



NATIONAL SCIENCE FOUNDATION  
OFFICE OF INSPECTOR GENERAL  
OFFICE OF INVESTIGATIONS

## CLOSEOUT MEMORANDUM

Case Number: I-09-02-0006

Page 1 of 1

We received information from a university<sup>1</sup> that a former employee<sup>2</sup> (subject) misused institutional purchase cards that were tied to federal awards – including an NSF award.<sup>3</sup>

Our investigation substantiated that the subject made personal purchases using the university purchase cards and then charged those purchases to federal awards. The matter was referred to the Maricopa County Attorney's office. On March 25, 2010, subject pled guilty to felony theft charges. (Attachment 1)

On April 28, 2010, subject was sentenced to 3 years probation and ordered to pay \$75,000<sup>4</sup> in restitution. (Attachment 2)

The university reimbursed NSF<sup>5</sup> and instituted new policies and procedures to insure proper oversight of NSF funds in the future.

We recommended that NSF debar the former employee for a period of three years. On February 22, 2012, NSF debarred the subject until February 10, 2015. (See Attachment 3)

Accordingly, this investigation is closed.

1

2 Charlotte O'Shea Doellman

3

4 This figure represents the total monetary harm Subject caused to the university.

5 \$51,688 which included \$17,114 in indirect charges



SUPERIOR COURT OF ARIZONA  
MARICOPA COUNTY

CR2009-007630-001 DT

03/25/2010

COMMISSIONER PHEMONIA L. MILLER

CLERK OF THE COURT  
J. Kosaka  
Deputy

STATE OF ARIZONA

ERIC YUVA

v.

CHARLOTTE HELEN OSHEA (001)

MELISSA M ZABOR

APO-PLEAS-CCC  
JUDGE FLORES  
VICTIM SERVICES DIV-CA-CCC

PLEA AGREEMENT/CHANGE OF PLEA

8:48 a.m.

Courtroom CCB802

State's Attorney:	Kristen Stewart
Defendant's Attorney:	Melissa Zabor
Defendant:	Present

A record of the proceedings is made by audio and/or videotape in lieu of a court reporter.

Defendant was present for the group advisement given on the record at 8:40 a.m. this date in CCB802.

The Court reviews the Plea Agreement with Defendant. The Court advises Defendant of the range of possible sentence and the availability of probation, and any special conditions of sentencing and probation. The Court advises Defendant of all pertinent constitutional rights and rights of review.

SUPERIOR COURT OF ARIZONA  
MARICOPA COUNTY

CR2009-007630-001 DT

03/25/2010

Defendant enters a plea of Guilty to the following:

OFFENSE: Count 1 (as amended): Theft  
Class 5 Felony

A.R.S. § 13-1801, 1802, 701, 702, 702.01 and 801

Date of Offense: On or between June 27, 2002 and November 19, 2007

Non Dangerous - Non Repetitive

IT IS ORDERED accepting the plea.

IT IS ORDERED setting time for sentencing on **04/28/2010 at 8:30 a.m.** before Judge Flores.

IT IS FURTHER ORDERED that the following will be deemed submitted at the time of sentencing: Motion To Dismiss Count 2. Allegation of multiple offenses as reflected in the Plea Agreement.

IT IS ORDERED the Adult Probation Department shall prepare a Presentence Report, and that Defendant shall report to the Adult Probation Department today.

IT IS ORDERED vacating any pending dates.

IT IS FURTHER ORDERED affirming prior release orders.

8:54 a.m. Matter concludes.

SUPERIOR COURT OF ARIZONA  
MARICOPA COUNTY

CR2009-007630-001 DT

04/28/2010

HONORABLE LISA DANIEL FLORES

CLERK OF THE COURT  
J. Carlson  
Deputy

STATE OF ARIZONA

ERIC YUVA

v.

CHARLOTTE HELEN OSHEA (001)  


MELISSA M ZABOR

APO-SENTENCINGS-CCC  
APPEALS-CCC  
DISPOSITION CLERK-CSC  
FINANCIAL SERVICES-CCC  
RFR  
VICTIM SERVICES DIV-CA-CCC

SUSPENSION OF SENTENCE - PROBATION GRANTED

8:43 a.m. This is the time set for Sentencing.

State's Attorney: Vic Cook for Eric Yuva  
Defendant's Attorney: Melissa M. Zabor  
Defendant: Present  
Court Reporter: Luz Franco

Jodi Preudhomme makes statements to the Court on behalf of the victim.

Count(s) 1: WAIVER OF TRIAL: The Defendant knowingly, intelligently and voluntarily waived all pertinent constitutional and appellate rights and entered a plea of guilty.

IT IS THE JUDGMENT of the Court Defendant is guilty of the following:

OFFENSE: Count 1 (as amended) Theft

SUPERIOR COURT OF ARIZONA  
MARICOPA COUNTY

CR2009-007630-001 DT

04/28/2010

Class 5 Felony

A.R.S. § 13-1801, 1802, 701, 702, 702.01 and 801

Date of Offense: committed on or between June 27, 2002 and November 19, 2007

Non Dangerous - Non Repetitive

IT IS ORDERED suspending imposition of sentence and, under the supervision of the Adult Probation Department (APD), placing the defendant on probation for:

Count 1 Probation Term: 3 years

To begin April 28, 2010.

Conditions of probation include the following:

Condition 16 - Restitution, Fines, and Fees:

PROBATION SERVICE FEE: Count 1 - \$65.00 per month, beginning July 1, 2010.

RESTITUTION: Count 1 - \$75,000.00 payable \$500.00 per month, beginning July 1, 2010, to the following persons:

 (Business) \$75,000.00

Restitution ledger provided; priority of payment as stated in the restitution ledger.

ASSESSMENTS:

Count 1: PROBATION SURCHARGE: \$10.00.

Count 1: Time payment fee pursuant to A.R.S. § 12-116 in the amount of \$20.00.

All amounts payable through the Clerk of the Superior Court.

Condition 18 - Not have any contact with the victim(s) whatsoever, unless approved in writing by the Adult Probation Department.

Condition 25 - Abide by the Special Conditions of Probation as noted on the attachment to the Uniform Conditions of Supervised Probation.

Condition 26 - Other: Notify present and future employers, in writing, of the nature of this offense.

SUPERIOR COURT OF ARIZONA  
MARICOPA COUNTY

CR2009-007630-001 DT

04/28/2010

IT IS ORDERED granting the Motion To Dismiss the following: Count 2 and allegation of multiple offenses.

IT IS ORDERED exonerating any bond previously posted in this matter to the party posting same.

IT IS FURTHER ORDERED that Defendant must submit to DNA testing for law enforcement identification purposes and pay the applicable fee for the cost of that testing in accordance with A.R.S. § 13-610.

8:59 a.m. Matter concludes.

This case is eFiling eligible: <http://www.clerkofcourt.maricopa.gov/efiling/default.asp>

SUPERIOR COURT OF ARIZONA  
MARICOPA COUNTY

CR2009-007630-001 DT

04/28/2010

Defendant's right index fingerprint is permanently affixed to this sentencing order in open court.

/s/ HONORABLE LISA DANIEL FLORES  
JUDGE OF THE SUPERIOR COURT

(right index fingerprint)

NATIONAL SCIENCE FOUNDATION  
4201 WILSON BOULEVARD  
ARLINGTON, VIRGINIA 22230



OFFICE OF THE  
DIRECTOR

FEB 22 2012

**VIA CERTIFIED MAIL/RETURN RECEIPT REQUESTED**

Ms. Charlotte O'Shea Doellman  
[REDACTED]

*Re: Notice of Debarment*

Dear Ms. Doellman:

On November 15, 2011, the National Science Foundation ("NSF") issued to you a Notice of Proposed Debarment ("Notice"), in which NSF proposed to debar you from directly or indirectly obtaining the benefits of Federal grants for a period of three years. As reflected in the Notice, NSF proposed your debarment because you pleaded guilty to a charge of felony theft in connection with your previous employment at [REDACTED]. Specifically, you misappropriated Federal grant funds for your personal use. In that Notice, NSF provided you with thirty days to respond to the proposed debarment.

Over thirty days have elapsed and NSF has not received a response. Accordingly, you are debarred until February 10, 2015. Debarment precludes you from receiving Federal financial and non-financial assistance and benefits under non-procurement Federal programs and activities unless an agency head or authorized designee makes a determination to grant an exception in accordance with 2 CFR 180.135. Non-procurement transactions include grants, cooperative agreements, scholarships, fellowships, contracts of assistance, loans, loan guarantees, subsidies, insurance, payments for specified use, and donation agreements.

In addition, you are prohibited from receiving Federal contracts or approved subcontracts under the Federal Acquisition Regulations at 48 CFR Subpart 9.4 for the period of this debarment. 2 CFR 180.925. During the debarment period, you may not have supervisory responsibility, primary management, substantive control over, or critical influence on, a grant, contract, or cooperative agreement with any agency of the Executive Branch of the Federal Government.

Should you have any questions regarding the foregoing, please contact [REDACTED] Assistant  
General Counsel, at [REDACTED]

Sincerely,



Wanda Ward  
Senior Advisor to the Director