Electronic Research Administration (ERA) Forum Webinar Series

Policy Updates, ORCID and SciENcv, Research.gov – Proposal Submission
Modernization updates, FastLane to Research.gov Transition –
Supplemental Funding Requests, Notifications and Requests, Award
Documents, Continuing Grant Increment Reports, Award Notices –
Schedule of Expenditures of Federal Awards (SEFA) Reporting, and
Unique Entity Identifier (UEI)

National Science Foundation

November 30, 2022
Welcome and Webinar Administrative Logistics

• Attendees will be in listen-only mode during the webinar.

• Zoom-generated automated live transcription (close captioning) has been enabled for the event. To view, please click on “Live Transcript” option on the screen; alternatively, please click on “More” and choose “Live Transcript” or “View Full Transcript.”

• Presentation and Agenda will be available on the NSF ERA Forum Website https://www.nsf.gov/bfa/dias/policy/era_forum.jsp.

• For Zoom Support, call +1-833-966-6468 (+1-833-Zoom-Gov) or email support@zoom.us.
Agenda

• Welcome, Forum Webinar Logistics, and How to Engage with the Forum
• The ERA Forum
• Speakers
• Forum Webinar Discussion Topics:
  • Policy Updates
  • ORCID and SciENcv
  • Research.gov – Proposal Submission Modernization Updates
  • FastLane to Research.gov Transition
    • Supplemental Funding Requests
    • Notifications and Requests
    • Award Documents
    • Continuing Grant Increment Reports
  • Award Notices – Schedule of Expenditures of Federal Awards (SEFA) Reporting
  • Unique Entity Identifier (UEI)
• Questions and Answers
• Next Steps and ERA Forum Resources
How to Engage with the Forum

Forum Participation

• Questions can be sent to nsferaforum@nsf.gov
• Post-webinar Survey
• Future Forum Topics
• Forum Listserv and Email
  • Subscribe: NSF ERA FORUM-subscribe-request@listserv.nsf.gov
  • Email us: nsferaforum@nsf.gov
• General Information
The ERA Forum

Background and Purpose

• Provides the approach to regularly engage the research community, which includes collecting individual opinions and perspectives on ERA activities, gathering topics of interest for future Forum Webinars, and soliciting volunteers for usability studies.

Past Forum Webinar:

• Summer 2022:
  • An overview of Project Reporting and FAPIIS Reporting technical requirements, NSF’s reinforcement of Important Notice No. 148 that timely project reporting is a term and condition of award and demonstrates results-oriented accountability for NSF awards and how NSF is engaging with the topic of project reporting compliance on a variety of levels, including in outreach, in advanced monitoring activities, and in targeted notifications to recipients.
## Panelists

<table>
<thead>
<tr>
<th>Maria Koszalka</th>
<th>Jean Feldman</th>
<th>Bart Trawick</th>
<th>Stephanie Yee</th>
<th>Jeff Vieceli</th>
</tr>
</thead>
<tbody>
<tr>
<td>Staff Associate,</td>
<td>Head, Policy</td>
<td>Director, Customer</td>
<td>IT Project Manager,</td>
<td>Head, Systems</td>
</tr>
<tr>
<td>Research.gov, Division of</td>
<td>Office, Division of</td>
<td>Services Division,</td>
<td>Division of Information</td>
<td>Office, Division of</td>
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<td>Institution and Award</td>
<td>Institution and Award</td>
<td>NCBI/NLM/NIH/</td>
<td>Systems</td>
<td>Award Support</td>
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<td>DHHS</td>
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Policy Updates
NSF has been working for over three years to implement disclosure requirements for compliance with NSPM-33.

- NSF has been collaborating with NIH to ensure our disclosure requirements are as consistent as possible, for use by researchers when preparing their Biographical Sketch and Current and Pending Support Documents.

- NSF, NIH, and OSTP co-chair an Interagency Working Group on Disclosure Policies
  - NSPM-33 Implementation Guidance included Harmonized Disclosure Requirements for use by Federal Research Funding Agencies.

- New section on NSF disclosure requirements added to the PAPPG
NSF Implementation of NSPM-33 Guidance: Initial Disclosures and Update/Correction Requirements

- NSF Pre- and Post-award Requirements for Disclosures and Update/Correction of Disclosures are as follows:
  - At the time of proposal submission: Collaborators and Other Affiliations, Biographical Sketch and Current and Pending Support.
  - Prior to making an award: Updated Current and Pending Support information must be submitted.
  - After an award is made: if the AOR discovers that a disclosure that should have been submitted at the time of proposal submission, but was not, they have 30 days to submit a post-award request to NSF.
  - At the time of project reporting: PIs and co-PIs must specify whether new, active other support has been received in their annual and final project reports. If yes, they must attach updated Current and Pending Support information.
PAPPG (NSF 23-1): Revision of the Biographical Sketch and Current and Pending Support

• Biographical Sketch and Current and Pending Support
  - SciENcv Implementation
    • Mandate to use SciENcv goes into effect in October 2023
    • NSF Fillable formats available for use
    • Certification language will be incorporated into both formats
    • ORCID encouragement
ORCID and SciENcv
Distinguish yourself in three easy steps

ORCID provides a persistent digital identifier (an ORCID ID) that you own and control, and that distinguishes you from every other researcher. You can connect your ID with your professional information — affiliations, grants, publications, peer review, and more. You can use your ID to share your information with other systems, ensuring you get recognition for all your contributions, saving you time and hassle, and reducing the risk of errors.

FIND OUT MORE ABOUT OUR MISSION AND VALUES

https://orcid.org
SciENcv: grant application forms tool

Biosketches

Financial Support Forms
SciENcv = Science Experts Network Curriculum Vitae

Use your data from multiple systems to support funding applications, reporting, and collaboration with less burden and complexity

Reduce Burden
Leverage Data
Track Impact

Current Features: NIH biosketches, NSF biosketch, NSF Current and Pending Support, Dept. of Ed. IES biosketch Integration with ORCID, Fastlane, PubMed/PMC, and eRA Commons

“TurboTax” Application Forms
Reduce dependency on agency administrative guidelines

NIH SF424 Application guide, 310 pages

NSF Proposal and Award Policies and Procedures Guide, 203 pages
SciENcv Features

Create & store multiple biographical sketches plus C&PS forms

Output PDFs on demand

Have a delegate manage accounts

From scratch, from a data source, or copied from an existing biosketch
SciENcv log in via Research.gov

Applicants can link *multiple* accounts

My NCBI

My Bibliography

Saved Searches

Collections

SciENcv

Google Account

NIH Login

National Science Foundation
How do I add ORCID login to my existing NCBI account?

1. Once you are signed in, click on your username and choose “Account Settings”

2. Linked accounts; click on Change button

3. Search for ORCID and sign in
Pre-populate form using ORCID data

Create a New Document

Document name: 2023 Grant Application

Format:
- NIH Biosketch
- NIH Fellowship Biosketch
- NSF Biosketch
- NSF Current and Pending Support
- IIES Biosketch

Select a format for this document.

Choose data source:
- Start with a blank document
- Existing Document - Download to NSF Biosketch

External source: ORCID

Profile name: 2023 Grant Application
Profile type: NSF Proposal & Award Process
Last Updated: 17 November 2022

NSF Biosketch

A. PROFESSIONAL PREPARATION - (see PARPG Chapter II.C.2.1a) (500 words)

List undergraduate and graduate education and postdoctoral training. List the year the degree was awarded, as well as inclusive dates of postdoctoral training.

<table>
<thead>
<tr>
<th>INSTITUTION</th>
<th>LOCATION</th>
<th>MAJOR/AREA OF STUDY</th>
<th>DEGREE (if applicable)</th>
<th>YEAR</th>
</tr>
</thead>
<tbody>
<tr>
<td>University of Texas Health Science</td>
<td>Houston, TX, US</td>
<td>Immunology</td>
<td>Ph.D.</td>
<td>2000</td>
</tr>
<tr>
<td>University of Texas Health Science</td>
<td>Houston, TX, US</td>
<td>Biochemistry and Molecular Biology</td>
<td>M.S.</td>
<td>1996</td>
</tr>
<tr>
<td>Texas A&amp;M University</td>
<td>College Station, TX, US</td>
<td>Chemistry</td>
<td>BA</td>
<td>1992</td>
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</tbody>
</table>

NAME: [Full Name]

ORCID ID: [ORCID ID]
Control over your data

Show/hide item

Delete/edit existing items

Create new entry

New entry dialog box
Add works to your ORCID record

https://support.orcid.org/hc/en-us/articles/360006973133-Add-works-to-your-ORCID-record
Using citations with SciENcv biosketches

My Bibliography Collection

ORCID data feed

C. PRODUCTS
Acceptable products must be citable and accessible including but not limited to publications, data sets, software, patents, products are unpublished documents not yet submitted for publication, invited lectures, and additional lists of products. A citation information including where applicable and practiceable names of all authors, date of publication or release, journal or book, volume, issue, pages, website and Uniform Resource Locator (URL) or other Persistent Identifier.

PRODUCTS MOST CLOSELY RELATED TO THE PROPOSED PROJECT

<table>
<thead>
<tr>
<th>My Bibliography</th>
<th>ORCID</th>
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</tbody>
</table>

- Sort by: Publication date  Select: None  3 item(s) selected  Refresh the list from ORCID

NSF Policy requires that each biographical sketch be no longer than three (3) pages unless otherwise specified in a solicitation. It is the responsibility of the submitter to ensure compliance with the three (3) page maximum.
Using citations in SciENcv: large author lists


Focusing on making it better for you!
Download PDF in SciENcv

Certification appears in PDF

Certification:

When the individual signs the certification on behalf of themselves, they are certifying that the information is current, accurate, and complete. This includes, but is not limited to, information related to current, pending, and other support (both foreign and domestic) as defined in 42 U.S.C. §§ 6605. Misrepresentations and/or omissions may be subject to prosecution and liability pursuant to, but not limited to, 18 U.S.C. §§ 287, 1001, 1031 and 31 U.S.C. §§ 3729-3733 and 3802.

Certified by Trawick, Bart in SciENcv on 2022-11-17 10:27:46
How can you be involved?

Watch for future surveys, workshops, and testing opportunities.

Creating an NIH or NSF Biosketch with SciENcv in early February 2023


Reach out to the SciENcv team directly

SciENcv questions/feedback:
info@ncbi.nlm.nih.gov
Recent Research.gov Proposal
Enhancements
Recent Research.gov Enhancement: SBIR and STTR Proposal Types

• New proposal types:
  ▪ Small Business Innovation Research Program (SBIR)
  ▪ Small Business Technology Transfer Program (STTR)

• All proposal and submission types are now in Research.gov!!!
Recent Research.gov Enhancement: Integration with Grants.gov

- As of November 21st, Grants.gov applications are processed in Research.gov instead of in FastLane
- Corrections or additions to Grants.gov applications submitted to NSF on or after November 21st are made in Research.gov
# Research.gov Proposals: Current Capabilities and Remaining Functionality to be Added

## Current Capabilities

<table>
<thead>
<tr>
<th>Proposal Types</th>
<th>Solicitation-specific Requirements</th>
<th>Postdoctoral Fellowship (tentative May 2023 release)</th>
</tr>
</thead>
<tbody>
<tr>
<td>✓ Research: Single Submissions from One Organization (April 2018); Single Submission Collaborative Proposals with Subawards (June 2019); Separately Submitted Collaborative Proposals from Multiple Organizations (March 2020)</td>
<td>✓ Project Data Form (April 2022)</td>
<td>• Proposals for fellowship solicitations that contain reference letter requirements</td>
</tr>
<tr>
<td>✓ Rapid Response Research (RAPID) (November 2020)</td>
<td>✓ Secondary Units of Consideration (April 2022)</td>
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</tr>
<tr>
<td>✓ Early-concept Grants for Exploratory Research (EAGER) (November 2020)</td>
<td>✓ Withdrawal (Single and Separately Submitted Collaborative Proposal Submissions) (March 2021)</td>
<td></td>
</tr>
<tr>
<td>✓ Research Advanced by Interdisciplinary Science and Engineering (RAISE) (November 2020)</td>
<td>✓ Project Descriptions Exceeding 15 Pages (November 2021)</td>
<td></td>
</tr>
<tr>
<td>✓ Facilitation Awards for Scientists and Engineers with Disabilities (FASED) (March 2021)</td>
<td>✓ Separate Uploads of Multiple Supplementary Documents (April 2022)</td>
<td></td>
</tr>
<tr>
<td>✓ Equipment (March 2021)</td>
<td>✓ Grants.gov Integration</td>
<td></td>
</tr>
<tr>
<td>✓ Travel (March 2021)</td>
<td>✓ Grants.gov applications processed in Research.gov (November 2022)</td>
<td></td>
</tr>
<tr>
<td>✓ Ideas Lab (August 2021)</td>
<td>✓ Supplemental Funding Requests, including Career Life Balance requests (October 2022)</td>
<td></td>
</tr>
<tr>
<td>✓ Grant Opportunities for Academic Liaison with Industry (GOALI) (October 2021)</td>
<td>✓ Research.gov About Proposal Preparation and Submission page and FAQs (April 2018)</td>
<td></td>
</tr>
<tr>
<td>✓ Planning (October 2021)</td>
<td>✓ Research.gov Proposal Preparation Demo Site (October 2020)</td>
<td></td>
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<tr>
<td>✓ Center (November 2021)</td>
<td>✓ Research.gov About Supplemental Funding Requests Preparation and Submission page and FAQs (October 2022)</td>
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<tr>
<td>✓ Research Infrastructure (November 2021)</td>
<td>✓ Research.gov Supplemental Funding Requests Demo Site (October 2022)</td>
<td></td>
</tr>
<tr>
<td>✓ Postdoctoral Fellowship (proposals for fellowship solicitations that do not contain reference letter requirements) (April 2022)</td>
<td>✓ Topic-specific How-to Guides (Ongoing)</td>
<td></td>
</tr>
<tr>
<td>✓ SBIR and STTR Phases I/II (October 2022)</td>
<td>✓ Webinars and Demos (Ongoing)</td>
<td></td>
</tr>
</tbody>
</table>

### Solicitation-specific Requirements
- ✓ Project Data Form (April 2022)
- ✓ Secondary Units of Consideration (April 2022)

### Recent Proposal Features
- ✓ Withdrawal (Single and Separately Submitted Collaborative Proposal Submissions) (March 2021)
- ✓ Project Descriptions Exceeding 15 Pages (November 2021)
- ✓ Separate Uploads of Multiple Supplementary Documents (April 2022)

### Grants.gov Integration
- ✓ Grants.gov applications processed in Research.gov (November 2022)

### Post-award Actions
- ✓ Supplemental Funding Requests, including Career Life Balance requests (October 2022)

### Training
- ✓ Research.gov About Proposal Preparation and Submission page and FAQs (April 2018)
- ✓ Research.gov Proposal Preparation Demo Site (October 2020)
- ✓ Research.gov About Supplemental Funding Requests Preparation and Submission page and FAQs (October 2022)
- ✓ Research.gov Supplemental Funding Requests Demo Site (October 2022)
- ✓ Topic-specific How-to Guides (Ongoing)
- ✓ Webinars and Demos (Ongoing)

### Submission Types

| ✓ Preliminary Proposal: Ideas Lab (August 2021); Non-Ideas Lab (November 2021) | ✓ Research.gov Proposal Preparation Demo Site (October 2020) |
| ✓ Renewal Proposal (August 2021) | ✓ Research.gov About Supplemental Funding Requests Preparation and Submission page and FAQs (October 2022) |
| ✓ Accomplishment-Based Renewal Proposal (August 2021) | ✓ Research.gov Supplemental Funding Requests Demo Site (October 2022) |
| ✓ Full Proposal related to a Preliminary Proposal (November 2021) | ✓ Topic-specific How-to Guides (Ongoing) |
Research.gov Proposal Preparation Features

Features to help reduce proposal preparation administrative burden and to minimize return without review proposals due to some formatting issues:

• Intuitive and dynamic interfaces that provide only relevant proposal preparation options

• Inline help features
  ▪ Information tool tips indicated by the blue information icon: 🔄
  ▪ Links to the PAPPG
  ▪ Links to Frequently Asked Questions (FAQs)
  ▪ Links to video tutorials

• Fast document uploads and immediate feedback within each proposal section and for each document upload

• Expanded automated compliance checking utilizing warning messages (allowing proposal submission) and error messages (stopping proposal submission)
  ▪ Currently ~550 compliance checks in Research.gov across multiple proposal submission types (full proposals, renewals, preliminary proposals, and letters of intent) and supplemental funding requests versus 56 compliance checks in FastLane for full proposals only
  ▪ Specific, actionable warning and error messages

• PDF uploads are not altered
Research.gov Proposal Preparation Reminders

- Proposal information cannot be transferred from FastLane to Research.gov
- Letters of intent and preliminary proposals must be prepared in the same system as the full proposal (i.e., all in Research.gov or all in FastLane)
- All proposals in a separately submitted collaborative from multiple organizations must be prepared in the same system (i.e., all in Research.gov or all in FastLane)
- Proposal file updates (PFUs) and budget revisions must be executed in the same system as the proposal was submitted (i.e., all in Research.gov or all in FastLane)
  - Last day to submit proposal file updates/budget revisions in FastLane is September 29, 2023
- Proposals submitted in Research.gov evaluated by NSF in the same way as proposals submitted in FastLane and Grants.gov, and this migration does not affect the merit review process in any way
Research.gov Proposal Preparation
Training Resources
Have you tried the Research.gov Proposal Preparation Demo Site?

- Demo site can be used to explore preparing proposals and to check compliance of uploaded proposal documents (e.g., Collaborators and Other Affiliations and Biographical Sketch)
- All demo site users are automatically given the PI role for demo site purposes, in order to perform the proposal preparation functions that a PI can do in the actual system. Proposal submission capability is disabled
- See the demo site FAQs on the Research.gov About Proposal Preparation and Submission page for information on demo site access and features
Research.gov About Proposal Preparation and Submission
Page Training Resources

• Recently Added FAQs
  ▪ Managing Where to Apply and Secondary Units of Consideration FAQs (see the General FAQ topic)
  ▪ Project Data Form FAQs (see the Proposal Sections FAQ topic)
  ▪ Grants.gov Submitted Proposal FAQs (see the Grants.gov Submitted Proposal topic)

• How-to Guides
  ▪ Initiating a New Proposal
  ▪ Adding or Removing an OAU
  ▪ Sharing Proposal and Proposal File Update/Budget Revision Access with SPO/AOR
  ▪ Entering Proposal Budgets
  ▪ Adding or Removing Subaward Organizations
  ▪ Adding or Removing Collaborators and Other Affiliations
  ▪ Deleting an In Progress Letter of Intent, Proposal, or Proposal File Update/Budget Revision
  ▪ Submitting Letters of Intent and Proposals
  ▪ Submitting Proposal File Updates/Budget Revisions
Resources for LaTeX Users

• Open-source repository of compliant LaTeX/TeX sample input/output files for LaTeX/TeX users to reference for their own documents

• Repository link: https://github.com/nsf-open/nsf-proposal-latex-samples
Providing Feedback and Why It’s Important
Providing Feedback and Why It’s Important

Why?
- Help NSF refine Research.gov
- Help ensure the system is working as intended and identify areas of improvement

How?
- Feedback may be submitted on the Research.gov Feedback page using these drop-down menu options:
  - Proposal Preparation & Submission
  - Proposal Preparation Demo Site
  - Supplemental Funding Request Preparation & Submission
  - Supplemental Funding Request Demo Site
Information Resources & Contacts for Questions
Information Resources

• Join our **NSF System Updates listserv** to receive the latest Research.gov system enhancement information and FastLane decommissioning news! Sign up by sending a blank email to: system_updates-subscribe-request@listserv.nsf.gov

• **Automated Compliance Checking of NSF Proposals** page

• Research.gov **About Proposal Preparation and Submission** page

• Research.gov **About Supplemental Funding Request Preparation and Submission** page

• Research.gov **Proposal Preparation Demo Site** *(You will be prompted to sign in to Research.gov if you are not already signed in)*

• Research.gov **Supplemental Funding Requests Demo Site** *(You will be prompted to sign in to Research.gov if you are not already signed in)*

• Resources for LaTeX Users: [https://github.com/nsf-open/nsf-proposal-latex-samples](https://github.com/nsf-open/nsf-proposal-latex-samples)

• Research.gov **About Account Management** page
Contacts for Questions

• For program-specific questions, please contact the cognizant NSF Program Officer

• For IT system-related questions or technical questions, please contact the NSF Help Desk at 1-800-381-1532 (7:00 AM - 9:00 PM ET; Monday - Friday except federal holidays) or via rgov@nsf.gov

• Policy-related questions should be directed to policy@nsf.gov
FastLane Decommissioning: Proposal Preparation and Submission
Removing FastLane as Submission Option from Remaining Funding Opportunities by January 30, 2023

• Effective with implementation of the Proposal & Award Policies & Procedures Guide (PAPPG) (NSF 23-1) on January 30, 2023, FastLane will be removed as a submission option from all solicitations and program descriptions (PDs)
• Currently, more than 90% of all funding opportunities require proposal submission in Research.gov or Grants.gov

**Solicitation Example**

**Program Description Example**

**IMPORTANT INFORMATION AND REVISION NOTE ABOUT RESEARCH.GOV PROPOSAL PREPARATION:**

Innovating and migrating proposal preparation and submission capabilities from FastLane to Research.gov is part of the ongoing NSF information technology modernization efforts, as described in Important Notice No. 147. In support of these efforts, proposals submitted in response to this program solicitation must be prepared and submitted via Research.gov or via Grants.gov and may not be prepared or submitted via FastLane.

Alert: Many NSF programs are only accepting proposals in Research.gov or Grants.gov. FastLane may no longer be a submission option. For more information, please visit Program Descriptions that Require Proposal Preparation and Submission in Research.gov or Grants.gov.
# Important FastLane Proposal Preparation and Submission Decommissioning Deadlines

As of 11/8/2022

<table>
<thead>
<tr>
<th>Action</th>
<th>Deadline</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>SUBMIT NEW</strong></td>
<td></td>
</tr>
<tr>
<td>Last day to submit new proposals in FastLane</td>
<td>Friday, January 27, 2023 (5:00 PM submitter’s local time)</td>
</tr>
</tbody>
</table>

*Note that FastLane remains a submission option for only 6% of current funding opportunities as of 11/8/22*

| **UPDATE EXISTING** | |
| Last day to submit proposal file updates/budget revisions in FastLane | Friday, September 29, 2023 (5:00 PM submitter’s local time) |

| **VIEW EXISTING** | |
| Last day to access FastLane submitted proposals or print FastLane in-progress proposal PDFs | Friday, September 29, 2023 (11:00 PM Eastern Time) |

*FastLane submitted and in-progress proposals will not be transferred to Research.gov*
Other FastLane Functionality
Decommissioning
# Important FastLane Supplemental Funding Request Preparation and Submission Decommissioning Deadlines

As of 11/8/2022

<table>
<thead>
<tr>
<th>Action</th>
<th>Deadline</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>SUBMIT NEW</strong></td>
<td></td>
</tr>
<tr>
<td>Last day to submit new supplemental funding requests in FastLane</td>
<td>Friday, January 27, 2023 (5:00 PM submitter’s local time)</td>
</tr>
</tbody>
</table>

| **VIEW EXISTING** | |
| Last day to access FastLane submitted supplemental funding requests or print FastLane in-progress supplemental funding request PDFs | Friday, September 29, 2023 (11:00 PM Eastern Time) |

*FastLane submitted and in-progress supplemental funding requests will not be transferred to Research.gov*
Other FastLane Functionality Decommissioning – Notifications & Requests

- All Notifications & Requests are accessible from link in the Awards & Reporting tile on the Research.gov My Desktop page
- Users must have an organization-approved role to access this locked link
Other FastLane Functionality Decommissioning – Notifications & Requests (cont’d)

- Two requests are still located in FastLane but will transition to Research.gov in the coming months:
  - PI/PD or co-PI/co-PD Transfer from One Organization to Another
  - Sub awarding, Transferring or Contracting Out Part of an NSF Award
- Both requests are currently accessible from the Notifications & Requests link in the Awards & Reporting tile on the Research.gov My Desktop page
Other FastLane Functionality Decommissioning – Award Documents

- As of November 21st, FastLane Award Documents functionality as well all award documents transitioned to Research.gov
- Access Award Documents via new link in the Awards & Reporting tile on the Research.gov My Desktop page
• **Continuing Grant Increments Reports** transitioned from FastLane to Research.gov on November 21st and are accessible by users with an Authorized Organizational Representative (AOR) or Sponsored Projects Officer (SPO) role from a new link in the Awards & Reporting tile on the Research.gov My Desktop page.

• Active Awards Report information available via NSF.gov [Award Search](#)

• Organization Permission Report information available in Research.gov Account Management My Profile
Preparing and Submitting Supplemental Funding Requests in Research.gov
Research.gov Enhancement: Supplemental Funding Requests

- As of October 24th, Research.gov supports preparation and submission of supplemental funding requests, including Career-Life Balance requests per PAPPG Chapter II.E.8.

- Requests can be submitted in Research.gov regardless of the system used (Research.gov, FastLane, or Grants.gov) for proposal submission.

- FastLane supplemental funding request functionality will remain available in parallel for the preparation and submission of new requests until January 27, 2023.
Research.gov Enhancement: Supplemental Funding Requests

- Access Research.gov supplemental funding request functionality and demo site from new links within the Awards & Reporting tile on the Research.gov My Desktop page
- Demo site can be used to explore preparing supplemental funding requests and to check compliance of uploaded documents
Research.gov Enhancement: New Supplemental Funding Requests Demo Site

• All demo site users must have an NSF ID for demo site access and authentication and will automatically be given a PI role for use in the demo site
• User’s actual awards as well as “dummy” awards generated by the demo site will display for selection to try out preparing a supplemental funding request
• If user does not have any actual awards, they can select from “dummy” awards generated by the demo site
• Supplemental funding request submission capability is disabled

Research.gov Demo Site: Supplemental Funding Requests Homepage

https://web.demo.research.gov/proposalprep/#/sfr
(You will be prompted to sign in to Research.gov if you are not already signed in)
Research.gov Enhancement: New About Supplemental Funding Request Preparation and Submission Page

FAQs by Topic

• General
• Uploading Documents
• Supplemental Funding Request Sections
• Supplemental Funding Request Submission
• Supplemental Funding Request Withdrawal
• Supplemental Funding Request Statuses
• Demo site FAQs
  ▪ General
  ▪ Access and User Roles
  ▪ Demo Site Features

https://www.research.gov/research-web/content/aboutsfr
Research.gov Supplemental Funding Requests Demo
Unique Entity Identifier (UEI)
Award Notices – Schedule of Expenditures of Federal Awards (SEFA) Reporting
Unique Entity Identifier (UEI)

UEI Overview

• Required by Uniform Grant Guidance
• Unique Entity Identifier (UEI) replaced DUNS ID in April 2022
• UEI is assigned by SAM.gov (https://sam.gov/content/home)

• Prime Awardee Organizations **MUST** register in SAM and go through Entity Validation
• UEIs are needed prior to proposal submission
• UEI must be valid at all times – proposal submission through award and payment
• Must also have an NSF Institution ID linked to the UEI – Research.gov Account Management
• Entity information/SAM registration must be revalidated annually

• Sub awardee organization **MUST** also obtain a UEI
• Sub Awardee organizations do not need an entity validation, only the UEI
• SAM.gov has a separate process for sub awardee organizations to get a UEI
• Sub awardee organizations must have the UEI before proposal submission
Unique Entity Identifier (UEI)

Helpful Information and Reminders

• **Start the process early**
  • GSA/SAM.gov has seen an unexpected increase in registrations
  • An initial backlog has been cleared but there is still a high volume
  • Revalidation takes longer than it used to
  • Suggested to allow at least a month
  • If any information for the organization has changed...allow for even more time
  • Allow for even more than a month if it is a new registration
  • Sub awardees also need a UEI
  • UEIs are need prior to proposal submission
  • NSF does not have any way to override the requirement
  • SAM.gov is not an NSF system

**Contact NSF Program Officer as soon as possible if there is a problem**
Award Notices - SEFA Reporting

Schedule of Expenditures of Federal Awards (SEFA)

• Required as part of single-audits
• Required by Uniform Administrative Requirements, Cost Principles, And Audit Requirements For Federal Awards (Uniform Grants Guidance - 2 CFR 200.510 – Financial Statements)
• Should be reported with Assistance Listing (AL - formerly Catalog of Federal Domestic Assistance (CFDA) number)
• OMB requested Agencies to include all Als funding an award when reporting to USA Spending
• NSF modified award notices to include all Als
• Awards may be funded using funds from multiple Als

How do awardee organizations report information on awards with multiple ALs on SEFA form?
Award Notices - SEFA Reporting

The Assistance Listing Number(s) and Name(s) appear within the Award Information section of the Award Notice. The accompanying text will be determined by the Funding information.

- The Assistance Listing number that Grantees need to use for SEFA reporting is determined based on the predominant funding source for a given award.
- If two or more Assistance Listing numbers provide the highest funding (there is a tie), then either the Managing Organization Assistance Listing number or the lowest Assistance Listing number will be used for SEFA reporting.

Initial Award - Amendment 000

<table>
<thead>
<tr>
<th>AWARDS INFORMATION</th>
</tr>
</thead>
<tbody>
<tr>
<td>Award Number (FAIN): [REDACTED]</td>
</tr>
<tr>
<td>Award Instrument: Continuing Grant</td>
</tr>
<tr>
<td>Award Date: 10/31/2022</td>
</tr>
<tr>
<td>Award Period of Performance: Start Date: 02/01/2023  End Date: 01/31/2027</td>
</tr>
<tr>
<td>Project Title: [REDACTED]</td>
</tr>
<tr>
<td>Managing Division Abbreviation: OCE</td>
</tr>
<tr>
<td>Research and Development Award: Yes</td>
</tr>
<tr>
<td>Funding Opportunity: PD 17-1620 Marine Geology and Geophysics</td>
</tr>
</tbody>
</table>

**Assistance Listing Number(s) and Name(s):** 47.050 Geosciences (Predominant source of funding for SEFA reporting), 47.041 Engineering Grants, 47.074 Biological Sciences

**Note:** If there are multiple Assistance Listing Number(s) and Name(s), then the SEFA designated funding will appear first.
Award Notices - SEFA Reporting

For Post-Award Funded Actions, recipients will be directed to the original Amendment to find the SEFA designated reporting number.

**Note:** For PI Transfers, the total transfer amount will be used to determine the funding CFDA for the SEFA reporting

### Post Award Funding Actions

<table>
<thead>
<tr>
<th>AWARD INFORMATION</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Award Number (FAIN):</strong> [REDACTED]</td>
</tr>
<tr>
<td><strong>Award Instrument:</strong> Cooperative Agreement</td>
</tr>
<tr>
<td><strong>Award Date:</strong> 09/14/2017</td>
</tr>
<tr>
<td><strong>Award Period of Performance:</strong> Start Date: 09/01/2017  End Date: 08/31/2023</td>
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<tr>
<td><strong>Project Title:</strong> [REDACTED]</td>
</tr>
<tr>
<td><strong>Managing Division Abbreviation:</strong> DMR</td>
</tr>
<tr>
<td><strong>Research and Development Award:</strong> Yes</td>
</tr>
<tr>
<td><strong>Funding Opportunity:</strong> NSF 16-545 Materials Research Science and Engineering Centers</td>
</tr>
<tr>
<td><strong>Assistance Listing Number(s) and Name(s):</strong> 47.049 Mathematical and Physical Sciences (See Amendment 000 for source of funding for SEFA reporting)</td>
</tr>
</tbody>
</table>

**Note:** If there are multiple Assistance Listing Number(s) and Name(s), then the SEFA designated funding will appear first.
Next Steps and ERA Forum Resources

- **Post-webinar Survey**
  - [https://www.surveymonkey.com/r/Fall2022ERAForum](https://www.surveymonkey.com/r/Fall2022ERAForum)

- **ERA Forum Email**
  - Questions pertaining to the presentation can be sent to nsferaforum@nsf.gov
  - If you are interested in participating in future application usability studies, please send an email with your contact information to nsferaforum@nsf.gov

- **Subscribe to ERA Forum Listserv**
  - Send an email to NSF_ERA_FORUM-subscribe-request@listserv.nsf.gov

- **NSF ERA Forum Website:**
  - Agenda and presentation slides will be available soon on the ERA Forum website at: [https://www/nsf.gov/bfa/dias/policy/era_forum.jsp](https://www/nanoscale.gov/bfa/dias/policy/era_forum.jsp).
Thank you for participating in today’s ERA Forum Webinar