Office of Budget, Finance, and Award Management

Updates to the Business & Operations Advisory Committee

Marty Rubenstein
NSF CFO and BFA Director
November 16, 2010
Fall 2010 Topics

- Strategic Plan Update
- 2011 Budget Update
- American Recovery and Reinvestment Act
- FY 2010 Audit Results
NSF Strategic Plan

- Submitted to OMB on June 2

- OMB requested revisions - re: more information on specific actions and targets

- Completion is now expected with release of FY2012 Budget to Congress (February)
## 2011 Budget Update

### FY 2011 Appropriations Marks, By Account

### (Dollars in Millions)

<table>
<thead>
<tr>
<th>Account</th>
<th>FY 2011 Request</th>
<th>House Marks</th>
<th>Senate Marks</th>
<th>House Change Over FY 2011 Request</th>
<th>Senate Change Over FY 2011 Request</th>
</tr>
</thead>
<tbody>
<tr>
<td>Research and Related Activities (R&amp;RA)</td>
<td>$6,019</td>
<td>$5,961</td>
<td>$5,967</td>
<td>-$58 -1%</td>
<td>-$52 -1%</td>
</tr>
<tr>
<td>Major Research Equipment and Facilities Construction (MREFC)</td>
<td>165</td>
<td>165</td>
<td>155</td>
<td>0 0%</td>
<td>-10 -6%</td>
</tr>
<tr>
<td>Education and Human Resources (EHR)</td>
<td>892</td>
<td>958</td>
<td>892</td>
<td>66 7%</td>
<td>0 0%</td>
</tr>
<tr>
<td>Agency Operations and Award Management (AOAM)</td>
<td>329</td>
<td>321</td>
<td>319</td>
<td>-8 -3%</td>
<td>-10 -3%</td>
</tr>
<tr>
<td>National Science Board (NSB)</td>
<td>5</td>
<td>5</td>
<td>5</td>
<td>0 0%</td>
<td>0 0%</td>
</tr>
<tr>
<td>Office of Inspector General (OIG)</td>
<td>14</td>
<td>14</td>
<td>15</td>
<td>0 0%</td>
<td>1 4%</td>
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<tr>
<td>Total, NSF</td>
<td>$7,424</td>
<td>$7,424</td>
<td>$7,353</td>
<td>$0 0%</td>
<td>-$71 -1%</td>
</tr>
</tbody>
</table>
2011 Budget

- Operating under Continuing Resolution through December 3

- Uncertainty with remainder of FY2011
Quarter ending 9/30/10: NSF’s reporting rate was 99.6% (21 non-reporters out of 4,802 recipients)

Increased focus from OMB regarding addressing non-compliance in recipient reporting

NSF will tighten sanctions for non-reporters
FY 2010 Audit Results

- 13th Unqualified (Clean) Opinion
- Repeat Significant Deficiency on Contract Monitoring of Cost Reimbursement Contracts
FY 2010 Audit Results (cont.)

- Mgmt. generally agrees with significant deficiency and recommendations; however, work remains to resolve disagreement on contingencies in DCAA Report

- **Next Steps:**
  - Develop Corrective Action Plan
  - Management Letter Report