



NATIONAL SCIENCE FOUNDATION
OFFICE OF INSPECTOR GENERAL

Office of Inspector General Update for FY 2021

Committee on Oversight
National Science Board
February 23-24, 2021

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Management Challenges for NSF in FY 2021

Providing Oversight of Major Multi-User Research Facilities

Providing Oversight of Grants During a Pandemic (New)

Managing the Intergovernmental Personnel Act Program

Providing Oversight of the Antarctic Infrastructure Modernization for Science (AIMS) Project

Increasing Diversity in Science & Engineering Education and Employment (New)

Mitigating Threats Posed by Foreign Government Talent Recruitment Programs

COVID-19 pandemic included within each challenge

REMOVED

Meeting Digital Accountability and Transparency Act of 2014 (DATA Act) Reporting Requirements

Encouraging the Responsible and Ethical Conduct of Research

Required Audits and Reviews

These audits have a significant impact on resources of both NSF and OIG.

They are mandated by law — not based on risk.

IOIO
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Audit of NSF's information security program



Assessment of NSF's compliance with Improper Payments Elimination and Recovery Act



Audit of the National Science Board's compliance with the Sunshine Act



Audit of NSF's financial statements



Audit of NSF's compliance with the Digital Accountability & Transparency Act



Review of NSF's enhanced personnel security program

Discretionary Audits and Reviews



Divestment of major facilities

Mid-scale projects

Antarctic Infrastructure Modernization Station (AIMS) construction project



Process for evaluating merit review panelists

Vetting process for NSF rotators serving under the Intergovernmental Personnel Act



Audits of NSF award recipients

NSF's Oversight of EPSCoR awards & incurred costs on EPSCoR awards

NSF's Controls over GRFP funding & reviews of 3 GRFP award recipients' controls



10 COVID-19 related audits

Reviews of the quality of single audits

We will also monitor projects as potential future audits.