



NATIONAL SCIENCE FOUNDATION
OFFICE OF INSPECTOR GENERAL
OFFICE OF INVESTIGATIONS

CLOSEOUT MEMORANDUM

Case Number: I12110080

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We opened this case to investigate allegations that a university¹ provided potentially fabricated time and effort reports to Federal auditors. Our investigation determined that, in preparation for a Federal audit, and subsequently in response to preliminary audit findings, a university employee² fabricated time and effort reports, or caused others to fabricate time and effort reports, and provided them to the auditors.

We referred the matter to the U.S. Attorney's Office, and it was accepted for criminal prosecution and civil action. The employee pled guilty to one count of 18 U.S.C. § 1018, Official Certificates or Writings, and was sentenced.

The submission of fabricated documents and undocumented salary costs constituted violations of the civil False Claims Act. As a result, the U.S. Attorney's Office and the university executed a settlement agreement, in which the university agreed to pay over \$1 million in restitution and implement a five-year compliance program.

Accordingly, this case is closed.

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