



NATIONAL SCIENCE FOUNDATION
OFFICE OF INSPECTOR GENERAL
OFFICE OF INVESTIGATIONS

CLOSEOUT MEMORANDUM

Case Number: I-14020004

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We received an allegation that a company (Company A)¹ with a pending SBIR Phase II proposal² had potential financial issues, based on a financial capability review for the proposal and examination of previous NSF and other federal³ awards to a closely-related company (Company B)⁴ involving the same personnel.⁵

Information gathered in the course of a separate, recently-closed investigation⁶ of Company B indicated that the co-PI on the pending Company A proposal⁷ was legitimately employed by both Companies A and B (as company president), and that Company B had carried out research in the proper facilities and spent the funds as laid out in the proposals. We concluded that these findings about the co-PI and Company B answered the concerns raised about the pending proposal and Company A. There are no unresolved issues.

Accordingly, this case is closed.

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