



NATIONAL SCIENCE FOUNDATION
OFFICE OF INSPECTOR GENERAL
OFFICE OF INVESTIGATIONS

CLOSEOUT MEMORANDUM

Case Number: I-13010007

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We opened an investigation on two companies¹ with SBIR awards² pursuant to a proactive review of SBIR awards to companies with minimal independent research and office facilities.³ In this case some company personnel also had full-time faculty or student positions at a university,⁴ and one of the companies comprised a joint venture with the U.S. affiliate of a foreign company.⁵

We subpoenaed information from the companies and the university. We found that the companies were legitimate small businesses with appropriate facilities and U.S. ownership; company relationships with the university, including subawards, were proper; and all company personnel had appropriately disclosed their relationships with the companies to the university. We reviewed company expenditures and found no basis for concern. The investigation revealed that the allegations were unsubstantiated.

Accordingly, this case is closed with no further action taken.

