



Safe and Inclusive Working Environments PILOT Plan Listening Session

BIO – Leslie Rissler (co-chair), Colette St. Mary

GEO – Lisa Clough (co-chair), Jessie Crain, Renee Crain, Brandon Jones

Policy – Jean Feldman

NSF is dedicated to safe and inclusive research

- 1) Important Notice #144 (Feb 2018)
- 2) Term and Condition (Oct 2018)
- 3) Workshops and Conferences – Certification that there is a Code of Conduct
- 4) PAPPG AOR certification (30 Jan 2023)
- 5) Pilot for Safe and Inclusive Working Environments (BIO and GEO) - 2023



Off-campus or off-site research is defined as data/information/samples being collected off-campus or off-site, such as fieldwork and research activities on vessels and aircraft.



Proposal Cover Page – last box should be checked

THIS PROPOSAL INCLUDES ANY OF THE ITEMS LISTED BELOW	
<input type="checkbox"/> TYPE OF PROPOSAL _____	<input type="checkbox"/> HUMAN SUBJECTS _____ Human Subjects Assurance Number _____
<input type="checkbox"/> COLLABORATIVE STATUS _____	Exemption Subsection _____ or IRB App. Date _____
<input type="checkbox"/> BEGINNING INVESTIGATOR	<input type="checkbox"/> FUNDING OF INT'L BRANCH CAMPUS OF U.S. IHE
<input type="checkbox"/> DISCLOSURE OF LOBBYING ACTIVITIES	<input type="checkbox"/> FUNDING OF FOREIGN ORGANIZATION OR FOREIGN INDIVIDUAL
<input type="checkbox"/> PROPRIETARY & PRIVILEGED INFORMATION	<input type="checkbox"/> INTERNATIONAL ACTIVITIES: COUNTRY/COUNTRIES INVOLVED _____
<input type="checkbox"/> HISTORIC PLACES	
<input type="checkbox"/> LIVE VERTEBRATE ANIMALS IACUC App. Date _____	<input type="checkbox"/> POTENTIAL LIFE SCIENCES DUAL USE RESEARCH OF CONCERN
PHS Animal Welfare Assurance Number _____	<input type="checkbox"/> OFF-CAMPUS OR OFF-SITE RESEARCH

Proposal Certification Page – AOR signature (for pilot – 2 pg plan replaces the Chapter II.E.9 plan)

Certification Regarding Safe and Inclusive Working Environments for Off-Campus or Off-Site Research

(This certification applies only to proposals in which data/information/samples are being collected off-campus or off-site, such as fieldwork and research activities on vessels and aircraft.)

By electronically signing the Certification Pages, the Authorized Organizational Representative is certifying that, in accordance with the NSF Proposal & Award Policies and Procedures Guide, Chapter II.E.9, the organization has a plan in place **for this proposal** regarding safe and inclusive working environments.

AUTHORIZED ORGANIZATIONAL REPRESENTATIVE

SIGNATURE

DATE

BIO & GEO pilots: REQUIRE a 2-page Supplemental Document that will be merit reviewed (replaces Chapter II.E.9 plan)



Solicitations participating in pilot to date:

- BIO: Biodiversity on a Changing Planet (due 29 March)
- BIO: Core solicitations in DEB, MCB, IOS* (no deadlines; plans will be required for proposals after April 18, 2023)
- GEO: GEOPATHs (due 27 March)
- GEO: CTGC (due 3 April)

*Note: For future no deadline solicitations expect a 90 day grace period



Pilot Plan must include:

- brief description of the field setting and unique challenges for the team
- steps the proposing organization will take to nurture an inclusive off-campus or off-site working environment, including processes to establish shared team definitions of roles, responsibilities, and culture, e.g., codes of conduct, trainings, mentor/mentee mechanisms and field support that might include regular check-ins, and/or developmental events;
- communication processes within the off-site team and to the organization(s) that minimize singular points within the communication pathway (e.g., there should not be a single person overseeing access to a single satellite phone); and
- the organizational mechanisms that will be used for reporting, responding to, and resolving issues of harassment if they arise.



Reviewers will evaluate plan under Broader Impacts (+ solicitation-specific questions)

- Is there a compelling plan (including the procedures, trainings, and communication processes) to establish, nurture, and maintain inclusive off-campus or off-site working environment(s)?
- Does the proposed plan identify and adequately address the unique challenges for the team and the specific off-campus or off-site setting(s)?
- Are the organizational mechanisms to be used for reporting, responding to, and resolving issues of harassment, should they occur, clearly outlined?



Summary – for proposals participating in the BIO/GEO Pilots

- 1) Checkbox on Cover Page – please mark if any institutionally determined off-campus or off-site research is proposed
- 2) AND for those solicitations participating in the pilot, the PIs replace the institution's plan (required under Chapter II.E.9) with *their own project-specific plan for merit review*



Happy to take your questions and feedback!



Plan preparation



Review



Responses to this new requirement