



NATIONAL SCIENCE FOUNDATION
OFFICE OF INSPECTOR GENERAL
OFFICE OF INVESTIGATIONS

CLOSEOUT MEMORANDUM

Case Number: I120200008

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This investigation stemmed from a Proactive review of SBIR awards¹ to companies that may not have adequate research facilities. The Subject Company² received SBIR Phase I, Phase II, and Phase IIB³ awards from the National Science Foundation (NSF). The initial NSF Office of Inspector General's (OIG) review revealed the Subject Company may have falsely described its office and laboratory space. The review also revealed a discrepancy between the general ledger for the Phase I award and the amount drawn out of the award.

The PI⁴ and the two other principals⁵ of the Subject Company were interviewed. Through interviews and the production of supporting documentation, the Subject Company was able to dismiss NSF OIG's concerns about its facilities, and adequately explain the discrepancy between the general ledger for the Phase I award and the amount drawn out of the award.

Accordingly, this case is closed with no further action taken.

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[Redacted content]