



National Science Foundation
WHERE DISCOVERIES BEGIN

Emerging Research Institution (ERI) Roundtable: Working with Federal Funds

November 2016



Purpose and Format

Purpose

Provide organizations new to NSF awards an opportunity to ask questions about policies and best practices for managing federal funds

Format

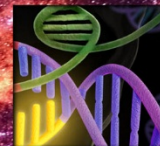
Open forum for discussion and dialogue, with questions coming from organizations

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National Science Foundation (NSF) Organizational Chart



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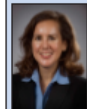
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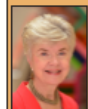
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Teresa Granovitz
Deputy Office Head
703.292.8000


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 **Joanne S. Torrow**
Head / Chief Human Capital Officer
Donna Butler
Deputy Office Head
703.292.8100

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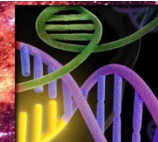
 **Rebecca Kiser**
Head
Samuel S. Howerton
Deputy Office Head
703.292.8710

OFFICE OF LEGISLATIVE & PUBLIC AFFAIRS (OLPA)

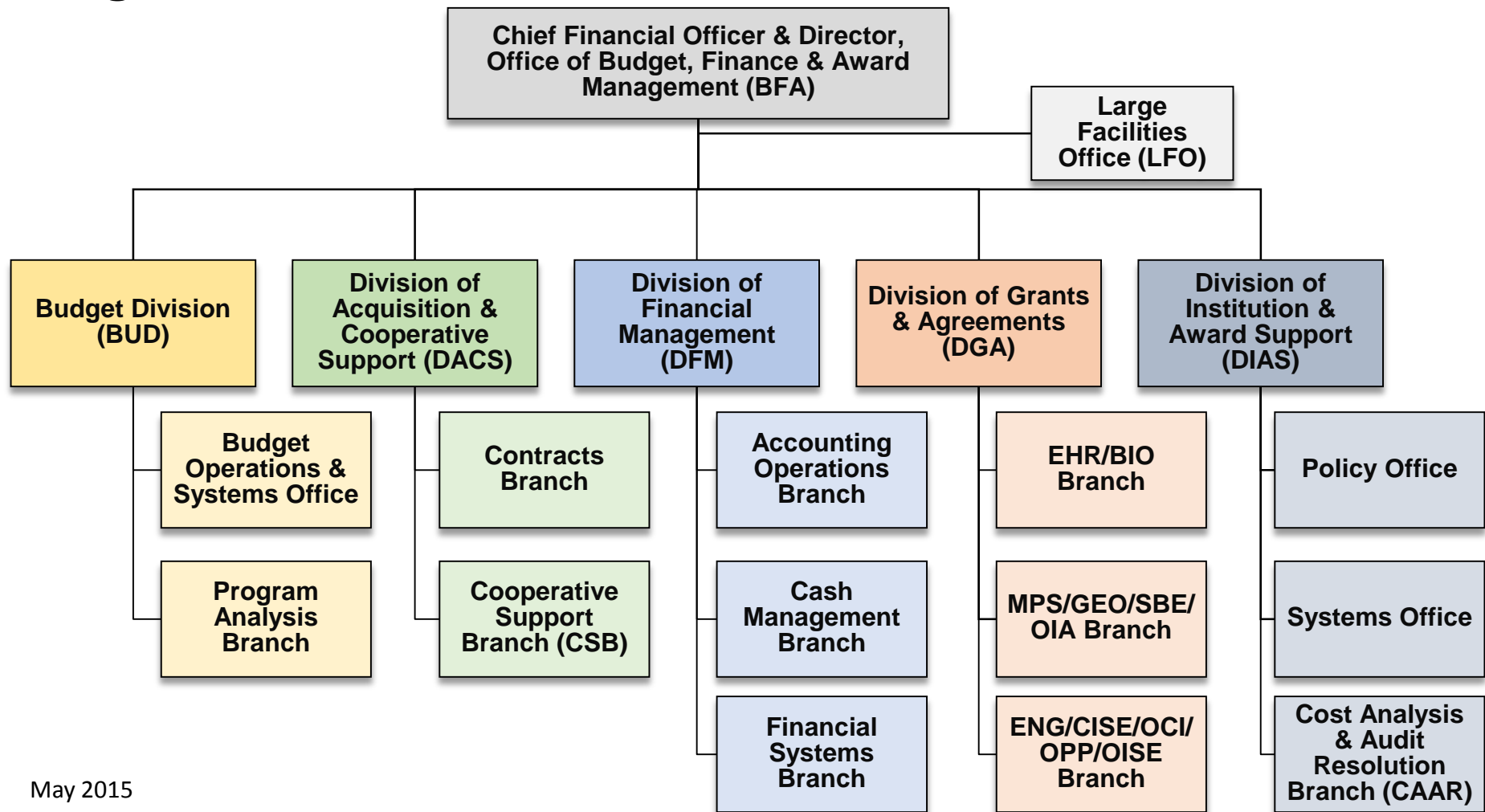
 **Amanda Greenwell**
Head
703.292.8870



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Office of Budget, Finance & Award Management (BFA) Organizational Chart



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Panelists

Beatriz Azor, Cost Analyst
Cost Analysis and Audit
Resolution Branch, DIAS

Dale Bell, Division Director
DIAS

Kim Bub, Staff Associate
DGA

Jean Feldman, Head, Policy
Office, DIAS

Jeremy Leffler, Outreach
Specialist, Policy Office, DIAS

Jeff Viecei, Head, Awards
Systems Office, DIAS



NSF.gov hosts a range of guidance to assist awardees with managing NSF-funded awards

NSF Resources

- ▶ **Proposal & Award Policies and Procedures Guide (PAPPG)** – comprised of the Grant Proposal Guide (GPG), which documents NSF's proposal preparation and submission guidelines, and the Award & Administration Guide (AAG), which contains guidance on managing and monitoring awards and the administration of grants and cooperative agreements. The PAPPG implements 2 CFR § 200 for NSF.
- ▶ **Prospective New Awardee Guide (PNAG)** – highlights the accountability requirements associated with federal awards and contains information to assist in preparing the documents NSF requires to conduct pre-award administrative and financial reviews.
- ▶ **Uniform Guidance, NSF Implementation Webinar** – presents changes in NSF policy with the implementation of the Uniform Guidance. Slides from the presentation are also available. The presenter is Jean Feldman, Head of the NSF Policy Office.
- ▶ **About Award Cash Management Service (ACM\$)** – provides information on how to use the NSF ACM\$ system for grant-by-grant award payments and post-award financial processes.

Key NSF Divisions, Offices, and Branches

- ▶ **Division of Financial Management (DFM)** – provides financial services to the NSF research community (NSF staff, grantees, and vendors).
- ▶ **Division of Grants and Agreements (DGA)** – processes and issues award agreements. DGA also conducts business, financial, and award administrative reviews to ensure awardee and grant compliance. DGA Grants & Agreements Officers can assist with grant-specific questions.
- ▶ **DIAS/Cost Analysis and Audit Resolution (CAAR) Branch** – performs cost analyses, resolves audit findings pertaining to NSF awards, and conducts various award monitoring activities that provide business assistance to awardees.
- ▶ **DIAS/Policy Office** – develops and issues proposal and award policy for the NSF programs, leads outreach efforts, and assists awardees with policy-related questions.
- ▶ **DACS/Cooperative Support Branch (CSB)** – carries out the negotiation, award, administration, monitoring, and oversight of complex cooperative agreements for large facilities.



Resources external to NSF also provide guidance for grants management and federal compliance

Financial Assistance Organizations

A number of associations and organizations provide information on best practices in the areas of internal controls and grants management processes.

Direct Resources:

- ▶ [Federal Demonstration Partnership \(FDP\)](#)
- ▶ [National Council of University Research Administrators \(NCURA\)](#)
- ▶ [National Grants Management Association \(NGMA\)](#)
- ▶ [Society for Research Administrators International \(SRA\)](#)

Related Resources:

- ▶ [Association of Government Accountants \(AGA\)](#)
- ▶ [National Academy of Public Administration \(NAPA\)](#)
- ▶ [U.S. General Accountability Office \(GAO\)](#)

Compliance Resources

- ▶ [Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards](#) – provides the most up-to-date version of 2 CFR § 200, frequently referred to as the Uniform Guidance. The Uniform Guidance is in effect for new federal awards as of December 26, 2014.
 - [PAPPG](#) – codifies 2 CFR § 200 for NSF.
 - [FAQs](#) – addresses NSF's implementation of 2 CFR § 200.
- ▶ [Council on Financial Assistance Reform \(COFAR\)](#) – contains extensive information on the Uniform Guidance, including archived webinars, FAQs, and COFAR priorities.
- ▶ [AU-C Section 500: Audit Evidence](#) – details the acceptable use of electronic records for audit evidence. This guidance is oriented toward auditors.



For More Information

Ask Early, Ask Often!

nsf.gov/staff

nsf.gov/staff/orglist.jsp

nsf.gov/about/career_opps/rotators/index.jsp

policy@nsf.gov

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