



NATIONAL SCIENCE FOUNDATION
OFFICE OF INSPECTOR GENERAL
OFFICE OF INVESTIGATIONS

CLOSEOUT MEMORANDUM

Case Number: I12100078

Page 1 of 1

Small businesses certify in their proposals for SBIR Phase I or II awards that they have adequate facilities to conduct the research they propose. This investigation was opened from a proactive review¹ to determine the adequacy of the facilities that a company² represented in its proposals.³ In this case, it appeared the company did not have the facilities as described in the proposals. Also, the PI⁴ on two of the awards⁵ appeared to be a full time employee of other institutions while she was the PI on those awards. We verified the research was being conducted by the company in leased incubator research space. We also determined the PI was not the same person listed as staff in the other institutions during the award period.

This case is closed with no further action taken.

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