



NSF Implementation of Grants.gov & The SF 424 (R&R)

NSF Regional Grants Conference

March 13-14, 2006

Boulder, CO

Hosted by: The University of Colorado at Boulder

Ask Early, Ask Often!!

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Coverage



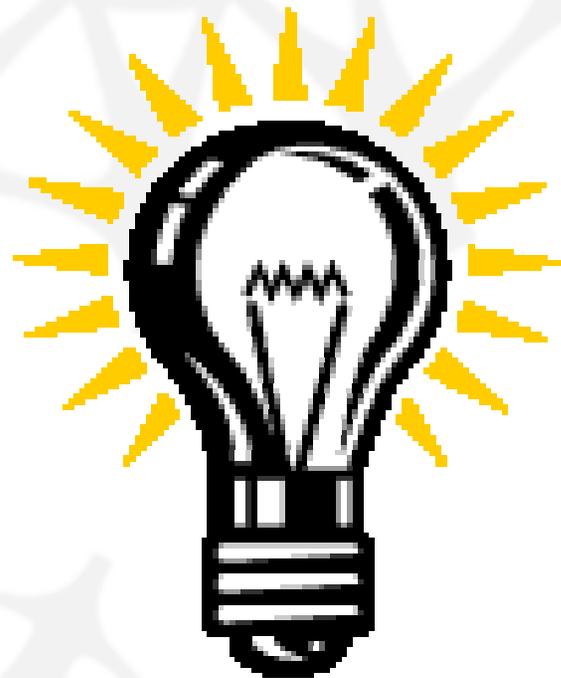
- The SF 424
- SF 424 (R&R)
 - Background
 - Components
 - Basic Concepts on Use of the SF 424 (R&R)
 - What data is in the SF 424 (R&R)
- NSF's Transition to Grants.gov

The Government-wide Grant Application – SF 424

- Standard form for submission of grant applications to the Federal Government
- Was developed for use in State and Local programs where the preponderance of federal money is...
 - Was not developed by, or with the input of research agencies
- Did not include key information, including:
 - Biographical information
 - Current and Pending Support
 - Standard budget categories found in research proposals
 - Human, Vertebrate animal, NEPA Information, etc.
 - Demographic Data

Yes, But.....

- ▶ What about the Research agencies????

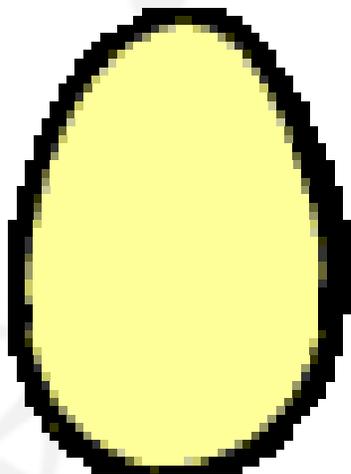


NSF

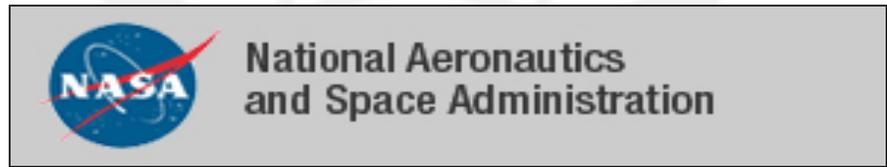
NSF

NSF

.....The SF 424 (R&R)



Agencies That Intend to Use the SF 424 (R&R)...as known today...



SF 424 (R&R)

Background Information



- There was early recognition that the SF 424, as a stand-alone package, would not work for research and related applications
- Combining the SF 424 with research specific elements into a single application made the most sense
- Application consists of:
 - Forms; and
 - Associated instructions for completion of each data element.

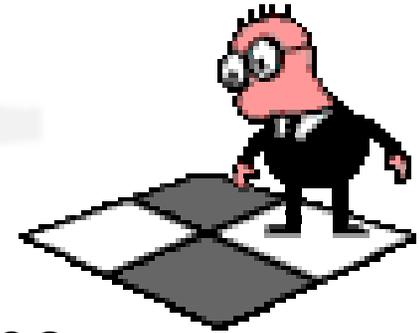
SF 424 (R&R)

Background Information

(Cont'd)

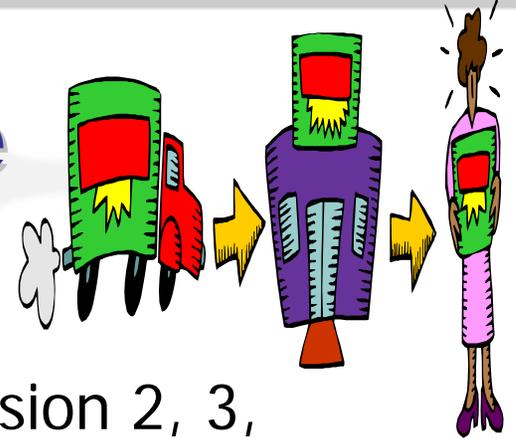
- Rule imposed was two or more agencies required element for inclusion in the dataset
- Agency specific guidance will be issued by the agency via a standard application guide or as part of the announcement or solicitation
 - NSF has developed the NSF Grants.gov Application Guide for this purpose

SF 424 (R&R) Components



- Cover, Pages 1 and 2 – (Includes certification and assurance language)
- Research and Related Project/Performance Site Location(s)
- Research and Related Other Project Information
- Research and Related Senior/Key Person Profile(s)
- Research and Related Personal Data (PD/PI and co-PD/PI only)
- Research and Related Budget

Basic Concepts on use SF 424 (R&R)



- This is “Version 1” – there will be a Version 2, 3, 4....
- Application has been built into “components”
- With the exception of the Cover Page, all other components are optional
- Application package has two distinct budget formats that an agency can select from:
 - Total Funds Requested; and
 - Total Federal & Non-Federal Funds
- R&R Working Group provided Grants.gov PMO with the first programmatic application for use with the SBIR/STTR programs

Important Dates



- Release Date – Date funding opportunity is posted on Grants.gov. Applicants may download application package and start working on application.
- Opening Date - the first date the completed application can be submitted to Grants.gov.
- Deadline Date – the date which application is due. Note: Grants.gov will accept until midnight on Deadline Date!!



Class Form Previous Print Page

SF 424 (R&R) APPLICATION FOR FEDERAL ASSISTANCE **Page 2**

<p>16. ESTIMATED PROJECT FUNDING</p> <p>a. * Total Estimated Project Funding <input type="text" value=""/></p> <p>b. * Total Federal & Non-Federal Funds <input type="text" value=""/></p> <p>c. * Estimated Program Income <input type="text" value=""/></p>	<p>17. * IS APPLICATION SUBJECT TO REVIEW BY STATE EXECUTIVE ORDER 12372 PROCESS?</p> <p>a. YES <input type="radio"/> THIS PREAPPLICATION/APPLICATION WAS MADE AVAILABLE TO THE STATE EXECUTIVE ORDER 12372 PROCESS FOR REVIEW ON:</p> <p>DATE: <input type="text" value=""/></p> <p>b. NO <input type="radio"/> PROGRAM IS NOT COVERED BY E.O. 12372; OR <input type="radio"/> PROGRAM HAS NOT BEEN SELECTED BY STATE FOR REVIEW</p>
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18. By signing this application, I certify (1) to the statements contained in the list of certifications* and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances* and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 18, Section 1001)

I agree

* The list of certifications and assurances, or an internal site where you may obtain this list, is contained in the announcement or agency specific instructions.

19. Authorized Representative

Prefix: * First Name: Middle Name: * Last Name: Suffix:

* Position/Title: * Organization:

Department: Division:

* Street1: Street2:

* City: County: * State: * ZIP Code:

* Country:

* Phone Number: Fax Number: * Email:

* Signature of Authorized Representative * Date Signed

Completed on submission to Grants.gov

20. Pre-application



Close Form

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About

RESEARCH & RELATED Project/Performance Site Location(s)

Project/Performance Site Primary Location

Organization Name:

* Street1: Street2:

* City: County: * State: * ZIP Code: * Country:

Project/Performance Site Location 1

Organization Name:

* Street1: Street2:

* City: County: * State: * ZIP Code: * Country:

Reset Entry

Next Site

Additional Location(s)

Add Attachment

Delete Attachment

View Attachment

OMB Number: 4040-0001
Expiration Date: 03/31/2005

Close Form

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About

RESEARCH & RELATED Other Project Information

1. * Are Human Subjects Involved? Yes No

1.a. If YES to Human Subjects
 Is the IRB review Pending? Yes No
 IRB Approval Date:
 Exemption Number: 1 2 3 4 5 6
 Human Subject Assurance Number:

2. * Are Vertebrate Animals Used? Yes No

2.a. If YES to Vertebrate Animals
 Is the IACUC review Pending? Yes No
 IACUC Approval Date:
 Animal Welfare Assurance Number

3. * Is proprietary/privileged information included in the application? Yes No

4.a. * Does this project have an actual or potential impact on the environment? Yes No

4.b. If yes, please explain:

4.c. If this project has an actual or potential impact on the environment, has an exemption been authorized or an environmental assessment (EA) or environmental impact statement (EIS) been performed? Yes No

4.d. If yes, please explain:

5.a. * Does this project involve activities outside the U.S. or partnership with International Collaborators? Yes No

5.b. If yes, identify countries:

5.c. Optional Explanation:

6. * Project Summary/Abstract

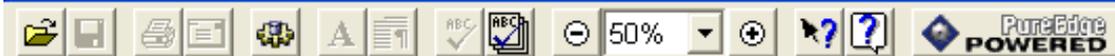
7. * Project Narrative

8. Bibliography & References Cited

9. Facilities & Other Resources

10. Equipment

11. Other Attachments



Close Form

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About

RESEARCH & RELATED Senior/Key Person Profile

PROFILE - Project Director/Principal Investigator				
Prefix	* First Name	Middle Name	* Last Name	Suffix
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Position/Title: <input type="text"/>		Department: <input type="text"/>		
Organization Name: <input type="text"/>		Division: <input type="text"/>		
* Street1: <input type="text"/>		Street2: <input type="text"/>		
* City: <input type="text"/>	County: <input type="text"/>	* State: <input type="text"/>	* Zip Code: <input type="text"/>	* Country: USA <input type="text"/>
* Phone Number <input type="text"/>		Fax Number <input type="text"/>	* E-Mail <input type="text"/>	
Credential, e.g., agency login: <input type="text"/>				
* Project Role: <input type="text"/>	Other Project Role Category: <input type="text"/>			
* Attach Biographical Sketch	<input type="text"/>	Add Attachment	Delete Attachment	View Attachment
Attach Current & Pending Support	<input type="text"/>	Add Attachment	Delete Attachment	View Attachment

PROFILE - Senior/Key Person 1				
Prefix	* First Name	Middle Name	* Last Name	Suffix
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Position/Title: <input type="text"/>		Department: <input type="text"/>		
Organization Name: <input type="text"/>		Division: <input type="text"/>		
* Street1: <input type="text"/>		Street2: <input type="text"/>		
* City: <input type="text"/>	County: <input type="text"/>	* State: <input type="text"/>	* Zip Code: <input type="text"/>	* Country: USA <input type="text"/>
* Phone Number <input type="text"/>		Fax Number <input type="text"/>	* E-Mail <input type="text"/>	
Credential, e.g., agency login: <input type="text"/>				
* Project Role: <input type="text"/>	Other Project Role Category: <input type="text"/>			
* Attach Biographical Sketch	<input type="text"/>	Add Attachment	Delete Attachment	View Attachment
Attach Current & Pending Support	<input type="text"/>	Add Attachment	Delete Attachment	View Attachment

Reset Entry

Next Person

ADDITIONAL SENIOR/KEY PERSON PROFILE(S)	<input type="text"/>	Add Attachment	Delete Attachment	View Attachment
Additional Biographical Sketch(es) (Senior/Key Person)	<input type="text"/>	Add Attachment	Delete Attachment	View Attachment
Additional Current and Pending Support(s)	<input type="text"/>	Add Attachment	Delete Attachment	View Attachment

Close Form

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About

RESEARCH & RELATED PERSONAL DATA

Project Director/Principal Investigator and Co-Project Director(s)/Co-Principal Investigator(s)

The Federal Government has a continuing commitment to monitor the operation of its review and award processes to identify and address any inequities based on gender, race, ethnicity, or disability of its proposed PDs/Pis and co-PDs/Pis. To gather information needed for this important task, the applicant should submit the requested information for each identified PD/PI and co-PDs/Pis with each proposal. Submission of the requested information is voluntary and is not a precondition of award. However, information not submitted will seriously undermine the statistical validity, and therefore the usefulness, of information received from others. Any individual not wishing to submit some or all the information should check the box provided for this purpose. Upon receipt of the application, this form will be separated from the application. This form will not be duplicated, and it will not be a part of the review process. Data will be confidential.

Project Director/Principal Investigator

Prefix: * First Name: Middle Name: * Last Name: Suffix:

Date of Birth: / / Social Security Number: - - Gender:

Race (check all that apply):

- American Indian or Alaska Native
- Asian
- Black or African American
- Native Hawaiian or Other Pacific Islander
- White
- Do Not Wish to Provide

Ethnicity:

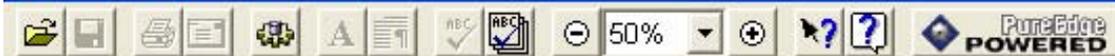
Disability Status (check all that apply):

- Hearing
- Visual
- Mobility/Orthopedic Impairment
- Other
- None
- Do Not Wish to Provide

Citizenship:

Reset Entries

Next Person



Close Form Previous Next Print Page

RESEARCH & RELATED BUDGET - SECTION C, D, & E, BUDGET PERIOD 1

* ORGANIZATIONAL DUNS:

* Budget Type: Project Subaward/Consortium

Enter name of Organization:

Reset Entries * Start Date: / / * End Date: / / Budget Period: 1

(If the Reset Entries button is pressed, please navigate to previous year to enable the submission of the

C. Equipment Description

List items and dollar amount for each item exceeding \$5,000

Equipment Item	* Funds Requested (\$)
1. <input type="text"/>	<input type="text"/>
2. <input type="text"/>	<input type="text"/>
3. <input type="text"/>	<input type="text"/>
4. <input type="text"/>	<input type="text"/>
5. <input type="text"/>	<input type="text"/>
6. <input type="text"/>	<input type="text"/>
7. <input type="text"/>	<input type="text"/>
8. <input type="text"/>	<input type="text"/>
9. <input type="text"/>	<input type="text"/>
10. <input type="text"/>	<input type="text"/>
11. Total funds requested for all equipment listed in the attached file	<input type="text"/>
Total Equipment	<input type="text"/>

Additional Equipment:

D. Travel

	Funds Requested (\$)
1. Domestic Travel Costs (Incl. Canada, Mexico and U.S. Possessions)	<input type="text"/>
2. Foreign Travel Costs	<input type="text"/>
Total Travel Cost	<input type="text"/>

E. Participant/Trainee Support Costs

	Funds Requested (\$)
1. Tuition/Fees/Health Insurance	<input type="text"/>
2. Stipends	<input type="text"/>
3. Travel	<input type="text"/>
4. Subsistence	<input type="text"/>
5. Other <input type="text"/>	<input type="text"/>
<input type="text"/> Number of Participants/Trainees	Total Participant/Trainee Support Costs <input type="text"/>

RESEARCH & RELATED BUDGET - SECTION F-K, BUDGET PERIOD 1

* ORGANIZATIONAL DUNS:

* Budget Type: Project Subaward/Consortium

Enter name of Organization:

* Start Date: / / * End Date: / / Budget Period: 1

(If the Reset Entries button is pressed, please navigate to previous year to enable the submission of the

F. Other Direct Costs **Funds Requested (\$)**

1. Materials and Supplies	<input type="text"/>
2. Publication Costs	<input type="text"/>
3. Consultant Services	<input type="text"/>
4. ADP/Computer Services	<input type="text"/>
5. Subawards/Consortium/Contractual Costs	<input type="text"/>
6. Equipment or Facility Rental/User Fees	<input type="text"/>
7. Alterations and Renovations	<input type="text"/>
8. <input type="text"/>	<input type="text"/>
9. <input type="text"/>	<input type="text"/>
10. <input type="text"/>	<input type="text"/>
Total Other Direct Costs	<input type="text"/>

G. Direct Costs **Funds Requested (\$)**

Total Direct Costs (A thru F)

H. Indirect Costs

Indirect Cost Type	Indirect Cost Rate (%)	Indirect Cost Base (\$)	* Funds Requested (\$)
1. <input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
2. <input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
3. <input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
4. <input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Total Indirect Costs			0.00

Cognizant Federal Agency

(Agency Name, POC Name, and POC Phone Number)

I. Total Direct and Indirect Costs **Funds Requested (\$)**

Total Direct and Indirect Institutional Costs (G + H) 0.00

J. Fee **Funds Requested (\$)**

K. * Budget Justification

(Only attach one file.)

Close Form

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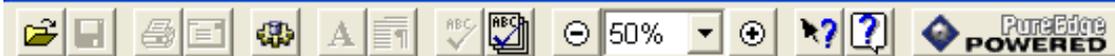
RESEARCH & RELATED BUDGET - Cumulative Budget

	Totals (\$)
Section A, Senior/Key Person	<input type="text"/>
Section B, Other Personnel	<input type="text"/>
Total Number Other Personnel	<input type="text"/>
Total Salary, Wages and Fringe Benefits (A+B)	<input type="text"/>
Section C, Equipment	<input type="text"/>
Section D, Travel	<input type="text"/>
1. Domestic	<input type="text"/>
2. Foreign	<input type="text"/>
Section E, Participant/Trainee Support Costs	<input type="text"/>
1. Tuition/Fees/Health Insurance	<input type="text"/>
2. Stipends	<input type="text"/>
3. Travel	<input type="text"/>
4. Subsistence	<input type="text"/>
5. Other	<input type="text"/>
6. Number of Participants/Trainees	<input type="text"/>
Section F, Other Direct Costs	<input type="text"/>
1. Materials and Supplies	<input type="text"/>
2. Publication Costs	<input type="text"/>
3. Consultant Services	<input type="text"/>
4. ADP/Computer Services	<input type="text"/>
5. Subawards/Consortium/Contractual Costs	<input type="text"/>
6. Equipment or Facility Rental/User Fees	<input type="text"/>
7. Alterations and Renovations	<input type="text"/>
8. Other 1	<input type="text"/>
9. Other 2	<input type="text"/>
10. Other 3	<input type="text"/>
Section G, Direct Costs (A thru F)	<input type="text"/>
Section H, Indirect Costs	<input type="text"/>
Section I, Total Direct and Indirect Costs (G + H)	<input type="text"/>
Section J, Fee	<input type="text"/>

Any More Forms?



- Yes! Each Research Agency will have to include forms for any additional information collected.
- NSF Mandatory Forms
 - NSF Cover Page
 - NSF CheckList
- NSF Optional Forms
 - NSF Deviation Authorization
 - NSF Suggested Reviewers
 - NSF FastLane System Registration



Close Form

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About

National Science Foundation Grant Application Cover Page

Please complete the following NSF forms in conjunction with the relevant Research and Related forms. If you are an organization or individual and you are not registered with NSF FastLane, please complete the Organization and Individual Registration Form in this package.

1. Funding Opportunity Number

*Funding Opportunity Number: Opportunity closing date:

2. NSF Unit Consideration

Go to <https://www.fastlane.nsf.gov/pgmannounce.jsp> and follow the instructions to find the Division and Program information for this funding opportunity.

*Division Code: Division Name:

*Program Code: Program Name:

3. Principal Investigator (PI) Information

*Degree Type: *Degree Year:

Check here if you are currently serving (or have previously served) as a PI, co-PI or Program Director (PD) on any Federally funded project.

4. Co-Principal Investigator (co-PI) Information

NSF applications can identify a maximum of four co-Principal Investigators. Please enter below the co-PI information exactly as entered on the Research and Related Senior/Key Person form.

co-PI 1

Pref: *First Name: Middle Name: *Last Name: Suffix:

*Degree Type: *Degree Year:

co-PI 2

Pref: *First Name: Middle Name: *Last Name: Suffix:

*Degree Type: *Degree Year:

co-PI 3

Pref: *First Name: Middle Name: *Last Name: Suffix:

*Degree Type: *Degree Year:

co-PI 4

Pref: *First Name: Middle Name: *Last Name: Suffix:

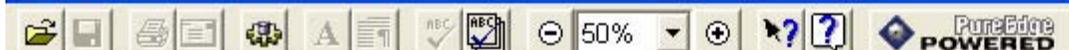
*Degree Type: *Degree Year:

5. Other Information

Check Appropriate Box (es) if this proposal includes any of the items listed below.

- | | |
|--|--|
| <input type="checkbox"/> Beginning Investigator (Grant Proposal Guide(GPG), Chapter I.A) | <input type="checkbox"/> Disclosure of Lobbying Activities (SPG, Chapter II.C.1.a) |
| <input type="checkbox"/> Small Grants for Exploratory Research (SPG, Chapter II.D.1) | <input type="checkbox"/> Historic Places (GPG, Chapter I.C.2.) |
| <input type="checkbox"/> Accomplishment-Based Renewal (GPG, Chapter V.B.2) | <input type="checkbox"/> High Resolution Graphics/Other Graphics Where Exact Color Representation is Required For Proper Interpretation (GPG, Chapter I.G.1) |

6. Additional Single-Copy Documents Attach PDF Files



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APPLICATION CHECKLIST

National Science Foundation (NSF)

It is imperative that all applications¹ conform to the application preparation and submission instructions identified in the SF 424 (R&R), the NSF Grants.gov Application Manual, and where specified, the NSF Grant Proposal Guide (GPG) <http://www.nsf.gov/pubs/ods/getpub.cfm?gpg>. Applications also must comply with NSF font, spacing and margin requirements. The guidelines established in the GPG Chapter I.B establish minimum requirements, however, readability is of utmost importance and should take precedence in selection of an appropriate font. Conformance with all preparation and submission instructions is required and will be strictly enforced unless a deviation has been approved in advance of application submission. NSF may return without review applications that are not consistent with these instructions. See GPG Chapter IV.B, Return Without Review http://www.nsf.gov/pubs/gpg/usf04_23-4.html#IVB, for additional information.

Prior to electronic submission via the Grants.gov portal, it is strongly recommended that an administrative review be conducted to ensure that an application complies with all application preparation instructions, in the format specified. This checklist is not intended to be an all-inclusive repetition of the required application contents and associated application preparation guidelines. It is, however, meant to highlight certain critical items so they will not be overlooked when the application is prepared. Complete all of the below items in order to submit your application. Select the appropriate response for each item.

SF 424 R&R Forms

CHECK SECTION COMPLETED

* SF 424 (R&R) Cover Sheet

Yes No NA

- Renewal Application:** For renewal applications, enter the previous award number in the Federal Identifier field. (Block 4)
- Full Application Related to Submission of a Preliminary Application:** If a new full application is being submitted that is related to a previously submitted preliminary application, enter the assigned preliminary application number in the Federal Identifier field. (Block 4)
- Type of Application:** For purposes of NSF, the box for "Continuation" will not be utilized and should not be checked. (Block B)
- Application Certifications:** The requisite application certifications are submitted by the Authorized Organizational Representative upon checking the "I agree" box (Block 18) and submitting the application. See GPG Chapter I.C.1.a, Proposal Certifications for a complete listing of the requisite certifications.

* **Research & Related Project Performance Site Location(s):** Indicate the primary site where the work will be performed. If a portion of the project will be performed at any other site(s), so identify.* **Research & Related Other Project Information:** Complete questions 1 through 5 and attach files in Blocks 6 - 11 as specified.* **Project Summary/Abstract:** Note limitation of one page, and the requirement that both merit review criteria be separately addressed within the body of the Project Summary. Attach in Block 6 of the Research & Related Other Project Information Form. See GPG Chapter I.C.2.b, Project Summary, for more information.* **Project Narrative:** (referred to in the GPG as Project Description) Note limitation of 15-pages. Attach Project Narrative in Block 7 of the Research & Related Other Project Information Form. See GPG Chapter I.C.2.d, Project Description, for more information.

Yes No NA

- Merit Review Criteria:** Ensure both merit review criteria are described as an integral part of the narrative. See GPG Chapter I.C.2.d.(i).²
- Inclusion of URLs (Universal Resource Locations) within the Project Narrative:** PIs are advised that the Project Narrative must be self-contained and are cautioned that URLs (Internet addresses) that provide information necessary to the review of the application should not be used because reviewers are under no obligation to view such sites. See GPG Chapter I.C.2.d.(j).
- Results from Prior NSF Support:** Required only for PIs and co-PIs that have received NSF support within last 5 years. See GPG Chapter I.C.2.d.(k).
- Human-resource Information:** Required information for renewal applications from academic institutions only. See GPG Chapter V.B.2.

¹For consistency with the SF 424 (R&R) application and instructions, in lieu of the term "proposal", NSF is using the term application for all proposals submitted to NSF via Grants.gov.

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CHECK SECTION COMPLETED

- * **Bibliography and References Check:** No page limitation, however, this section must include bibliographic citations only and must not be used to provide parenthetical information outside of the 15-page Project Narrative. Each reference must be in the specified format. Attach in Block B of the Research & Related Other Project Information Form. See GPG Chapter II.C.2.e, References Cited, for more information.
- * **Facilities and Other Resources:** Attach in Block 9 to the Research & Related Other Project Information Form. See GPG Chapter I.C.2.i, Facilities, Equipment and Other Resources, for more information.
- * **Equipment:** Attach in Block 10 to the Research & Related Other Project Information Form. See GPG Chapter II.C.2.i, Facilities, Equipment and Other Resources, for more information.
- Yes No NA
 - * **Special Information and Supplementary Documentation:** A description of the types of information appropriate for inclusion in this section is defined in GPG Chapter II.C.2.j, Special Information and Supplementary Documentation. Attach in Block 11 of the Research & Related Other Project Information Form.
 - * **Any additional items specified in a relevant NSF Program Solicitation:** Attach in Block 11 of the Research & Related Other Project Information Form.
- * **Research & Related Senior/Key Person Profile:** A profile is required for all senior/key person proposed. Unless otherwise specified in an agency announcement, Senior/Key Personnel are defined as all individuals who contribute in a substantive, measurable way to the scientific development or execution of the project, whether or not salaries are requested. Consultants should be included if they meet this definition.
- Yes No
 - * **Biographical Sketch(es):** A biographical sketch is required for all senior project personnel and each biographical sketch should be prepared in accordance with the order and format specifications identified in GPG Chapter II.C.2.f, Biographical Sketch(es). Note limitation of 2-pages for each biographical sketch.
 - * **Current and Pending Support:** This section is required for all senior project personnel. See GPG Chapter I.C.2.h, Current and Pending Support, for more information.
- * **Research & Related Personnel Data:** (Related to in the GPG as Information About PI(s)/PD(s) and co-PI(s)/co-PD(s).) With the exception of the name(s) of the PD/PI and any co-PD/PIs, submission of the requested information is voluntary. See GPG Chapter II.C.1.a, Information about Principal Investigators/Project Directors and co-Principal Investigators/co-Project Directors, for more information.
- * **Research & Related Budget**
 - Yes No NA
 - * **Budget Justification:** Optional. Note 3-page limitation per application. Attach on Line K of the Research & Related Budget Form.
 - * **Cost Sharing:** See GPG Chapter II.C.2.g.(ii), Cost Sharing. For applications submitted in response to the GPG or an NSF program announcement, only the statutory cost sharing amount (1%) is required. In such cases, applicants should NOT identify cost sharing amounts in the Application Budget.

NSF-Specific Forms

CHECK SECTION COMPLETED

- * **National Science Foundation Grant Application Cover Sheet**
 - Yes No NA
 - * **NSF Unit of Consideration:** See <https://www.fastlane.nsf.gov/pgmannouncement.jsp> and follow the instructions for finding the Division and Program Information for the funding opportunity shown in Block 1.
 - * **Other Information:** If the application includes any of the items listed, check the relevant box(es). (Block 5)
 - * **SF LLL Disclosure of Lobbying Activities:** If applicable. Attach a scanned copy of the SF LLL in Block 6 of the NSF Grant Application Cover Sheet. See GPG Chapter II.C.1.a, Proposal Certifications.
- Yes No NA
 - * **Deviation Authorization:** If applicable. See GPG Chapter II.C.1.b, Deviation Authorization, for more information.
 - * **Organization and Individual Registration for NSF's FastLane system:** If applicable. To be completed only if the applicant organization is not currently registered in NSF's FastLane system. See <https://www.fastlane.nsf.gov/6-B6/section6a.htm>. Individuals not employed by, or affiliated with, an organization must complete the information in Section 3.
 - * **List of Suggested Reviewers, or Reviewers Not to Include:** Optional. See GPG Chapter II.C.1.c, List of Suggested Reviewers or



Close Form

Print Page

About

Deviation Authorization (If Applicable)

Enter text for the Deviation Authorization information in the box below (if applicable)



Close Form

Print Page

About

List of Suggested Reviewers or Reviewers Not to Include (optional)

Applicants may include a list of suggested reviewers who they believe are especially well qualified to review the proposal. Applicants also may designate persons they would prefer not review the proposal, indicating why. These suggestions are optional. Grant Proposal Guide Appendix B, Potentially Disqualifying Conflicts of Interest (<http://www.nsf.gov/pubs/2004/ns042/appb.htm>), contains information on conflicts of interest that may be useful in preparation of this list.

Suggested Reviewers: Provide the First, Middle, and Last Name of suggested reviewers that you believe are especially well qualified to review this proposal.

Enter text in the box below

Reviewers Not to Include: Designate persons you would prefer not review this proposal and indicate why.

Enter text in the box below

NSF Organization and Individual Registration For NSF's FastLane System

The following information should only be completed if your organization is not currently registered in NSF's FastLane System. To check if your organization is registered, please visit <https://www.fastlane.nsf.gov/v1/NAAddress.html>.

If you want to register and submit as an individual (Organization) please check the checkbox on the front of the Grant Application Package before the Application Filing Name and then complete section 3 of this form. The Grant Application Package is the page where all the mandatory and optional documents are listed.

1. Organization Information

*Organization Name: *Organization Short Name: *Organization Type:

*EIN/TIN: *DUNS Number:

*Street 1: Street 2:

*City: County: *State: *Zip Code: *Country:

*Telephone Number: Fax Number: *E-mail Address:

President's Name:

Prefix: First Name: Middle Name: Last Name: Suffix:

2. FastLane Contact

Prefix: *First Name: Middle Name: *Last Name: Suffix:

Social Security Number: (If you do not want to provide your real Social Security Number, leave this field empty and NSF's FastLane System will generate a PSEUDO-SSN for you.)

*Telephone Number: Fax Number: *E-mail Address:

3. Individual Information

Only complete this information if you are not employed by, or affiliated with, an organization. Do not use this section to register a new organization. To register as an organization, please uncheck the checkbox on the Grant Application Package that states you will be submitting applications on my own behalf, and not on behalf of a company, state, tribe, educational institution or other organization. The checkbox appears before the Application Filing Name. The Grant Application Package is the page where all the mandatory and optional documents are listed.

Prefix: *First Name: Middle Name: *Last Name: Suffix:

*Tax ID: Social Security Number: (If you do not want to provide your real Social Security Number, leave this field empty and NSF's FastLane System will generate a PSEUDO-SSN for you.)

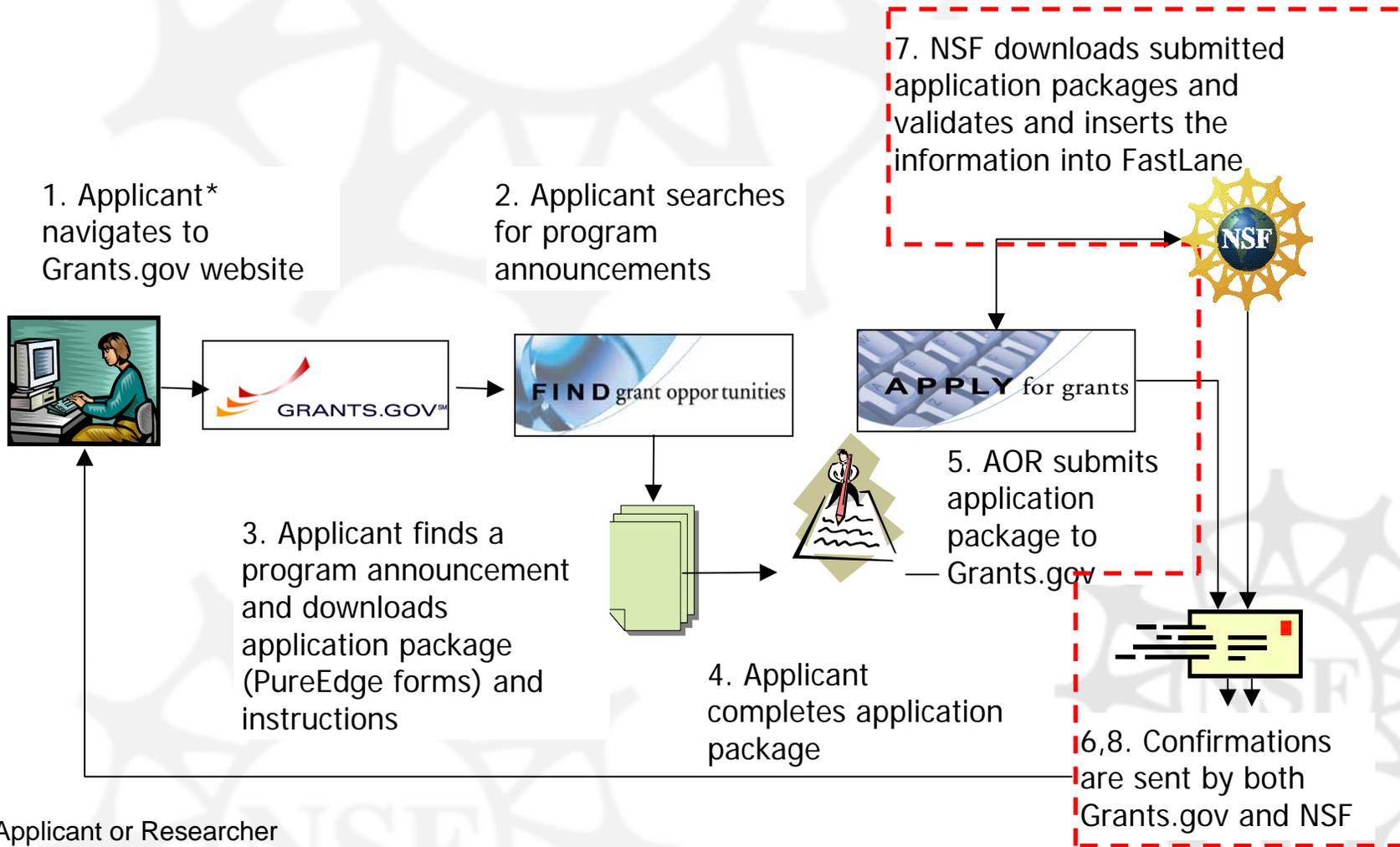
*Street 1: Street 2:

*City: County: *State: *Zip Code: *Country:

*Telephone Number: Fax Number: *E-mail Address:

Department: *Degree Type: *Degree Year:

Submitting an Application to NSF through Grants.gov

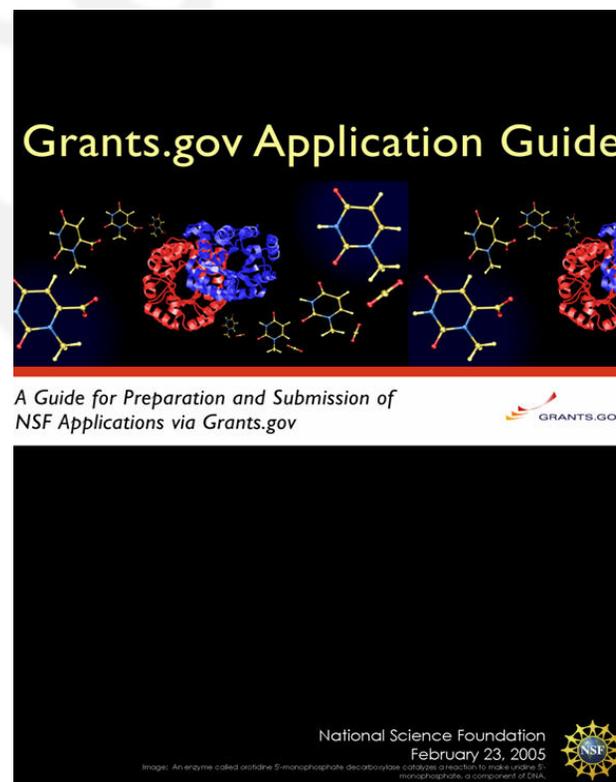


Primary Goals of NSF's Grants.gov Transition Process

- ➔ NSF's Grants.gov Proposal Integration provides a seamless process which:
 - Electronically downloads submitted application packages to NSF from Grants.gov and
 - Inserts the data into NSF's corporate database
- ➔ Proposal submitted via Grants.gov will look the same as a proposal submitted via NSF's electronic proposal system to programs and reviewers

NSF Grants.gov Application Guide

- Intended to serve as the primary document for use in preparation of NSF applications via Grants.gov
- Includes step-by-step instructions for completion of each of the SF 424 (R&R) forms as well as the NSF specific forms
- Provides specific instructions for inclusion and conversion of pdf files



Grants.gov Implementation



- ▶ In FY 05, twenty-three funding opportunities were posted to Grants.gov "Apply" -- all were optional submission
 - Thirty-eight proposals were submitted to NSF using these opportunities

Implementation

- In FY 06, NSF will post 98 funding opportunities that will authorize or require use of Grants.gov:
 - 8 programs will require use of Grants.gov; and
 - 90 programs will authorize use of Grants.gov.

Implementation

- All collaborative proposals must be submitted via FastLane
 - By one organization (which include one or more subawards)
 - As separate submissions from multiple organizations.
 - <http://www.nsf.gov/bfa/dias/policy/docs/grantsgovadvisory06.pdf>

Implementation

- NSF also does not accept applications through Grants.gov for:
 - Submission of Letters of Intent and Preliminary Proposals
 - Changed/Corrected Applications
 - Revisions
 - Continuations
 - Supplemental Funding Requests

Implementation

- NSF's full implementation plan for FY 06 is available at:
 - <http://www.nsf.gov/bfa/dias/policy/docs/grantsgovadvisory06.pdf>
 - In FY 07, the goal is to include 100% of NSF funding opportunities in Grants.gov "Apply"

Challenges

- ▶ The SF 424 (R&R) has not yet been evaluated for use with:
 - NSF Fellowship Programs
 - Instrumentation Programs
 - Complex mechanisms such as Centers and Facilities

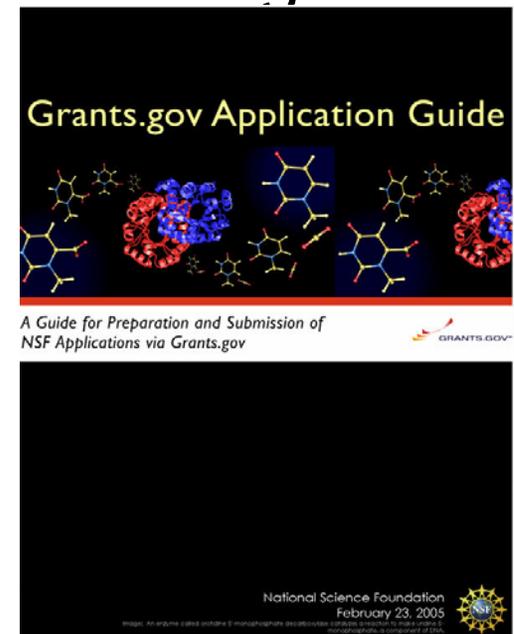
Please Remember!!

- File attachments must be in pdf
- Check the institutional names used!
- Use the Checklist!
- Read the Grants.gov Application Guide
- Follow any special instruction in the funding opportunity
- Allow extra time for editing!!!

Let me say it again!!

Attachments must be in PDF!

- Note Section 3.2.1, Creating PDF Files
 - Non-Adobe Acrobat Users
 - Can use Convert Files to PDF available under Quick Links in FastLane through Proposal Preparation or Research Administration



Grants.gov Next Steps

- Subaward capability will be available to the agencies and NSF will include the subaward form in the NSF application package by early 2006.
- Separately submitted collaborative proposals are not included at this time.
- Agency System to System Interface – MIT and InfoEd are testing the entire end-to-end business process with NSF

Questions?

