

NSF Policy Update EPSCoR Meeting May 18, 2015



National Science Foundation
WHERE DISCOVERIES BEGIN

Speaker

Jean Feldman

Head, Policy Office

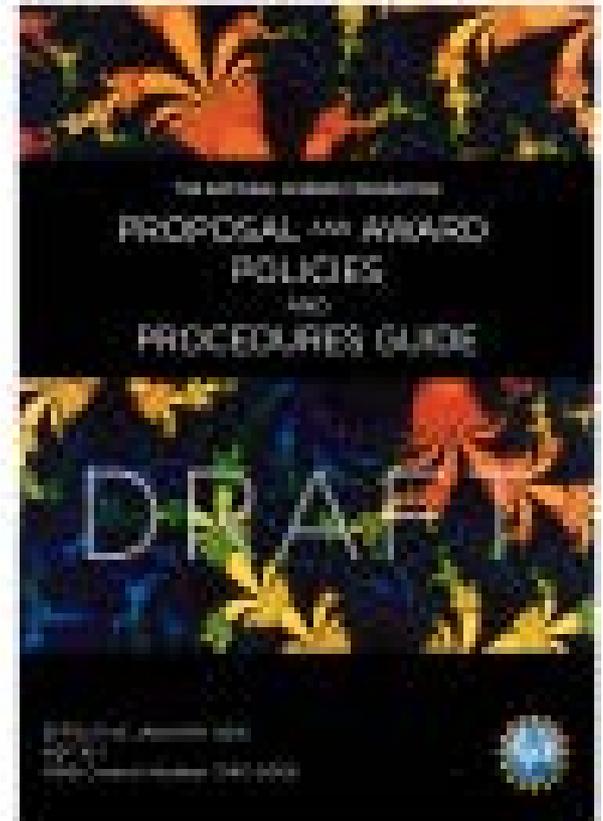
Division of Institution & Award Support

Office of Budget, Finance & Award Management

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PAPPG – Federal Register Notice

- Public comment period for proposed changes
- Timeline
 - May 19, 2015 – Federal Register
 - October 2015 – Published
 - January 2016 – Effective



Significant Changes Proposed

- Public Access Implementation
- AOR will now certify proposal upon submission of the proposal
 - NSF is removing the ability for post submission certifications
- 5 p.m. submitter's local time is time standard for **all submissions**, including LOIs, preliminary proposals, and full proposals

Significant Changes Proposed (Cont'd)

- Collaborator and Other Affiliation Information removed from Biographical Sketch to a single copy document
- Greater clarity has been inserted on providing the Project Summary as supplementary document
- Biosketches and Current and Pending Support may no longer be uploaded as single PDF associated with PI

Significant Changes Proposed (Cont'd)

- Greater clarity has been provided regarding the type of information necessary regarding vertebrate animal use
- NSF's implementation of Dual Use of Research of Concern is incorporated into both GPG and AAG
- AOR certification will now be required for submission of all notifications & requests

Automated Compliance Checking Unsolicited Proposals

Auto-compliance checks for unsolicited proposals – January 26, 2015:

- **Enforce page count rules for the following proposal sections:**
 - Project Description
 - Budget Justification
 - Mentoring Plan
 - Data Management Plan
- **Budget Checks: implement duration and requested amount compliance checks**
 - Example: maximum requested amount cannot exceed \$200,000 for a RAPID proposal
- **Enforce proposal sections depending on the funding mechanism type**
 - Example: do not enforce References Cited for a Conference proposal

Automated Compliance Checking Solicited Proposals

Auto-compliance checks for required sections in solicited (e.g., proposal submitted in response to solicitations) effective April 24, 2015:

- **Error message if the following sections are not included**
 - Project Summary
 - Project Description
 - Budget
 - Data Management Plan
 - Mentoring Plan (if applicable)
- **Warning message if any of the following sections are not included**
 - References Cited
 - Biographical Sketch(es)
 - Budget Justification
 - Current and Pending Support
 - Facilities, Equipment and Other Resources

Research.gov: Notifications & Requests

- Three new requests included in the Uniform Guidance must be submitted in Research.gov
 - Salaries of Administrative or Clerical Staff
 - Travel Costs for Dependents
 - Additional categories of participant support costs other than those described in 2 CFR § 200.75 (such as incentives, gifts, souvenirs, t-shirts and/or memorabilia)
- Next round of requests moving to Research.gov will take place in July 2015
- Awardees can view the status of all N&Rs in both FastLane and Research.gov

Notifications & Requests Iowa State Un

1 Prepare New

Items Needing Action

Showing 1 to 25 of 26 « Prev **1** 2 Next>

Award Number	Award Date	Prepared by	Notification / Request Type	Status	Last Action Date	Actions
0911708	08/15/2009	Wiersema, Janice	Salaries of Administrative or Clerical Staff	Forwarded to SPO	03/11/2015	Edit Submit
1461841	09/01/2014	Neese, Barbara	Subawarding, Transferring or Contracting Out Part of an NSF Award	Work in Progress	12/02/2014	Go to FastLane

Features:

- “Prepare New” or view N&Rs needing action
- View all N&Rs whether created in Research.gov or FastLane
- Click the “Go to FastLane” to view N&Rs created in FastLane without signing in again
- N&Rs organized by type
- User will be taken to FastLane if they select a N&R that is not yet available in Research.gov

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Prepare New Notification / Request

[← Back to Notifications & Requests](#)

Notifications & Requests is in the process of moving from FastLane to Research.gov. Currently, 3 types of budget modification

Prepare New

Step 1: Select type of change

- Budget Activities
- No-Cost Extensions
- Changes in Objectives, Scope, or Methodology and other Significant Changes
- Changes in PI/PD and co-PI/PD
- Other

Step 2: Select notification / request

Go

- Change in Person-Months Devoted to Project
- Pre-award Costs in Excess of 90 Days
- Reallocation of Funds Provided for Participant Support Costs
- Rearrangements/Alterations in excess of \$25,000 (Construction)
- Salaries of Administrative or Clerical Staff
- Travel Costs for Dependents
- Additional categories of participant support costs other than those described in 2 CFR § 200.75

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Frequently Asked Questions Uniform Guidance-related

Visit the Policy Office website for FAQs on NSF implementation of the Uniform Guidance

Office of Budget, Finance and Award Management (BFA)

Policy Office

HEAD: Jean Feldman

The Policy Office in the [Division of Institution and Award Support](#) is responsible for developing, implementing and issuing proposal and award policy for the programs of the National Science Foundation and is available to assist you with questions involving policy related issues. Questions related to specific awards should be directed to the [Division of Grants and Agreements](#).

Grants & Cooperative Agreements:

- [Proposal & Award Policies & Procedures Guide \(PAPPG\)](#), effective December 26, 2014 - the PAPPG is NSF's implementation of 2 CFR § 200, *Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards* (Uniform Guidance)
- **NEW** [Frequently Asked Questions on NSF's Implementation of 2 CFR § 200](#)
 - For guidance on proposals submitted or due, and awards made before December 26, 2014, continue to reference the [PAPPG dated February 2014](#)
- [Policy & Guidance](#)

[nsf.gov/bfa/dias/policy/](https://www.nsf.gov/bfa/dias/policy/)

Administrative Burden

Update on NSF Response

- Reducing Investigators' Administrative Workload for Federally Funded Research (March 2014)
- Ongoing engagement with NSF Directorates for pilot activities
- Continued engagement with federal-wide initiatives to reduce administrative workload

Opportunity in NSF Policy Office

- Join the NSF team for a one- to two-year stint as a Policy Specialist working on proposal and award policies and procedures.
- Bring your institutional expertise to NSF and leave with an inside understanding of NSF policies.
- Announcement of Opportunity – Spring 2015

For More Information.....

Ask Early, Ask Often!

policy@nsf.gov