OBSIP Management Office (OMO)

PROGRAM SOLICITATION
NSF 09-613

National Science Foundation
Directorate for Geosciences
Division of Ocean Sciences

Full Proposal Deadline(s) (due by 5 p.m. proposer's local time):
January 04, 2010

IMPORTANT INFORMATION AND REVISION NOTES

Please be advised that the NSF Proposal & Award Policies & Procedures Guide (PAPPG) includes revised guidelines to implement the mentoring provisions of the America COMPETES Act (ACA) (Pub. L. No. 110-69, Aug. 9, 2007.) As specified in the ACA, each proposal that requests funding to support postdoctoral researchers must include a description of the mentoring activities that will be provided for such individuals. Proposals that do not comply with this requirement will be returned without review (see the PAPPG Guide Part I: Grant Proposal Guide Chapter II for further information about the implementation of this new requirement).

SUMMARY OF PROGRAM REQUIREMENTS

General Information

Program Title:
Ocean Bottom Seismic Instrument Pools (OBSIP) Management Office

Synopsis of Program:
SUMMARY OF PROGRAM REQUIREMENTS
The academic community is addressing science questions as described, for example, in the 1996 Future of Marine Geology and Geophysics (FUMAGES) report, that require short- and long-term deployments of large numbers of ocean-bottom seismometers and/or ocean-bottom hydrophones. In addition to supporting research funded through the NSF Division of Ocean Sciences (OCE) Marine Geology & Geophysics Core Program, there is increasing use of ocean bottom seismometers by the EarthScope, Continental Dynamics, Ocean Drilling Program, Ridge2000, and MARGINS Programs. To provide the large number of instruments needed to support these programs, maintain the necessary technical capability, and provide access to Ocean-Bottom Seismic Instruments for a broad user community, the Ocean-Bottom Seismic Instrument Pools (OBSIP) were established in 1999. In light of the continuing demand for ocean bottom seismometers, the Marine Geology and Geophysics Program of OCE invites proposals to establish a Management Office for OBSIP.

Cognizant Program Officer(s):
• Richard Carlson, Program Director, telephone: (703) 292-8581, email: rcarlson@nsf.gov
• Rodey Batiza, Program Director, telephone: (703) 292-8581, email: rbatiza@nsf.gov

Applicable Catalog of Federal Domestic Assistance (CFDA) Number(s):
• 47.050 --- Geosciences

Award Information

Anticipated Type of Award: Cooperative Agreement

Estimated Number of Awards: 1
Cooperative Agreement award will be made every five years.

Anticipated Funding Amount: $3,600,000 annually. It is anticipated that approximately $200,000 per year will be provided for management activities and approximately $3,400,000 per year will be provided for subawards to Institutional Instrument Contributors (IICs). The program budget, number of awards and average award size/duration are subject to demand for Ocean-Bottom Seismometer (OBS) use and the availability of funds.
Eligibility Information

Organization Limit:
Proposals may only be submitted by the following:
- For-profit organizations: U.S. commercial organizations, especially small businesses with strong capabilities in scientific or engineering research or education.
- Non-profit, non-academic organizations: Independent museums, observatories, research labs, professional societies and similar organizations in the U.S. associated with educational or research activities.
- Universities and Colleges - Universities and two- and four-year colleges (including community colleges) accredited in, and having a campus located in the US, acting on behalf of their faculty members. Such organizations also are referred to as academic institutions.

PI Limit:
None Specified

Limit on Number of Proposals per Organization:
None Specified

Limit on Number of Proposals per PI:
None Specified

Proposal Preparation and Submission Instructions

A. Proposal Preparation Instructions
- Letters of Intent: Not Applicable
- Preliminary Proposal Submission: Not Applicable
- Full Proposal Preparation Instructions: This solicitation contains information that supplements the standard NSF Proposal and Award Policies and Procedures Guide, Part I: Grant Proposal Guide (GPG) proposal preparation guidelines. Please see the full text of this solicitation for further information

B. Budgetary Information
- Cost Sharing Requirements: Cost Sharing is not required under this solicitation.
- Indirect Cost (F&A) Limitations: Not Applicable
- Other Budgetary Limitations: Not Applicable

C. Due Dates
- Full Proposal Deadline(s) (due by 5 p.m. proposer's local time):
  January 04, 2010

Proposal Review Information Criteria

Merit Review Criteria: National Science Board approved criteria. Additional merit review considerations apply. Please see the full text of this solicitation for further information.

Award Administration Information

Award Conditions: Additional award conditions apply. Please see the full text of this solicitation for further information.

Reporting Requirements: Additional reporting requirements apply. Please see the full text of this solicitation for further information.

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I. INTRODUCTION

The academic community is addressing science questions as described, for example, in the 1996 Future of Marine Geology and Geophysics (FUMAGES) report, that require short- and long-term deployments of large numbers of ocean-bottom seismometers and/or ocean-bottom hydrophones. In addition to supporting research funded through the OCE Marine Geology & Geophysics Core Program, there is increasing use of ocean bottom seismometers by the EarthScope, Continental Dynamics, Ocean Drilling Program, Rodge2000, and MARGINS Programs. To provide the large number of instruments needed to support these programs, maintain the necessary technical capability, and provide access to Ocean-Bottom Seismic Instruments for a broad user community, the Ocean-Bottom Seismic Instrument Pools (OBSIP) were established in 1999. In light of the continuing demand for ocean bottom seismometers, the Marine Geology and Geophysics Program of the NSF Division of Ocean Sciences (OCE) invites proposals to establish a Management Office for OBSIP.

II. PROGRAM DESCRIPTION

OBSIP Management Office:

The OBSIP Management Office (OMO) will serve as the interface between NSF/OCE, Institutional Instrument Contributors (IICs), and the OBS user community. It is anticipated that proposals will include a complete work breakdown structure aimed at accomplishing the following tasks and oversight responsibilities.

At a minimum the OMO will:

- Provide a mechanism for establishing and monitoring OBSIP IICs;
- Subcontract IICs for OBSIP services to the broader community;
- Follow NSF procedures for merit review and evaluation of IIC proposals;
- Provide oversight and manage funding of IICs;
- Provide a mechanism for timely feedback by the user community regarding OBSIP performance;
- Establish an Oversight Committee to assess the OBSIP and OMO operations;
- Manage deployments and deployment schedules in cooperation with NSF/University-National Oceanographic Laboratory System (UNOLS);
- Maintain an OBSIP website to inform the community about OBSIP services and instruments and OBS deployment schedules and availability;
- Ensure that OBS data are entered into the Incorporated Research Institutions for Seismology (IRIS) Data Management System in a timely fashion;
- Provide a quarterly Activity Report and an annual progress report to NSF; and
- Submit an annual program plan to NSF with budgets for support of the management office and baseline operations of the approved IICs.

IIC Award Competition:

Solicitation of proposals by the OMO to establish IICs must include the two National Science Board (NSB)-approved merit review criteria: intellectual merit and broader impacts of the proposed activity, and be consistent with the NSF requirements for external review.

Oversight:

An Oversight Committee to assess the OBSIP will be established by the OMO. A charter for the Oversight Committee and the selection of its members to be selected by the OMO will be subject to approval by the cognizant NSF Program Director. Funds for operation of the Oversight Committee will be included in the OMO budget.

The OMO will convene the Oversight Committee at least once annually to assess the appropriateness of staffing levels and budgets, the adequacy and responsiveness of service and instrumentation to the community, whether instrument developments are adequate to meet future needs, the quality of the data, and whether each IIC continues to meet the IIC definition and criteria.

The Oversight Committee will prepare an annual report on OBSIP, including assessments of the OMO and each of the IICs. The report will be made public and will also be used by NSF in evaluating the performance and effectiveness of OBSIP.

Instrument Pools:
An NSF Ocean Sciences Instrument Pool is a facility that serves a broad community by operating, and providing access to specialized data collection and/or analysis capabilities. The Pool provides technical support for the routine operation of oceanographic instrumentation. Though it receives the majority of funding by supporting conventional research projects, a modest level of base funding may be provided during periods of low instrument utilization. Base funding will be used for system maintenance and improvements, but not for significant new technical development efforts.

The OMO shall be responsible for ensuring that the IICs maintain an appropriate balance of engineering, technical, and management personnel, including the necessary interface between the instruments and potential users unfamiliar with the instruments or the interplay between experimental design and data reduction.

Subject to instrument use and availability of funds, it is expected that funding for the OBSIP may range up to $3.6M per year, depending on the number of field experiments conducted during the year. It is expected that several IICs will be supported through the cooperative agreement with the OMO.

**Funding of Instrument Pools:**

Operating expenses for IICs will be negotiated annually as part of a sub-award between the OMO and the IICs and based on the number of field experiments to be conducted in the following calendar year.

For purposes of NSF review of individual science proposals, the OMO will prepare an informational budget to be included in the science proposal detailing Instrument Pool costs for the project. Funds for Instrument Pool services will be allocated to the IICs through the OMO.

The instruments contained in the Pools may be obtained from existing inventories, from proposals submitted to NSF programs (such as the Major Research Instrumentation Program), or from other agencies or industry. Major instrument design efforts may be proposed to the Ocean Technology and Interdisciplinary Coordination Program (OTIC) in the NSF Division of Ocean Sciences.

**Other Responsibilities of IICs:**

Each IIC will submit a quarterly report to the OMO.

In compliance with the NSF/OCE data policy, data collected by the IICs will be submitted to a data center within two years of collection. Submission of data will be the responsibility of the grantee institution of the principal investigator of the science project. The IICs will provide the data to principal investigators in a format suitable for submission to the data center, and shall monitor compliance with this requirement.

Advances in instrument development will be shared with other IICs through the timely publication of technical reports.

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### III. AWARD INFORMATION

IICs are currently funded though separate Cooperative Agreements with NSF. One purpose of establishing the OBSIP Management Office (OMO) is to fund the OBSIP deployments through the OMO. To accomplish that:

- The initial award will establish the OMO.
- After the OMO is established, IICs will be chosen through a separate competition, managed by the OMO in accordance with NSF procedures for merit review and subject to NSF approval.
- Successful IIC proposals will be funded by NSF through a subaward to the OMO.
- In following years, funds for baseline operations of the IICs will be included in the OMO annual program plan and budget to be approved by NSF.
- Funds for deployment and recovery of Ocean Bottom Seismometers on specific projects will continue to be funded through supplements to the OBSIP Management Office.

It is anticipated that approximately $200,000 per year will be provided for management activities and approximately $3,400,000 per year will be provided for subawards to IICs. The program budget, number of awards and average award size/duration are subject to demand for OBS use and the availability of funds.

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### IV. ELIGIBILITY INFORMATION

**Organization Limit:**

Proposals may only be submitted by the following:

- For-profit organizations: U.S. commercial organizations, especially small businesses with strong capabilities in scientific or engineering research or education.
- Non-profit, non-academic organizations: Independent museums, observatories, research labs, professional societies and similar organizations in the U.S. associated with educational or research activities.
- Universities and Colleges - Universities and two- and four-year colleges (including community colleges) accredited in, and having a campus located in the US, acting on behalf of their faculty members. Such organizations also are referred to as academic institutions.

**PI Limit:**

None Specified
Limit on Number of Proposals per Organization:
- None Specified

Limit on Number of Proposals per PI:
- None Specified

Additional Eligibility Info:

V. PROPOSAL PREPARATION AND SUBMISSION INSTRUCTIONS

A. Proposal Preparation Instructions

Full Proposal Instructions: Proposals submitted in response to this program solicitation should be prepared and submitted in accordance with the guidelines specified in the NSF Grant Proposal Guide (GPG). The complete text of the GPG is available electronically on the NSF website at: http://www.nsf.gov/publications/pub_summ.jsp?ods_key=gpg. Paper copies of the GPG may be obtained from the NSF Publications Clearinghouse, telephone (703) 292-PUBS (7827) or by e-mail from nsfpubs@nsf.gov. Refer to Section II, Program Description, for specific proposal preparation information and instructions.

Proposers are reminded to identify the program solicitation number (NSF 09-613) in the program solicitation block on the NSF Cover Sheet for Proposal to the National Science Foundation. Compliance with this requirement is critical to determining the relevant proposal processing guidelines. Failure to submit this information may delay processing.

B. Budgetary Information

Cost Sharing: Cost sharing is not required under this solicitation.

C. Due Dates

- Full Proposal Deadline(s) (due by 5 p.m. proposer's local time):
  - January 04, 2010

D. FastLane Requirements

Proposers are required to prepare and submit all proposals for this program solicitation through use of the NSF FastLane system. Detailed instructions regarding the technical aspects of proposal preparation and submission via FastLane are available at: http://www.fastlane.nsf.gov/a1/newstan.htm. For FastLane user support, call the FastLane Help Desk at 1-800-673-6188 or e-mail fastlane@nsf.gov. The FastLane Help Desk answers general technical questions related to the use of the FastLane system. Specific questions related to this program solicitation should be referred to the NSF program staff contact(s) listed in Section VIII of this funding opportunity.

Submission of Electronically Signed Cover Sheets. The Authorized Organizational Representative (AOR) must electronically sign the proposal Cover Sheet to submit the required proposal certifications (see Chapter II, Section C of the Grant Proposal Guide for a listing of the certifications). The AOR must provide the required electronic certifications within five working days following the electronic submission of the proposal. Further instructions regarding this process are available on the FastLane Website at: https://www.fastlane.nsf.gov/fastlane.jsp.

VI. NSF PROPOSAL PROCESSING AND REVIEW PROCEDURES

Proposals received by NSF are assigned to the appropriate NSF program where they will be reviewed if they meet NSF proposal preparation requirements. All proposals are carefully reviewed by a scientist, engineer, or educator serving as an NSF Program Officer, and usually by three to ten other persons outside NSF who are experts in the particular fields represented by the proposal. These reviewers are selected by Program Officers charged with the oversight of the review process. Proposers are invited to suggest names of persons they believe are especially well qualified to review the proposal and/or persons they would prefer not review the proposal. These suggestions may serve as one source in the reviewer selection process at the Program Officer's discretion. Submission of such names, however, is optional. Care is taken to ensure that reviewers have no conflicts of interest with the proposal.

A. NSF Merit Review Criteria

All NSF proposals are evaluated through use of the two National Science Board (NSB)-approved merit review criteria: intellectual merit and the broader impacts of the proposed effort. In some instances, however, NSF will employ additional criteria as required to highlight the specific objectives of certain programs and activities.
The two NSB-approved merit review criteria are listed below. The criteria include considerations that help define them. These considerations are suggestions and not all will apply to any given proposal. While proposers must address both merit review criteria, reviewers will be asked to address only those considerations that are relevant to the proposal being considered and for which the reviewer is qualified to make judgements.

**What is the intellectual merit of the proposed activity?**
How important is the proposed activity to advancing knowledge and understanding within its own field or across different fields? How well qualified is the proposer (individual or team) to conduct the project? (If appropriate, the reviewer will comment on the quality of the prior work.) To what extent does the proposed activity suggest and explore creative, original, or potentially transformative concepts? How well conceived and organized is the proposed activity? Is there sufficient access to resources?

**What are the broader impacts of the proposed activity?**
How well does the activity advance discovery and understanding while promoting teaching, training, and learning? How well does the proposed activity broaden the participation of underrepresented groups (e.g., gender, ethnicity, disability, geographic, etc.)? To what extent will it enhance the infrastructure for research and education, such as facilities, instrumentation, networks, and partnerships? Will the results be disseminated broadly to enhance scientific and technological understanding? What may be the benefits of the proposed activity to society?


Mentoring activities provided to postdoctoral researchers supported on the project, as described in a one-page supplementary document, will be evaluated under the Broader Impacts criterion.

NSF staff also will give careful consideration to the following in making funding decisions:

**Integration of Research and Education**
One of the principal strategies in support of NSF’s goals is to foster integration of research and education through the programs, projects, and activities it supports at academic and research institutions. These institutions provide abundant opportunities where individuals may concurrently assume responsibilities as researchers, educators, and students and where all can engage in joint efforts that infuse education with the excitement of discovery and enrich research through the diversity of learning perspectives.

**Integrating Diversity into NSF Programs, Projects, and Activities**
Broadening opportunities and enabling the participation of all citizens -- women and men, underrepresented minorities, and persons with disabilities -- is essential to the health and vitality of science and engineering. NSF is committed to this principle of diversity and deems it central to the programs, projects, and activities it considers and supports.

**Additional Review Criteria:**

**OMO-Specific Review Criteria:**

Proposals submitted in response to this program solicitation will be reviewed by a panel established specifically to review OMO proposals.

Some specific criteria will be:

A. The completeness of the Work Breakdown Structure  
B. Efficiency of OMO operations, and  
C. The independence of the OMO from IICs and other entities, and mechanisms to insure the independence of the OMO from the IICs.

The panel will be asked to prepare a report on the merits of each OMO proposal submitted to NSF. The Program Officer assigned to manage the proposal review will consider the advice of the panel in preparing a recommendation.

**B. Review and Selection Process**

Proposals submitted in response to this program solicitation will be reviewed by Ad hoc Review and/or Panel Review.

Reviewers will be asked to formulate a recommendation to either support or decline each proposal. The Program Officer assigned to manage the proposal's review will consider the advice of reviewers and will formulate a recommendation.

After scientific, technical and programmatic review and consideration of appropriate factors, the NSF Program Officer recommends to the cognizant Division Director whether the proposal should be declined or recommended for award. NSF is striving to be able to tell applicants whether their proposals have been declined or recommended for funding within six months. The time interval begins on the deadline or target date, or receipt date, whichever is later. The interval ends when the Division Director accepts the Program Officer's recommendation.

A summary rating and accompanying narrative will be completed and submitted by each reviewer. In all cases, reviews are treated as confidential documents. Verbatim copies of reviews, excluding the names of the reviewers, are sent to the Principal Investigator/Project Director by the Program Officer. In addition, the proposer will receive an explanation of the decision to award or decline funding.

In all cases, after programmatic approval has been obtained, the proposals recommended for funding will be forwarded to the Division of Grants and Agreements for review of business, financial, and policy implications and the processing and issuance of a grant or other agreement. Proposers are cautioned that only a Grants and Agreements Officer may make commitments, obligations or awards on behalf of NSF or authorize the expenditure of funds. No commitment on the part of NSF should be inferred from technical or budgetary discussions with a NSF Program Officer. A Principal Investigator or organization that makes financial or personnel commitments in the absence of a grant or cooperative agreement signed by the NSF Grants and Agreements Officer does so at their own risk.
VII. AWARD ADMINISTRATION INFORMATION

A. Notification of the Award

Notification of the award is made to the submitting organization by a Grants Officer in the Division of Grants and Agreements. Organizations whose proposals are declined will be advised as promptly as possible by the cognizant NSF Program administering the program. Verbatim copies of reviews, not including the identity of the reviewer, will be provided automatically to the Principal Investigator. (See Section VI.B. for additional information on the review process.)

B. Award Conditions

An NSF award consists of: (1) the award letter, which includes any special provisions applicable to the award and any numbered amendments thereto; (2) the budget, which indicates the amounts, by categories of expense, on which NSF has based its support (or otherwise communicates any specific approvals or disapprovals of proposed expenditures); (3) the proposal referenced in the award letter; (4) the applicable award conditions, such as Grant General Conditions (GC-1); * or Research Terms and Conditions * and (5) any announcement or other NSF issuance that may be incorporated by reference in the award letter. Cooperative agreements also are administered in accordance with NSF Cooperative Agreement Financial and Administrative Terms and Conditions (CA-FATC) and the applicable Programmatic Terms and Conditions. NSF awards are electronically signed by an NSF Grants and Agreements Officer and transmitted electronically to the organization via e-mail.

*These documents may be accessed electronically on NSF's Website at http://www.nsf.gov/awards/managing/award_conditions.jsp?org=NSF. Paper copies may be obtained from the NSF Publications Clearinghouse, telephone (703) 292-7827 or by e-mail from nsfpubs@nsf.gov.


Special Award Conditions:

The OMO will insure that OBS data are submitted to the IRIS Data Management System within one year of the date of collection, in accordance with the NSF Division of Ocean Sciences (OCE) policy on the release of marine environmental data to the public domain. This policy is described in NSF circular 94-126 (http://www.nsf.gov/pubs/stis1994/nsf94126/nsf94126.html) and is a standard term and condition for all NSF OCE grants.

Award Specific Programmatic Terms and Conditions will be negotiated with the OMO prior to award by the NSF Program Official and Grants Official.

C. Reporting Requirements

For all multi-year grants (including both standard and continuing grants), the Principal Investigator must submit an annual project report to the cognizant Program Officer at least 90 days before the end of the current budget period. (Some programs or awards require more frequent project reports). Within 90 days after expiration of a grant, the PI also is required to submit a final project report, and a project outcomes report for the general public.

Failure to provide the required annual or final project reports, or the project outcomes report will delay NSF review and processing of any future funding increments as well as any pending proposals for that PI. PIs should examine the formats of the required reports in advance to assure availability of required data.

PIs are required to use NSF’s electronic project-reporting system, available through FastLane, for preparation and submission of annual and final project reports. Such reports provide information on activities and findings, project participants (individual and organizational) publications; and, other specific products and contributions. PIs will not be required to re-enter information previously provided, either with a proposal or in earlier updates using the electronic system. Submission of the report via FastLane constitutes certification by the PI that the contents of the report are accurate and complete. The project outcomes report must be prepared and submitted using Research.gov. This report serves as a brief summary, prepared specifically for the public, of the nature and outcomes of the project. This report will be posted on the NSF website exactly as it is submitted by the PI.

Refer to Section II, Program Description, for additional information about reporting requirements.

VIII. AGENCY CONTACTS

General inquiries regarding this program should be made to:

- Richard Carlson, Program Director, telephone: (703) 292-8581, email: rcarlson@nsf.gov
- Rodey Batiza, Program Director, telephone: (703) 292-8581, email: rbatiza@nsf.gov

For questions related to the use of FastLane, contact:

- FastLane Help Desk, telephone: 1-800-673-6188; e-mail: fastlane@nsf.gov.
IX. OTHER INFORMATION

The NSF Website provides the most comprehensive source of information on NSF Directorates (including contact information), programs and funding opportunities. Use of this Website by potential proposers is strongly encouraged. In addition, National Science Foundation Update is a free e-mail subscription service designed to keep potential proposers and other interested parties apprised of new NSF funding opportunities and publications, important changes in proposal and award policies and procedures, and upcoming NSF Regional Grants Conferences. Subscribers are informed through e-mail when new publications are issued that match their identified interests. Users can subscribe to this service by clicking the “Get NSF Updates by Email” link on the NSF web site.

Grants.gov provides an additional electronic capability to search for Federal government-wide grant opportunities. NSF funding opportunities may be accessed via this new mechanism. Further information on Grants.gov may be obtained at http://www.grants.gov.

ABOUT THE NATIONAL SCIENCE FOUNDATION

The National Science Foundation (NSF) is an independent Federal agency created by the National Science Foundation Act of 1950, as amended (42 USC 1861-75). The Act states the purpose of the NSF is “to promote the progress of science; [and] to advance the national health, prosperity, and welfare by supporting research and education in all fields of science and engineering.”

NSF funds research and education in most fields of science and engineering. It does this through grants and cooperative agreements to more than 2,000 colleges, universities, K-12 school systems, businesses, informal science organizations and other research organizations throughout the US. The Foundation accounts for about one-fourth of Federal support to academic institutions for basic research.

NSF receives approximately 40,000 proposals each year for research, education and training projects, of which approximately 11,000 are funded. In addition, the Foundation receives several thousand applications for graduate and postdoctoral fellowships. The agency operates no laboratories itself but does support National Research Centers, user facilities, certain oceanographic vessels and Antarctic research stations. The Foundation also supports cooperative research between universities and industry, US participation in international scientific and engineering efforts, and educational activities at every academic level.

Facilitation Awards for Scientists and Engineers with Disabilities provide funding for special assistance or equipment to enable persons with disabilities to work on NSF-supported projects. See Grant Proposal Guide Chapter II, Section D.2 for instructions regarding preparation of these types of proposals.

The National Science Foundation has Telephonic Device for the Deaf (TDD) and Federal Information Relay Service (FIRS) capabilities that enable individuals with hearing impairments to communicate with the Foundation about NSF programs, employment or general information. TDD may be accessed at (703) 292-5090 and (800) 281-8749, FIRS at (800) 877-8339.

The National Science Foundation Information Center may be reached at (703) 292-5111.

The National Science Foundation promotes and advances scientific progress in the United States by competitively awarding grants and cooperative agreements for research and education in the sciences, mathematics, and engineering.

To get the latest information about program deadlines, to download copies of NSF publications, and to access abstracts of awards, visit the NSF Website at http://www.nsf.gov

- Location: 4201 Wilson Blvd. Arlington, VA 22230
- For General Information (NSF Information Center): (703) 292-5111
- TDD (for the hearing-impaired): (703) 292-5090
- To Order Publications or Forms:
  Send an e-mail to: nsfpubs@nsf.gov
  or telephone: (703) 292-7827
- To Locate NSF Employees: (703) 292-5111

PRIVACY ACT AND PUBLIC BURDEN STATEMENTS

The information requested on proposal forms and project reports is solicited under the authority of the National Science Foundation Act of 1950, as amended. The information on proposal forms will be used in connection with the selection of qualified proposals; and project reports submitted by awardees will be used for program evaluation and reporting within the Executive Branch and to Congress. The information requested may be disclosed to qualified reviewers and staff assistants as part of the proposal review process; to proposer institutions/grantees to provide or obtain data regarding the proposal review process, award decisions, or the administration of awards; to government contractors, experts, volunteers and researchers and educators as necessary to complete assigned work; to other government agencies or other entities needing information regarding applicants or nominees as part of a joint application review process, or in order to coordinate programs or policy; and to another Federal agency, court, or party in a court or Federal administrative proceeding if the government is a party. Information about Principal Investigators may be added to the Reviewer file and used to select potential candidates to serve as peer reviewers or advisory committee members. See Systems of Records, NSF-50, “Principal Investigator/Proposal File and Associated Records,” 69 Federal Register 26410 (May 12, 2004), and
An agency may not conduct or sponsor, and a person is not required to respond to, an information collection unless it displays a valid Office of Management and Budget (OMB) control number. The OMB control number for this collection is 3145-0058. Public reporting burden for this collection of information is estimated to average 120 hours per response, including the time for reviewing instructions. Send comments regarding the burden estimate and any other aspect of this collection of information, including suggestions for reducing this burden, to:

Suzanne H. Plimpton
Reports Clearance Officer
Division of Administrative Services
National Science Foundation
Arlington, VA 22230

NSF-51, "Reviewer/Proposal File and Associated Records," 69 Federal Register 26410 (May 12, 2004). Submission of the information is voluntary. Failure to provide full and complete information, however, may reduce the possibility of receiving an award.