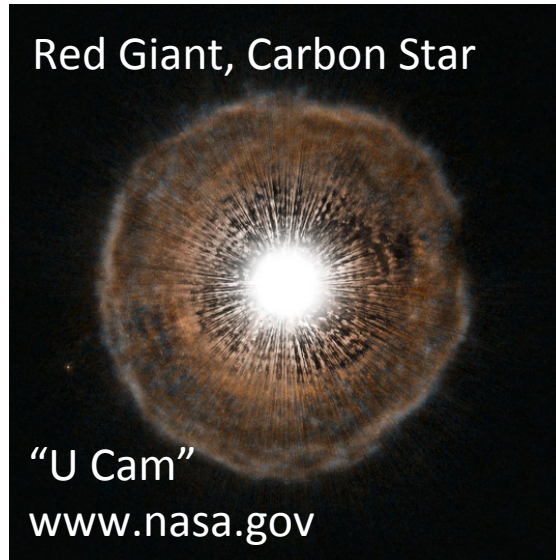


S.N. Liddick, A. Spyrou et al., Phys. Rev. Lett 116, 242502 (2016)

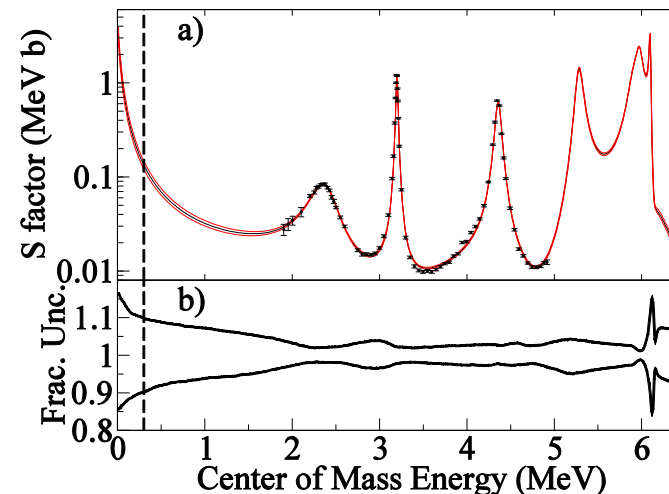
<http://physics.aps.org/synopsis-for/10.1103/PhysRevLett.116.242502>

The $^{12}\text{C}(\alpha, \gamma)^{16}\text{O}$ reaction and stellar helium burning

- $^{12}\text{C}(\alpha, \gamma)^{16}\text{O}$ reaction determines $^{12}\text{C}:^{16}\text{O}$ in the universe
 - building blocks of organic life and the fuels for stars in the later stages of their evolution
- Comprehensive *R*-matrix analysis to fit an unprecedented amount of experimental nuclear physics data →
 - constrain the $^{12}\text{C}(\alpha, \gamma)^{16}\text{O}$ cross section
 - investigate uncertainties stemming from data and model



Monte Carlo Uncertainty analysis





Experimental Particle Astrophysics (PA)

Particle Astrophysics – Cosmic Phenomena:

This area supports university research that uses astrophysical sources and particle physics techniques to study fundamental physics. This includes the study of ultra-high energy particles reaching Earth from beyond our atmosphere (cosmic-rays, gamma-rays, and neutrinos with the exception of IceCube); searches for supernova neutrinos; and studies of the Cosmic Microwave Background (CMB) and Dark Energy.

Particle Astrophysics – Underground Physics:

This area supports university research that generally locates experiments in low background environments. Currently supported activities include: studies of solar, underground and reactor neutrinos; neutrino mass measurements; and searches for the direct detection of Dark Matter.

Particle Astrophysics – IceCube Research Support:

This area supports university research that utilizes the facilities of IceCube at the South Pole. Currently supported activities include: searches for ultra-high energy neutrinos and studies of the properties of neutrinos.

Also Strong Theoretical Program in Theoretical Astrophysics and Cosmology



PA Program Scope & Currently Supported Projects

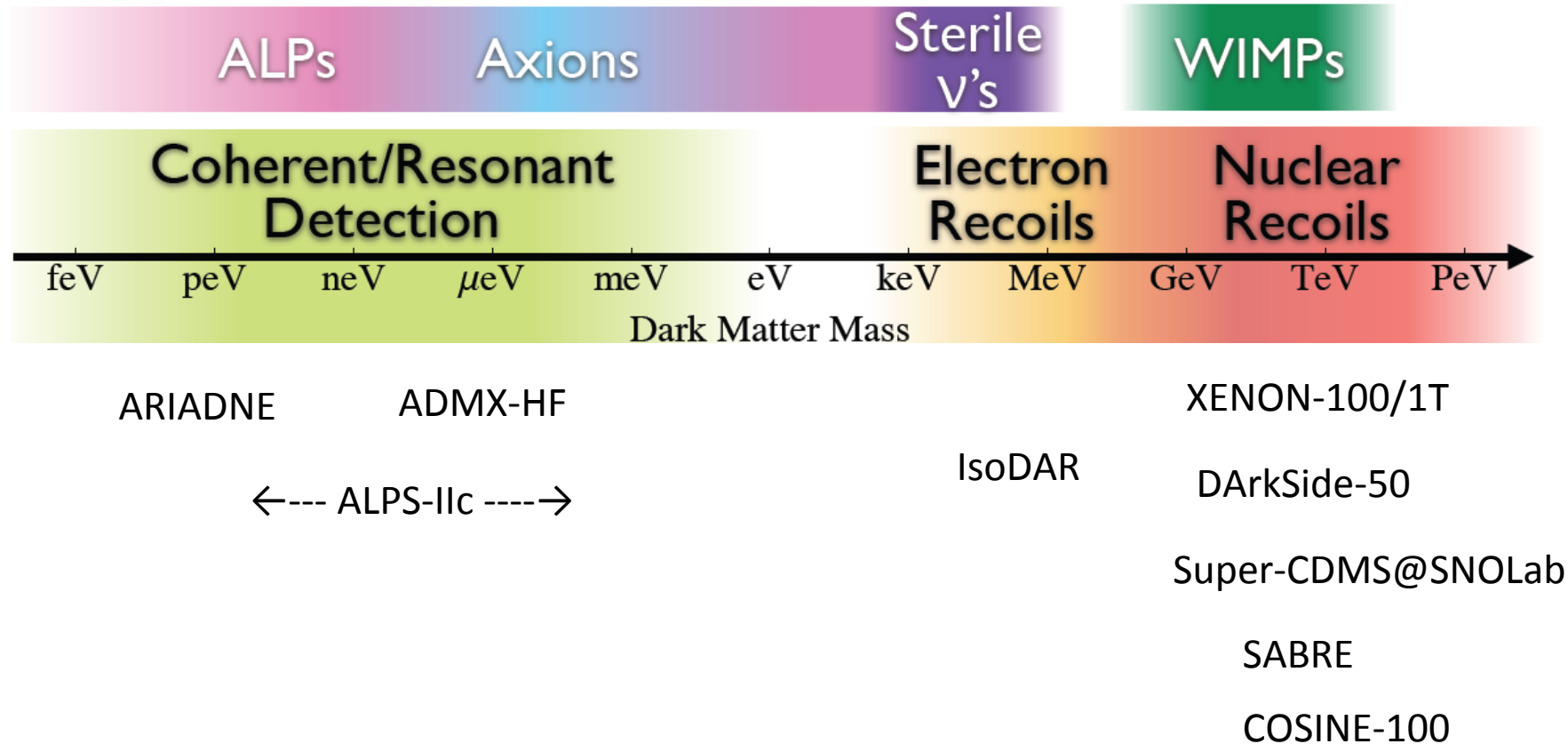
- Direct Dark Matter Detection – WIMP and non-WIMP experiments
[SuperCDMS at SNOLAB](#), [XENON100/1T](#), [LUX](#), [DarkSide](#), [PICO](#), [DRIFT](#), [DM-Ice](#), [SABRE](#), [DAMIC](#), [ADMX-HF](#), [ALPS2](#) and [DM-GPS](#)
- Indirect Dark Matter Detection
[VERITAS](#), [HAWC](#), [IceCube](#)
- Cosmic Ray, Gamma Ray, and UHE Neutrino Observatories
[IceCube](#), [VERITAS](#), [HAWC](#), [Auger](#), [Telescope Array](#), [ARA](#), [ARIANNA](#)
- Cosmic Microwave Background
[SPT](#), [ACT-Pol \(w/ Gravity\)](#)
- Neutrino Properties
[Double Chooz](#), [Daya Bay](#), [Project 8](#), [IceCube](#), [IsoDAR](#)
- Solar, Geo- and SuperNova Neutrinos
[Borexino](#), [SNEWS](#)
- Planck Scale Physics
[Holometer](#)
- Detector R&D
[NaI/CsI](#), [LiSc/QD](#)

AST

Polar Programs



Dark Matter Candidates





Dark Matter

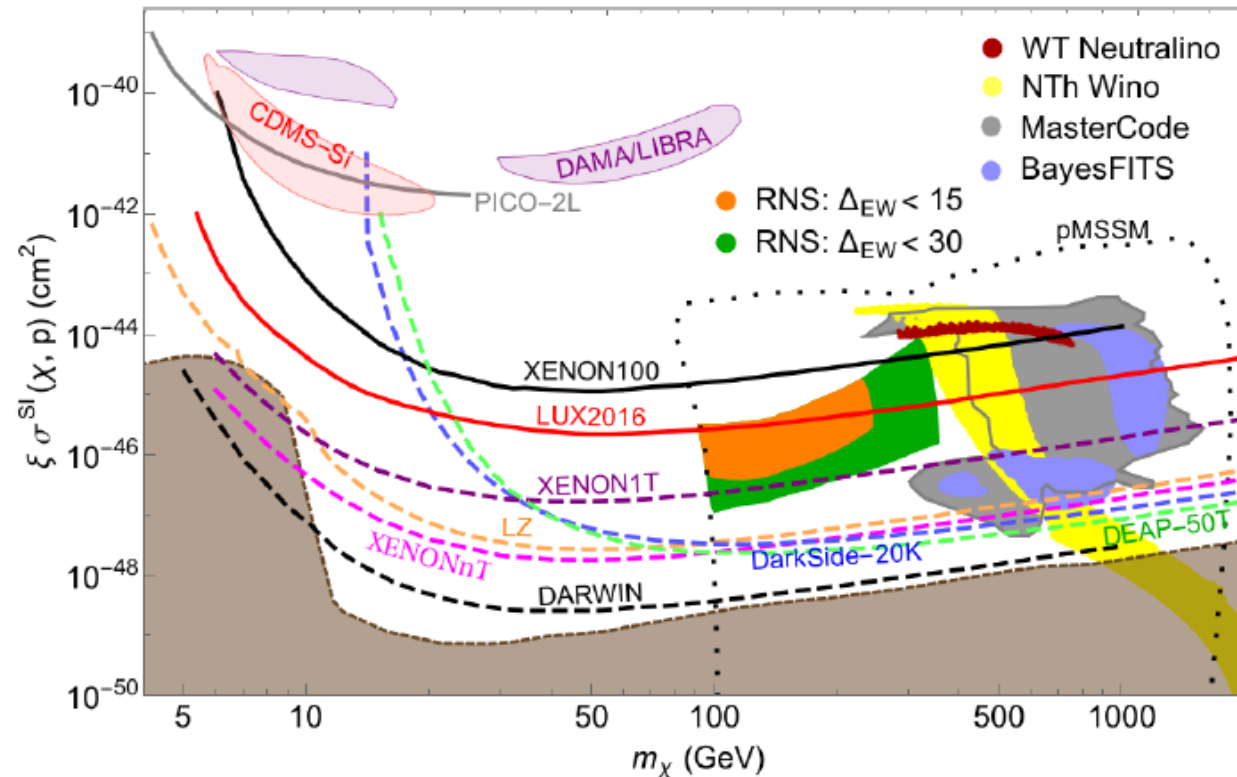


Figure 1: Plot of rescaled spin-independent WIMP detection rate $\xi \sigma^{SI}(\chi, p)$ versus m_χ from several published results versus current and future reach (dashed) of direct WIMP detection experiments. $\xi = 1$ for all models except RNS and pMSSM.

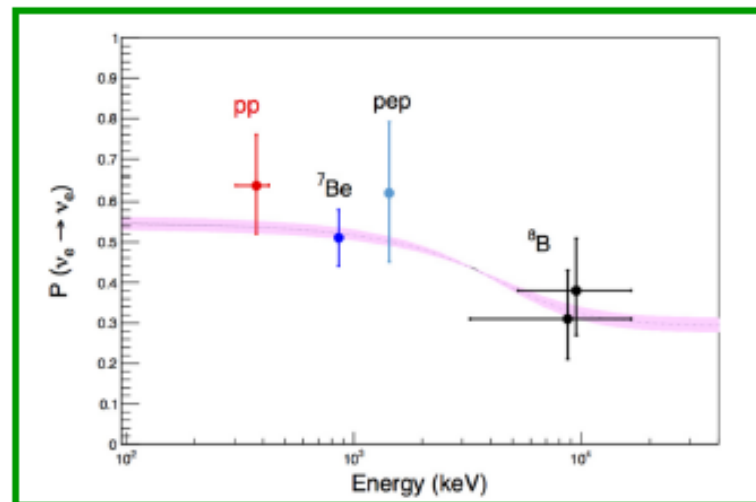
“Projections from ton-scale noble liquid detectors should discover or rule out WIMPs from the remaining parameter space of these surviving models.” Baer et al., arXiv:1609.06735v2, Sept 2016



BOREXINO

Solar Neutrino: Published Results

Species	Rate [cpd/100t]	Flux [cm ⁻² s ⁻¹]
⁷ Be (863 keV)	46.0 ± 1.5 ^{+1.5} _{-1.6}	3.1 ± 0.15 × 10 ⁹
pep	3.1 ± 0.6 ± 0.3	1.6 ± 0.6 × 10 ⁸
CNO	< 7.9 (95% CL)	7.7 × 10 ⁸
⁸ B(> 3 MeV)	0.22 ± 0.04 ± 0.01	2.4 ± 0.4 ± 0.1 × 10 ⁶
pp	144 ± 13 ± 10	6.6 ± 0.7 × 10 ¹⁰



**P_{ee} survival probability
in the MSW-LMA scenario
with Borexino data only!**



Plasma Astrophysics

- 99.9% of the visible Universe consists of fully or partially ionized plasmas. Plasma physics processes are known or conjectured to be responsible for:
 - Magnetization from cosmic to planetary scales
 - Cosmic rays and solar energetic particles
 - Extragalactic gamma ray bursts, stellar and solar flares
 - Etc, etc...
- In cooperation with NSF/AST, NSF/AGS and DOE/SC/FES, PHY has provided continuous support for synergetic observation, theory, modeling and laboratory experiments in the area of plasma astrophysics:
 - NSF/DOE Partnership for Basic Plasma Science and Engineering [Workshop to celebrate 20 years of the Partnership in January, 2017]
 - Center for Magnetic Self-Organization (Physics Frontier Center: 2005-2016) [PI: Ellen Zweibel (U. Wisconsin)]
 - Max-Planck-Princeton Center for Fusion and Astro Plasma Physics (2012-*present*) [PI: Jim Stone (Princeton U.)]
 - Several MRI awards to enable laboratory plasma astrophysics research



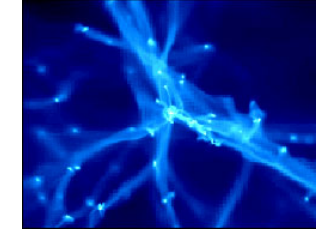
Plasma Astrophysics

- There is also ongoing formal and informal cooperation with various parts of NASA:
 - IAA between NSF and NASA/HEOMD regarding cooperation in support of dusty plasma research on the Plasma Krystal – 4 (PK-4) facility on board the International Space Station signed in January, 2016; joint NASA/NSF solicitation issued in May, 2016 with proposals submitted in August, 2016 currently under review;
 - Due to significant grey area of overlapping scope [particularly in theory and modeling] between work supported by the NSF/DOE Plasma Partnership and the NASA/Heliophysics & NASA/Astrophysics, frequent communication and cooperation between NSF and NASA is necessary for proper stewardship of the field. At this time, there is no formal mechanism for such cooperation.
 - In response to the OSTP's two recent initiatives: the National Strategic Computing Initiative (July, 2015) and the National Space Weather Strategy & Action Plan (October, 2015), there is an ongoing conversation about closer cooperation between NSF/MPS, NSF/CISE, NSF/GEO and NASA/SMD.



Physics Frontiers Centers

Kavli Institute for Cosmological Physics – Chicago - Turner
(PHY/OPP)



Joint Institute for Nuclear Astrophysics – Center for the
Evolution of the Elements – Michigan State/Notre Dame - Schatz

North American Nanohertz Observatory for Gravitational Waves –
U Wisconsin/Milwaukee – Siemens – (PHY/AST)



Kavli Institute for Theoretical Physics – UCSB – Bildsten
(Joint MPS/PHY/AST/DMR and BIO/MCB)



Partnerships

Premium on Partnerships driven by Strong Intellectual Overlap

NSF Facilities: IceCube (GEO/PLR;MPS/PHY)

NSF Physics Frontiers Centers: NanoGrav (MPS/PHY/AST); KICP (MPS/PHY;GEO/PLR);
KITP (MPS/PHY/AST/DMR;BIO/MCB)

NSF Large Experiments: SPT, ACT, CMB, etc.

DOE: LHC, SuperCDMS, HAWC, Plasma Partnership, etc.

International: LHC, XENON1T, VIRGO, IceCube, etc.

Part of “Windows on the Universe” Big Idea

Invest when PHY funds have significant impact