



July 29, 2020



## Merit Review Modernization

### Vision

Continuously modernize the merit review process to promote fairness, transparency, effectiveness, and efficiency in decision-making.

### Mission

Enable oversight through open access to data about the merit review process; accelerate broad NSF adoption of proven process improvements.

### **Strategies**

Develop common understanding of the current state of merit review

Launch and measure the impact of prototypes and pilots

Increase accessibility and usefulness of merit review data



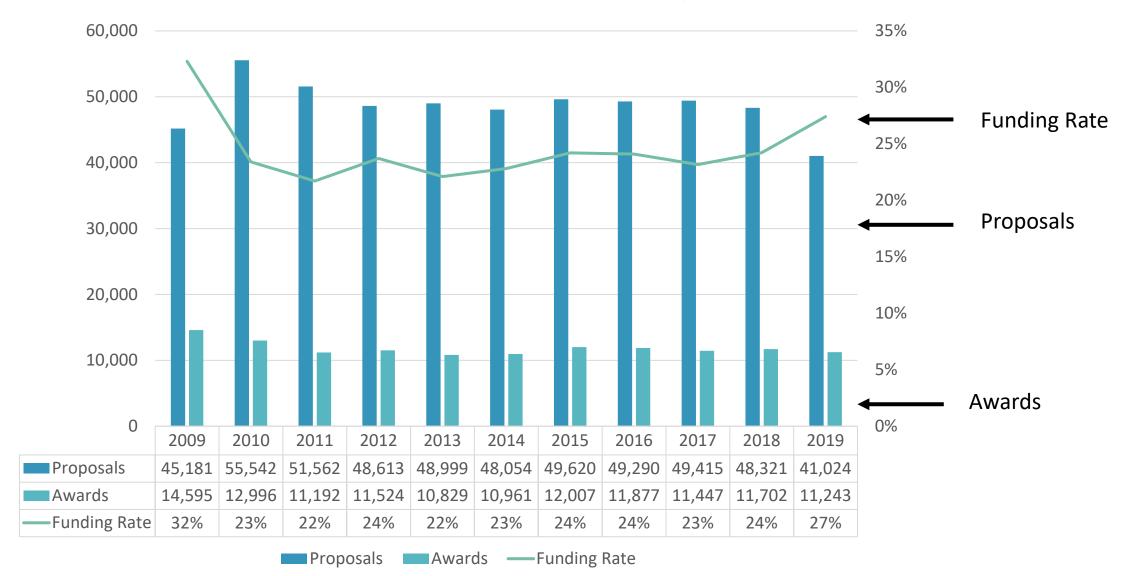
## FY 2019 Merit Review Digest

Highlights



## Merit Review Digest – Summary Statistics

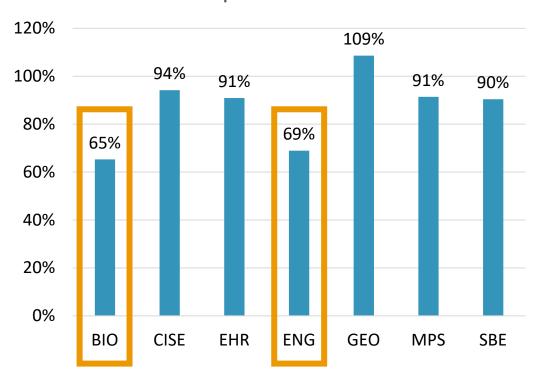
Competitive Proposals, Awards, and Funding Rates



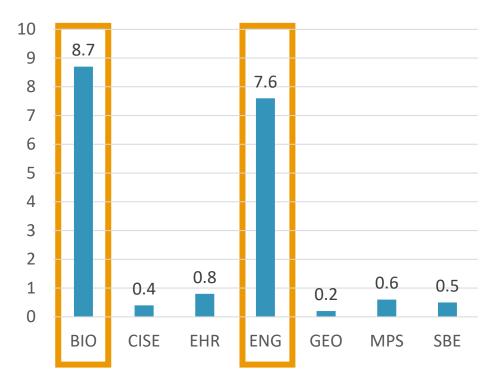


## Directorate-wide Adoption of No-Deadlines

## **Proposals** Acted on by Directorates in FY 2019 Compared to FY 2018



## Difference in **Proposal Funding Rates** by Directorates in FY 2018 and FY 2019

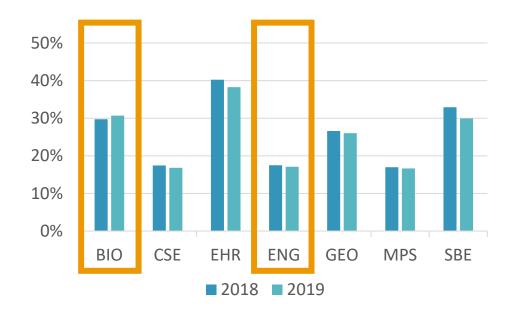


BIO and ENG – Directorate-wide adoption of no-deadline approach for core programs; saw fewer proposals and increased funding rates.

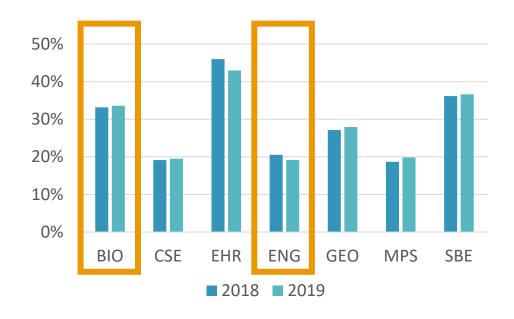


## Diversity of Proposals and Awards, by Female Pls

### Total Percent **Proposals** from **Female PIs**



### Total Percent **Awards** to **Female PIs**

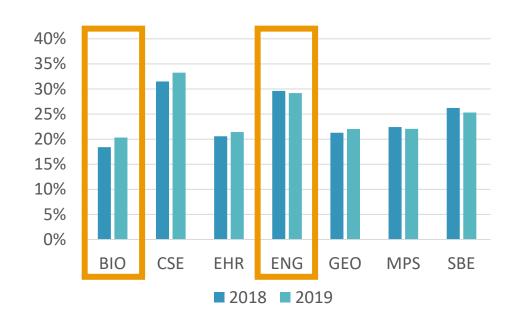


**BIO** and **ENG** – no large variance in participation by female PIs.

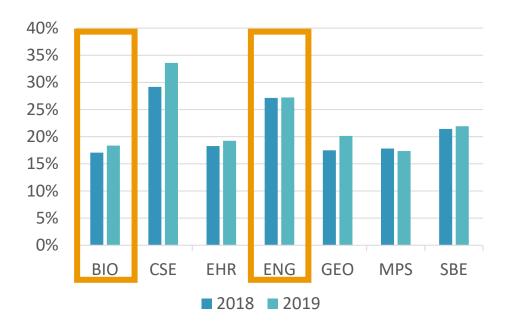


## Diversity of Proposals and Awards, by Early Career Pls

### Total Percent **Proposals** from **Early Career Pls**



### Total Percent Awards to Early Career Pls



**BIO** and **ENG** – no large variance in participation by early career PIs.

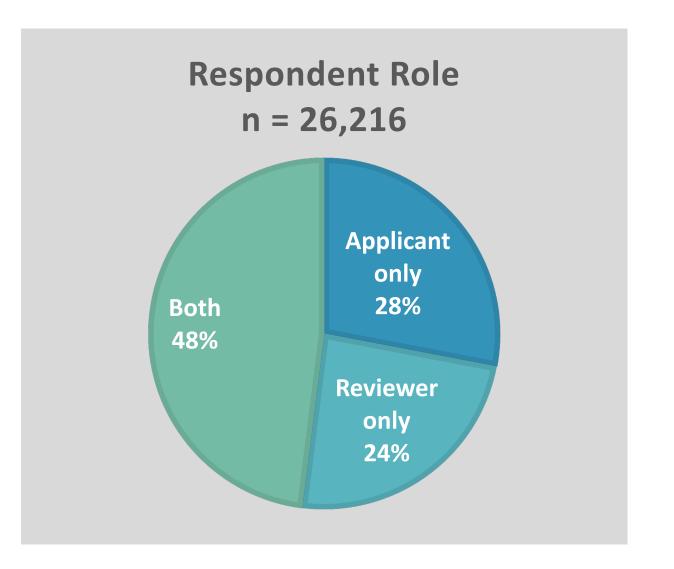


# Survey of Principal Investigator and Reviewer Experiences

Highlights

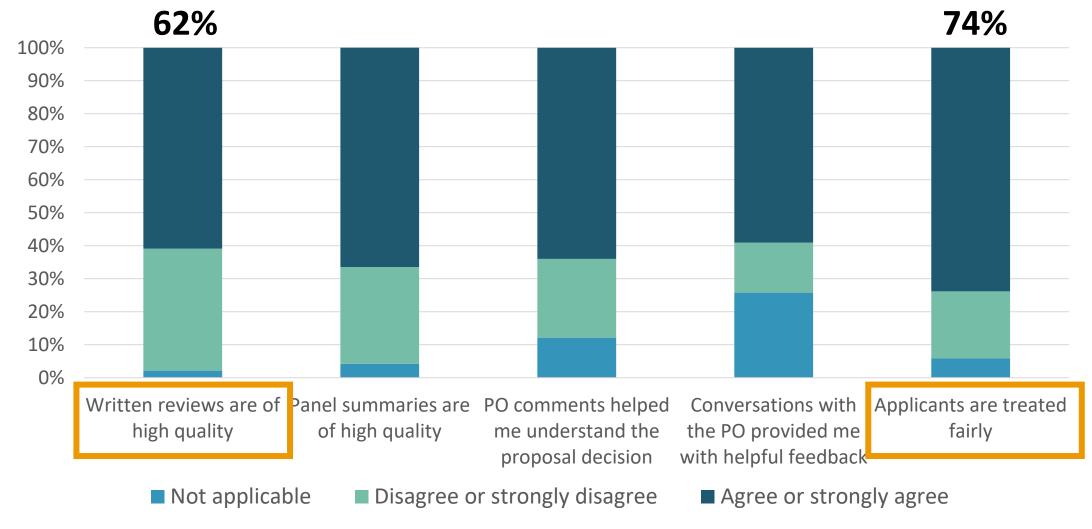
## Survey Overview

Summary				
Period covered by survey	FY 2016 - FY 2018			
Invitees	89,012			
Number of respondents (N)	26,216			
Response rate	29%			





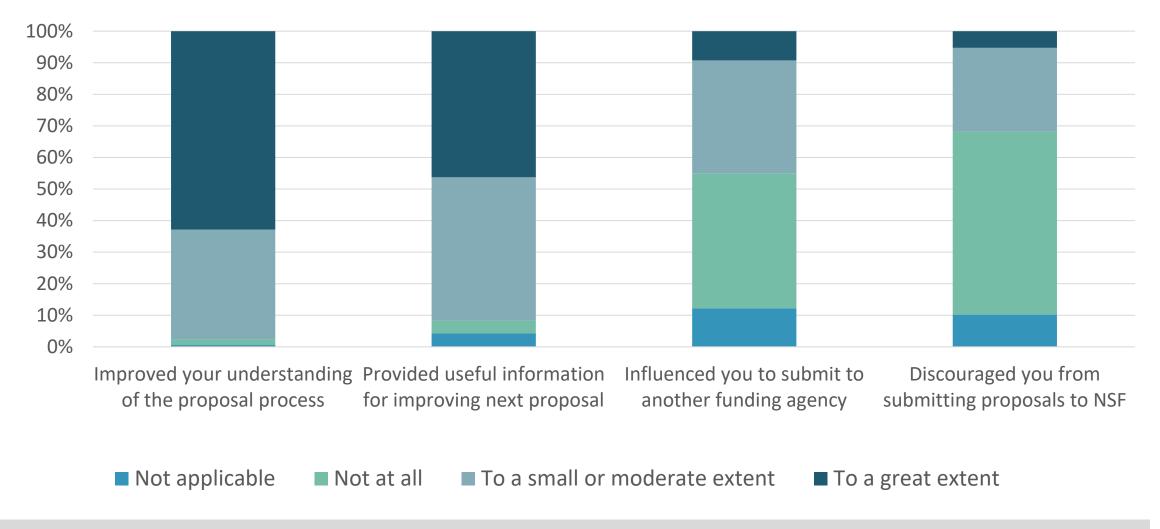
## **Experiences of Applicants**



Applicants are generally satisfied with the merit review process and believe it is fair.



## **Experiences of Reviewers**



Reviewers recognize that review service helps them in their roles as applicants.



## Merit Review Website

Phase 1 Release



### **Oversight of the Merit Review Process**

Home / Merit Review

### **Merit Review**

#### **Merit Review**

Oversight of the Merit Review Process

### **Background Information**

NSF's mission is to promote the progress of science. Every year, the Foundation is able to support only a fraction of the proposed research with its limited resources. NSF uses a rigorous merit review process to decide which proposals have the greatest potential and will be the most fruitful investment of the taxpayer dollars. Considered the gold-standard for evaluating research proposals in a competitive environment, NSF continuously evaluates opportunities to strengthen the integrity, transparency, and efficiency of the process.



### Overview of Merit Review Policies and Process

Are you interested in learning about NSF's Merit Review criteria and process? Details of policy and procedures can be found by following the link below.



### Oversight of the Merit Review Process

Learn more about how NSF ensures the continuing integrity and efficiency of the merit review process.

Visit page

Visit Page

### **Oversight of the Merit Review Process**

Through its merit review process, the National Science Foundation (NSF) ensures that proposals submitted are reviewed in a fair, competitive, transparent, and in-depth manner. NSF has several external and internal oversight mechanisms that evaluate the integrity and efficiency of this vital process, including:

- Congress NSF is an independent agency of the executive branch of the US government. Congressional committees with oversight responsibilities for NSF hold the agency accountable for the proper functioning of the merit review process.
- Government Accountability Office (GAO) GAO is an independent agency that works for Congress. It performs independent audits and reviews of agencies to identify opportunities to improve performance and efficiency.
- National Science Board The Board was established by Congress in 1950, and along with the Director, constitutes NSF. The Board provides oversight for, and establishes the policies of, the agency within the framework of applicable national policies set forth by the President and Congress. In addition, the Board serves as an independent body of advisors to both the President and Congress on broad national policy issues.
- Office of the Inspector General (OIG) The OIG is an independent oversight office
  that reports directly to the NSB and Congress. It is responsible for conducting
  audits, reviews, and investigations of NSF programs, and of organizations and
  individuals that apply for or receive NSF funding. OIG also investigates allegations
  of research misconduct, such as plagiarism, falsification, or fabrication, involving
  researchers who request or receive NSF funding.
- NSF Directorates and Divisions NSF Directorates and Offices oversee Program
  Divisions/Offices that are responsible for the scientific, technical, and
  programmatic review and evaluation of proposals and for recommending that
  proposals be declined or awarded. NSF Division Directors are responsible for
  ensuring the integrity of the merit review and award process within their Divisions.



## FY 2020-2021 Timeline

	FY 2020	FY 2021		
	Q4	Q1	Q2	Q3
Merit Review Digest	Publish FY 2019 Digest	Compile FY 2020 data	Draft FY 2020 Digest	Review and clear draft
Survey of PIs and reviewers	Review and clear draft	Publish survey report	Prepare and plan next survey	
Merit Review website and modules	Launch website	Incrementally publis	h statistical data, reports	, videos



## Questions?