Stimulating Innovation:
The American Recovery & Reinvestment Act of 2009

EPSCoR PD/PA Meeting
May 17, 2010
Ask Early, Ask Often

- **Martha Rubenstein**
  - Chief Financial Officer & Director, Office of Budget, Finance & Award Management
  - 703.292.8200
  - mrubenst@nsf.gov

- **Jean Feldman**
  - Head, Policy Office, Division of Institution & Award Support
  - Office of Budget, Finance & Award Management
  - 703.292.4573
  - jfeldman@nsf.gov
## ARRA Funding

(dollars in millions)

<table>
<thead>
<tr>
<th>Account</th>
<th>Total Funding</th>
<th>Funding To-Date</th>
<th>EPSCoR To-Date</th>
</tr>
</thead>
<tbody>
<tr>
<td>R&amp;RA</td>
<td>$2,500</td>
<td>$2,228</td>
<td>$376</td>
</tr>
<tr>
<td>awards</td>
<td></td>
<td>4877</td>
<td>52</td>
</tr>
<tr>
<td>EHR</td>
<td>$100</td>
<td>$100</td>
<td>$26</td>
</tr>
<tr>
<td>awards</td>
<td></td>
<td>97</td>
<td>12</td>
</tr>
<tr>
<td>MREFC</td>
<td>$400</td>
<td>$400</td>
<td>$148</td>
</tr>
<tr>
<td>awards</td>
<td></td>
<td>3</td>
<td>1</td>
</tr>
</tbody>
</table>
Each NSF award that includes ARRA funding requires the recipient to submit quarterly reports to a central Federal website.

**Stage 1 Review:**
- **Phase 1** (Days 1-30)
  - Automated Data Checks
  - Non Reporting Check (Omissions)
  - Significant Error Review

**Stage 1 Review:**
- **Phase 2** (Days 30 – 75)
  - Automated Data Checks
  - Significant Error Review

**Stage 2 Review:**
- **Phase 3** (Days 30-90)
  - Automated/Programmatic Data Checks
  - Major-2/Minor Error Review
  - Federal Financial Review
  - Program Officer Reviews

Results communicated to recipients through FederalReporting.gov and SPO to make corrections.

Results communicated to recipient SPO to make corrections.

Results are communicated to recipient SPO to improve next quarter reporting.
<table>
<thead>
<tr>
<th>Quarter Ending</th>
<th>Recipient Reporting Compliance rate was 98%</th>
<th>Recipient Reporting Compliance rate was 99.7%</th>
<th>Recipient Reporting compliance rate was 99.5%</th>
</tr>
</thead>
<tbody>
<tr>
<td>9/30/2009</td>
<td>• Total of 107 out of 4,502 did not report</td>
<td>• Total of 14 out of 4,535 did not report</td>
<td>• Total of 25 out of 4,626 did not report</td>
</tr>
<tr>
<td></td>
<td>No uncorrected significant errors and 100% accuracy rate</td>
<td>One uncorrected significant error and 99.9% accuracy rate</td>
<td>Only 2 two-time non-reporters</td>
</tr>
<tr>
<td></td>
<td>Responded to six data calls from OMB and Recovery Board</td>
<td>Responded to nine data calls from OMB and Recovery Board</td>
<td>Significant error and data call info. will be available later in the quarter</td>
</tr>
<tr>
<td></td>
<td>2,491.51 jobs</td>
<td>2,913.87 jobs</td>
<td>3,628.93 jobs</td>
</tr>
</tbody>
</table>
Important Guidance Changes

M-10-14 dated 03/22/10, “Updated Guidance on the American Recovery and Reinvestment Act”

- Highlights steps agencies must take to review data quality of recipient during new “continuous corrections” period;
- Introduced new category of data quality issue: Administrative/Technical;
- Provides guidance on tagging a report as final.
M-10-17 dated 05/04/10, “Holding Recipients Accountable for Reporting Compliance under the American Recovery and Reinvestment Act”

- Instructs Federal agencies to contact new recipients prior to the beginning of each reporting period to notify them of their reporting obligations.
- Directs Federal agencies to contact recipients who in prior quarters have not reported when required and pursue consistent and comprehensive follow-up to achieve reporting.
- Mandates use of available tools to actively monitor recipients during the reporting period and requires outreach to recipients that have not reported prior to the close of the reporting period.
- Requires Federal agencies to obtain recipient compliance with their reporting responsibilities or pursue sanctions and remedies.
- Requires Federal agencies to report non-compliant recipients to OMB within five days of the quarterly close.
Crosswalk of data elements provided by OMB
Assists NSF in reviewing reports
Research.gov – Research Spending & Results
New NSF Reporting Instructions, dated May 4, 2010

Changes include:

- **Award Number Data Field** to emphasize the importance of this entry as it is used by FederalReporting.gov to forward the report to the appropriate agency;

- **Final Report Data Field** to incorporate supplemental guidance issued by OMB regarding when a report should be flagged as “Final” (see OMB Memorandum M-10-14 dated 03/22/10);

- **Award Date Data Field** to clearly articulate that this is the field used by NSF to determine when the initial quarterly submission is due;

- **Quarterly Activities/Project Description** to clarify the instructions for recipients; and

- **Number of Jobs Created/Retained Data Field** for consistency with guidance issued by OMB (see OMB Memorandum M-10-08, dated 12/18/09).
ARRA Recipient Reporting

Completing Reporting Requirements Using Information From Research.gov

Many of the data elements for your award that are required in the American Recovery and Reinvestment Act (ARRA) Recipient Reports can be found on Research.gov, using the Research Spending and Results Service.

Find Your Award

The Research Spending and Results service can be found at www.research.gov. From the main page of Research.gov, click the Research Spending and Results link to navigate to the search page.

Research.gov

Research Spending and Results Search

Type in your Award ID Number into the Awardee or Award Information box and click Search.

Get Your Information

Information you will need to complete your ARRA Recipient Report will be available in the Research Spending and Results Detail page for your award. The numbers listed below correspond to data elements listed in the Award Spending and Results Detail page.
For More Information....

Ask Early, Ask Often

www.nsf.gov/staff

www.nsf.gov/staff/orglist.jsp