

NATIONAL SCIENCE FOUNDATION
4201 WILSON BOULEVARD
ARLINGTON, VIRGINIA 22230



OFFICE OF
INSPECTOR GENERAL

MEMORANDUM

Date: March 25, 1997

To: I96040018

From: [REDACTED] Special Agent [REDACTED]

Via: [REDACTED], Special Agent-in-Charge

Re: Resolution of Case

In March 1996, our office received an allegation from an NSF Program Manager that a Small Business Innovation Research (SBIR) applicant, [REDACTED] was listing in their 1995 NSF SBIR proposal [REDACTED] a Principal Investigator (PI), [REDACTED], who was not primarily employed by [REDACTED].

Subsequent investigation determined that [REDACTED] was not listed as the PI in NSF proposal 9561854. In addition, neither [REDACTED] nor [REDACTED] ever received funding from NSF and have not applied for NSF funding since NSF proposal [REDACTED] was submitted. However, since [REDACTED] did receive at least two SBIR Phase I grants from the U.S. Army and U.S. Navy which list [REDACTED] as the PI, we referred this matter to Defense Criminal Investigative Service (DCIS) Special Agent [REDACTED].

Since [REDACTED] and [REDACTED] have not continued to apply for NSF funding and S/A [REDACTED] has kept us informed of DCIS' investigation of the allegation, further use of NSF resources to investigate the allegation is not warranted at this time. This case is closed.