



RII Annual and Final Reports

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Impressions of a Newcomer

Analysis of Annual Reports of FY2008

- There are no perfect RII AR submitted
- Some AR are very large (over 400 pages)
- There are no small AR
- There are AR that have very small amount of useful info or data
- There are common problems/issues in AR and
- There are individual problems/issues in AR
- Apparently, there were no uniform guidelines for AR
- There is an ongoing discussion regarding reporting

Formulating Goals and Objectives

- **Simplicity:**
 - Easier for you to prepare Annual Report
 - Easier for NSF to read and evaluate ARs and process increments
- ARs should be an **effective communication vehicle** between EPSCoR jurisdictions and NSF (rather than a pure formality)
- **Structure** of annual reports should reflect
 - Structure of the RII program (based on the corresponding solicitation) and
 - Programmatic Terms and Conditions of RII award
- ARs provide qualitative and quantitative **data and clear metrics** for measurement of success

How do we get there?

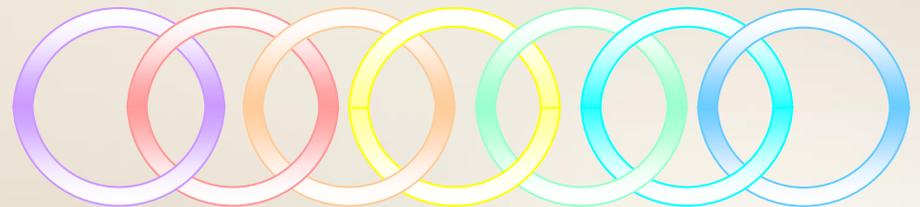
- Establish a dialog between regional EPSCoR offices and NSF EPSCoR PO regarding AR
- Decide together what info is important
- What is the best way to collect it

Current Status

- The draft of guidelines is being finalized
- Will be discussed this afternoon
- Will be sent to a group of PD/PA for comments and input
- Once agreed upon and finalized, will be sent to all PD/PA to use as a guide for preparing AR

Processing RII Award Increment

- Generate a new action number (is tight to your original award number, but is a separate action)
- Program Officer reads the AR and prepares a Diary Note and a funding recommendation
- HB (office head) reviews the Diary Note and agrees/disagrees with the recommendation
- PO approves AR and signs off recommendation
- Program Assistant processes the AWARD
- The action is forwarded to DGA
- The award letter and the funds are released, PO and PI are notified



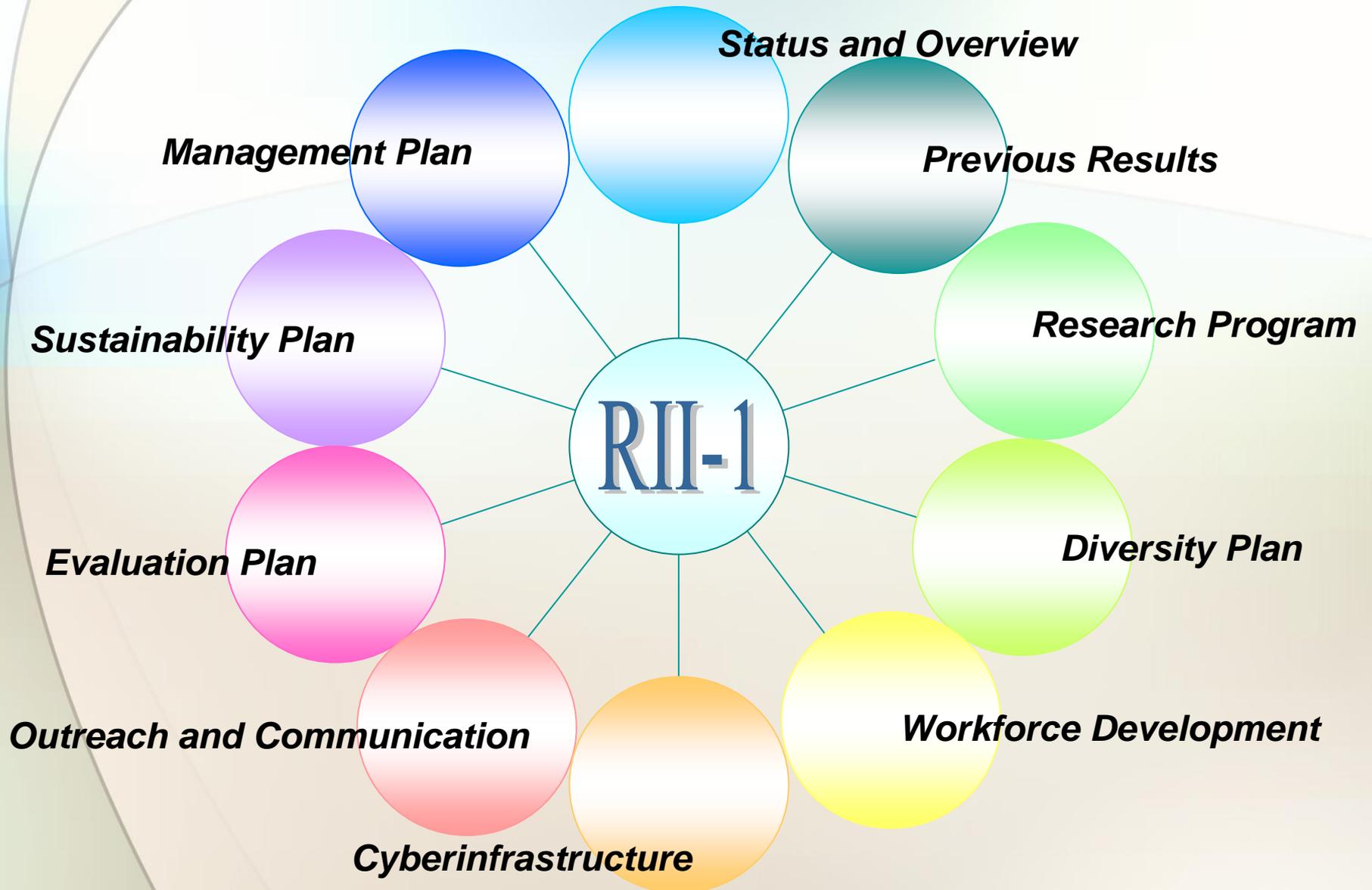
Few Important New Features

- One PDF file rather than filling out boxes in the NSF FastLane Template!
- Executive Summary
- Templates for Data
- Statement of Unobligated Funds
- Programmatic Terms and Conditions
- Highlights

Executive Summary

- Maximum **5 pages** in length
- State the **vision** of the RII and describe how the project is organized into **research areas**
- Provide a **brief overview** of RII program (the diversity effort, cyberinfrastructure, outreach and communications, evaluation and assessment, and sustainability, and the RII project management)
- Then, provide an overview of the past year's **Key Accomplishments**, addressing the NSF criteria on intellectual merit and broader impacts in distinct paragraphs
- Finally, comment on the **response to recommendations** of a recent site visit, reversed site visit, or advisory committee visit; any long-range plans, change in priorities, or other unusual circumstances that are pertinent for the continuations of the award

Project Description



Highlights

- Provide one or two science highlights
- Provide one or two education related highlights
- Provide one to two other highlights on activities you would like to emphasize;

❖ **NOTE: The annual report will not be approved until the highlights are received at NSF**

❖ **What do we do with them?**

- ❖ Promote your individual program
- ❖ Promote the NSF EPSCoR program
- ❖ Use it for our own reporting within NSF and beyond
- ❖ Use it for budget requests to Congress
- ❖ Other purposes in communicating Science at large

Appendixes

Please fill out as precisely as possible. Use your best judgment

- TEMPLATE: FACULTY SUPPORT
- TEMPLATE: RII PARTICIPANTS
- TEMPLATE: EDUCATION OUTREACH
- TEMPLATE: COLLABORATIONS
- TEMPLATE: OUTCOMES
- TEMPLATE: EPSCoR RII BUDGET
- TEMPLATE: EPSCoR RII LEVERAGED SUPPORT
- TEMPLATE: COST SHARING and COST CONTRIBUTIONS

TEMPLATE: RII PARTICIPANTS

<i>Designation</i>	<i>Total</i>	<i>Female</i>	<i>Underrepresented minority</i>	<i>Disabled</i>
<i>Faculty participant Affiliation</i>				
Institution 1 (name)				
<i>Faculty participants (or equivalent) salaried</i>				
<i>Postdocs</i>				
<i>Graduate Students</i>				
<i>Undergraduate Students</i>				
<i>Technical Support Staff</i>				
<i>Nontechnical Support Staff</i>				
Repeat for all RII Institutions				
<i>RII Advisory Board</i>				
<i>RII Leadership Team</i>				

TEMPLATE: OUTCOMES

<i>Designation (all data refers to the last award period)</i>	<i>Total Number Current Year</i>	<i>Cumulative Totals for this Award</i>	<i>Total Amount in \$1000</i>
Patents			
Awarded			
Pending			
Licensed			
Proposals/ Grants/ Contracts			
Submitted (include \$\$ requested)			
Awarded (include \$\$ awarded)			
Pending			
Publications			
Primary RII Support			
Partial RII Support			
New Faculty Hired			
Female			
Underrepresented minority			
Disabled			
Center Graduates			
<i>Postdocs left total</i>			
Female			
Underrepresented minority			
<i>PhDs graduated total</i>			
Female			
Underrepresented minority			
<i>Undergraduate graduated total</i>			
Female			
Underrepresented minority			

Cooperative Agreement is Partnership

Partnership is a two way street

- **You (state)** set your goals
 - **NSF** helps you to get there
- **You** assess what you do and how you do it
 - **NSF** evaluates your individual program within NSF EPSCoR program as a whole
- ❖ **Plenty of Flexibility in Cooperative Agreements**
- ❖ **Major Opportunities for Leadership and Innovations**

Thank you