Computing and Communication Foundations (CCF): Core Programs

PROGRAM SOLICITATION
NSF 12-581

REPLACES DOCUMENT(S):
NSF 11-557

Submission Window Date(s) (due by 5 p.m. proposer's local time):

September 20, 2012 - October 09, 2012

September 15 - September 30, Annually Thereafter

MEDIUM Projects

November 01, 2012 - November 30, 2012

November 1 - November 30, Annually Thereafter

LARGE Projects

December 03, 2012 - December 17, 2012

December 3 - December 17, Annually Thereafter

SMALL Projects

IMPORTANT INFORMATION AND REVISION NOTES

Revision Summary: This is a revision of NSF 11-557, the solicitation for the CISE/CCF core programs. The revisions include: (1) A description of "Breakthrough Proposals" that may be submitted to the core programs, (2) A clarification of how to submit proposals that may cut across research programs in CISE, (3) New 20-page limit for Project Description for Large proposals, (4) New 2-page limit for Collaboration Plan for Large and Medium proposals, (5) Added reminder of allowable supplementary documents, and (6) Slight revisions of the core program descriptions.

Social-Computational Systems: The NSF is no longer accepting proposals for the Social-Computational Systems (SoCS) Program. However, the NSF continues to welcome proposals on SoCS-related topics. Such proposals may be submitted to the program in the Directorate for Computer and Information Science and Engineering (CISE) or the Directorate for Social, Behavioral and Economic Sciences (SBE) that is most closely related to the proposal topic. Joint consideration by two or more programs may also be appropriate; if the proposer envisions review by multiple programs, more than one program may be designated on the Proposal Cover Sheet.

Important Reminders

A revised version of the NSF Proposal & Award Policies & Procedures Guide (PAPPG), NSF 11-1, was issued on October 1, 2010 and is effective for proposals submitted, or due, on or after January 18, 2011. Please be advised that the guidelines contained in NSF 11-1 apply to proposals submitted in response to this funding opportunity.

Cost Sharing: The PAPPG has been revised to implement the National Science Board's recommendations regarding cost sharing. Inclusion of voluntary committed cost sharing is prohibited. In order to assess the scope of the project, all organizational resources necessary for the project must be described in the Facilities, Equipment and Other Resources section of the proposal. The description should be narrative in nature and must not include any quantifiable financial information. Mandatory cost sharing will only be required when explicitly authorized by the NSF Director. See the PAPPG Guide Part I: Grant Proposal Guide (GPG) Chapter II.C.2.(xi) for further information about the implementation of these recommendations.

Data Management Plan: The PAPPG contains a clarification of NSF's long-standing data policy. All proposals must describe plans for data management and sharing of the products of research, or assert the absence of the need for such plans. FastLane will not permit submission of a proposal that is missing a Data Management Plan. Plans must not exceed two pages in length. The Data Management Plan will be reviewed as part of the intellectual merit or broader impacts of the proposal, or both, as appropriate. Links to data management requirements and plans relevant to specific Directorates, Offices, Divisions, Programs, or other NSF units are available on the NSF website at: http://www.nsf.gov/bfa/dias/policy/dmp.jsp. See Chapter II.C.2.(j) of the GPG for further information about the implementation of this requirement. For specific guidance for proposals submitted to the Directorate for Computer and Information Science and Engineering (CISE) see: http://www.nsf.gov/cise/cise_dmp.jsp.

Postdoctoral Researcher Mentoring Plan: As a reminder, each proposal that requests funding to support postdoctoral researchers must include, as a supplementary document of not more than one page, a description of the mentoring activities that will be provided for such individuals. Please be advised that if required, FastLane will not permit submission of a proposal that is missing
a Postdoctoral Researcher Mentoring Plan. See Chapter II.C.2.j of the GPG for further information about the implementation of this requirement.

SUMMARY OF PROGRAM REQUIREMENTS

General Information

Program Title:
Computing and Communication Foundations (CCF): Core Programs

Synopsis of Program:
CISE’s Division of Computing and Communication Foundations (CCF) supports research and education projects that develop new knowledge in three core programs:

- The Algorithmic Foundations program;
- The Communications and Information Foundations program; and
- The Software and Hardware Foundations program.

Proposers are invited to submit proposals in three project classes, which are defined as follows:

- Small Projects - up to $500,000 total budget with durations up to three years;
- Medium Projects - $500,001 to $1,200,000 total budget with durations up to four years; and
- Large Projects - $1,200,001 to $3,000,000 total budget with durations up to five years.

A more complete description of the three project classes can be found in section II. Program Description of this document.

CISE investments in Small, Medium and Large projects complement the directorate’s investments in the Expeditions in Computing program, http://www.nsf.gov/funding/pgm_summ.jsp?pims_id=503169&org=CISE&from=home. Expeditions projects are funded at levels of up to $10,000,000 total for durations up to 5 years.

Cognizant Program Officer(s):
Please note that the following information is current at the time of publishing. See program website for any updates to the points of contact.

- Balasubramanian Kalyanasundaram, Point of Contact, Algorithmic Foundations, 1115, telephone: (703) 292-8910, email: bkalyana@ NSF.gov
- John H. Cozzens, Point of Contact, Communications and Information Foundations, 1115, telephone: (703) 292-8910, email: jcozzens@ nsf.gov
- Nina Amla, Point of Contact, Software and Hardware Foundations, 1115, telephone: (703) 292-8910, email: namla@nsf.gov

Applicable Catalog of Federal Domestic Assistance (CFDA) Number(s):
- 47.070 --- Computer and Information Science and Engineering

Award Information

Anticipated Type of Award: Standard Grant or Continuing Grant

Estimated Number of Awards: 180 to 200 awards will be made each year.

Anticipated Funding Amount: $100,000,000 each year, dependent upon the availability of funds.

Eligibility Information

Organization Limit:
The categories of proposers eligible to submit proposals to the National Science Foundation are identified in the Grant Proposal Guide, Chapter I, Section E.

PI Limit:
None Specified

Limit on Number of Proposals per Organization:
None Specified

Limit on Number of Proposals per PI:
In any contiguous September through December period, an individual may participate as PI, Co-PI or Senior Personnel in no more than two Small, Medium, or Large proposals submitted in response to the
coordinated solicitation (where coordinated solicitation is defined to include the Information and Intelligent Systems (IIS): Core Programs, the Computer and Network Systems (CNS): Core Programs and the Computing and Communication Foundations (CCF): Core Programs solicitations). For example, between September 2012 and December 2012, an individual may participate as PI, co-PI or Senior Personnel in one proposal submitted to a core program in CCF and in a second proposal submitted to a core program in CNS, or an individual may participate as PI, co-PI or Senior Personnel in two proposals submitted to an IIS core program, etc.

These eligibility constraints will be strictly enforced in order to treat everyone fairly and consistently. In the event that an individual exceeds this limit, proposals received within the limit will be accepted based on earliest date and time of proposal submission (i.e., the first two proposals received will be accepted and the remainder will be returned without review). No exceptions will be made.

The limit on the number of proposals per PI, co-PI or Senior Personnel applies only to the coordinated solicitation.

Proposal Preparation and Submission Instructions

A. Proposal Preparation Instructions

- **Letters of Intent:** Not Applicable
- **Preliminary Proposal Submission:** Not Applicable
- **Full Proposals:**

B. Budgetary Information

- **Cost Sharing Requirements:** Inclusion of voluntary committed cost sharing is prohibited.
- **Indirect Cost (F&A) Limitations:** Not Applicable
- **Other Budgetary Limitations:** Not Applicable

C. Due Dates

- **Submission Window Date(s) (due by 5 p.m. proposer's local time):**
  - September 20, 2012 - October 09, 2012
  - September 15 - September 30, Annually Thereafter
    - MEDIUM Projects
  - November 01, 2012 - November 30, 2012
  - November 1 - November 30, Annually Thereafter
    - LARGE Projects
  - December 03, 2012 - December 17, 2012
  - December 3 - December 17, Annually Thereafter
    - SMALL Projects

Proposal Review Information Criteria

**Merit Review Criteria:** National Science Board approved criteria. Additional merit review considerations apply. Please see the full text of this solicitation for further information.

Award Administration Information

**Award Conditions:** Standard NSF award conditions apply.

**Reporting Requirements:** Standard NSF reporting requirements apply.

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I. INTRODUCTION

The Division of Computing and Communication Foundations (CCF) supports transformative research and education projects that explore the foundations of computing and communication. The Division seeks advances in computing and communication theory, algorithm design and analysis, and the architecture and design of computers and software. CCF-supported projects also investigate revolutionary computing models and technologies based on emerging scientific ideas and integrate research and education activities to prepare future generations of computer science and engineering workers.

II. PROGRAM DESCRIPTION

CCF supports three core programs as described below - Algorithmic Foundations (AF), Communications and Information Foundations (CIF), and Software and Hardware Foundations (SHF).

- **Algorithmic Foundations (AF)**
  
  The Algorithmic Foundations (AF) program supports potentially transformative research and education projects advancing the design and analysis of algorithms and characterized by algorithmic thinking accompanied by rigorous analysis. Research on algorithms for problems that are central to computer science and engineering as well as new techniques for the rigorous analysis of algorithms are of interest. AF supports theoretical research that bounds the intrinsic difficulty of problems to determine the measures of complexity in formal models of computation, classical or new. The goal is to understand the fundamental limits of resource-bounded computation and to obtain efficient solutions within those limits. Specifically, the time and space complexity of finding exact and approximate solutions in deterministic and randomized models of computation is a central concern of the program. Research on resources other than time and space, such as communication and energy, are also encouraged. In addition to the traditional, sequential computing paradigm, AF supports research on the design and analysis of novel algorithms in parallel and distributed models, in particular, in heterogeneous multi-core and many core machines; the computational models and algorithms that capture essential aspects of computing over massive data sets; game theory; alternative forms of computation and information processing, including quantum computing and biological models of computation.

  The program supports research in algorithms needed in all areas, both within and outside computer science. Algorithmic research with applications in databases, machine learning, data mining, networks, communications, operating systems, languages, compilers, and machine abstractions is supported. New techniques for the design and analysis of algorithms in areas such as cryptography, computational geometry, computational biology, game theory and numerical, symbolic, and algebraic computing are appropriate for this program. Relevance to application areas is important and collaborations with researchers in those areas are encouraged. However, research funded by this program must advance the study of algorithms. Algorithmic research that was formerly supported as part of a program called Interface between Computer Science and Economic & Social Sciences (ICES) will now be considered in this core program.

  More information on topics appropriate for this program is available at:

- **Communications and Information Foundations (CIF)**
  
  The Communications and Information Foundations (CIF) program supports potentially transformative research that addresses the theoretical underpinnings and current and future enabling technologies for information acquisition, transmission, and processing in communications and information processing systems. As a result, CIF research and education projects strengthen the intellectual foundations of communications and information theory and signal processing in a variety of types of networks such as sensor networks, wireless and multimedia networks, biological
networks, and networks of quantum devices. Research outcomes are expected to lead to more secure and reliable communications and advanced mathematical capabilities that are applicable throughout science and engineering.

The program supports basic research in wireless communications, information theory and coding. Included in the CIF program is the reliable transmission of information, in both analog and digital form, in the presence of a variety of channel impairments (noise, multipath, eavesdroppers, interference, etc.). A number of channel architectures are of interest, including multiple-input multiple-output (MIMO) channels, feedback channels, optical channels, quantum channels, and biological channels. CIF has a strong interest in the theoretical performance limits for various communication systems architectures and in the presence of various channel impairments. Also of interest are performance metrics and tradeoffs. An important example is the tradeoff between error probability and latency resulting from coding/decoding algorithms, diversity techniques, interference management, and other types of signal processing.

The CIF program also supports fundamental research in networking including network information theory, network coding, and cross-layer research at the lower layers. The CIF research program in networking focuses on the MAC layer and below and emphasizes research in which the physical-layer attributes play an important role in overall network design and performance. CIF supports research in the intersection of communications and information theory, signal processing, and networking. Examples include sensor networks with applications to environmental monitoring, civil infrastructure monitoring, data communications system monitoring, and power grid monitoring. A further example is network tomography, which involves detecting and classifying spatially distributed anomalies within complex large-scale systems from multiple monitoring (sensor) sites.

In addition to the contemporary signal processing topics that have enabled the IT revolution, there is growing interest within the CIF program in new paradigms that enlarge the scope of signal and information processing from the domain of the linear to the realm of the nonlinear - from linear algebra to algebra, from Euclidean to curved spaces, from uniform to highly non-uniform time and space sampling, to signal processing on graphs. Research that will develop efficient power aware and hardware-friendly algorithms and research on signal/information processing algorithms for the new network science of distributed, decentralized, and cooperative algorithms that avoid global coordination. The exploration of new approaches to manage massive datasets, such as compressive sampling/sensing, also promises advances in the field.

The CIF program is particularly interested in the applications of signal information processing in complex systems. Some examples of exciting applications are monitoring the natural critical infrastructures, signal processing in biological systems, and biomedical signal and image processing. These and other emerging application domains pose new constraints and challenges, leading to the reexamination of old questions and assumptions.

More information on topics appropriate for this program is available at:

**Software and Hardware Foundations (SHF)**

All fields of science and engineering - and society at large - depend on fundamental advances in scientific foundations and engineering methods for computer hardware and software. The SHF program supports research and education projects on the design, verification, operation, utilization, and evaluation of the computer hardware and software through novel approaches, robust theories, high-leverage tools, and lasting principles. Such advances may offer models, methods, languages, logics, novel software and/or hardware artifacts, and algorithms to enable new or enhanced functionality, and formal methods and tools for the design, implementation, and verification of computer systems and their applications. Proposals should include plans for validation through proofs of concept, empirical evaluation, and/or other scientific methods. They may also address issues of usability and scale.

The SHF program supports all aspects of the science and engineering of software, seeking transformative ideas that reformulate the relationship between requirements, design and evolution of software and software-intensive systems. SHF welcomes research projects focusing on program analysis and synthesis, compositional, refinement-based, and probabilistic methods for the modeling and validation of systems involving discrete and continuous behavior. SHF seeks proposals that enhance the applicability, usability, and efficiency of techniques such as model checking, theorem proving, automated decision procedures, static analysis and constraint solving. Research topics involving the semantics, logics, verification, and analysis of concurrent systems are welcome. SHF supports foundations, algorithms and tools for software and hardware synthesis.

SHF supports fundamental research on formal and semi-formal methods for the specification, development and verification of software, hardware and embedded systems. This includes, but is not limited to, abstraction, compositional, refinement-based, and probabilistic methods for the modeling and validation of systems involving discrete and continuous behavior. SHF seeks proposals that enhance the applicability, usability, and efficiency of techniques such as model checking, theorem proving, automated decision procedures, static analysis and constraint solving. Research topics involving the semantics, logics, verification, and analysis of concurrent systems are welcome. SHF supports foundations, algorithms and tools for software and hardware synthesis.

SHF supports the entire range of programming language and compiler research, ranging from foundations to design to implementation and application. Topics of interest include, but are not limited to, language semantics and type theory, design and implementation of advanced languages and language features, compilers and runtime systems for advanced languages, and implementation of domain-specific languages. With the rise of multicore and many-core processors, SHF emphasizes research on new approaches to parallel and concurrent programming, such as declarative languages, and research on managing increasing levels of parallelism.

SHF seeks proposals that address the key challenges in computer hardware design, including, but not limited to, performance, dependability, reliability, and scalability. The program supports research in multi-core and many-core architectures for chip as well as system levels, including new execution models, memory design, cache design, and new directions in on-chip and off-chip interconnects using silicon, optics, wireless, and radio frequency. SHF welcomes fundamental research that addresses the reliability of future chips and systems, including hard faults and soft errors; this may include traditional approaches, like redundancy and self-healing techniques, and holistic and cross-layer approaches ranging from the circuit to the architecture level.

SHF supports all topics in design automation including, but not limited to, logical, physical, behavioral and high level synthesis methods, interplay between synthesis and verification, design methodologies for scalable, low power and energy efficient circuits, and physical design. SHF seeks research in emerging technologies, including optical interconnects, quantum computing, optical computing, bio-computing, bio-inspired devices, nanotubes and nanophotonics, as well as innovations in silicon technology as possible successors to existing technologies, which
The submission of far-reaching, creative research and education projects is encouraged. Funds will be used to support potentially important projects.

**IMPORTANT PROJECT CHARACTERISTICS**

Preparation Instructions

Later in this document). CISE Program Officers will ensure that these proposals are co-reviewed as programs in the proposal submission process (for information about submission and how to identify such proposals, see Proposal Preparation Instructions).

Proposals that intersect more than one CISE research program are welcome. In such cases, PIs must identify the most relevant programs in the proposal submission process (for information about submission and how to identify such proposals, see Proposal Preparation Instructions).

**PROPOSALS FOR CONSIDERATION BY MULTIPLE CISE PROGRAMS**

- Proposals submitted to this solicitation must be consistent with one of three project classes defined below. Proposals will be considered for funding within their project classes.

  **SMALL Projects:**
  
  Small Projects, with total budgets up to $500,000 for durations of up to three years, are well suited to one or two investigators (PI and one co-PI or other Senior Personnel) and at least one student and/or postdoc.

  **MEDIUM Projects:**
  
  Medium Projects, with total budgets ranging from $500,001 to $1,200,000 for durations up to four years, are well-suited to one or more investigators (PI, co-PI and/or other Senior Personnel) and several students and/or postdocs. Medium project descriptions must be comprehensive and well-integrated, and should make a convincing case that the collaborative contributions of the project team will be greater than the sum of each of their individual contributions. Large projects must be provided to explain why a budget of this size is required to carry out the proposed work. Since the success of collaborative research efforts is known to depend on thoughtful coordination mechanisms that regularly bring together the various participants of the project, a Collaboration Plan is required for all Medium proposals with more than one investigator. Up to 2 pages are allowed for Collaboration Plans. The length of and level of detail provided in the Collaboration Plan should be commensurate with the complexity of the proposed project. If a Medium proposal with more than one investigator does not include a Collaboration Plan, that proposal will be returned without review. Please see Proposal Preparation Instructions Section V.A for additional submission guidelines.

  **LARGE Projects:**
  
  Large Projects, with total budgets ranging from $1,200,001 to $3,000,000 for durations up to five years, are well-suited to two or more investigators (PI, co-PI(s), or other Senior Personnel), and a team of students and/or postdocs. Large project descriptions must be comprehensive and well-integrated, and should make a convincing case that the collaborative contributions of the project team will be greater than the sum of their individual contributions. Large projects will typically integrate research from various areas, either within a cluster or across clusters, or tackle ambitious goals not feasible with smaller projects. Rationale must be provided to explain why a budget of this size is required to carry out the proposed work. Since the success of collaborative research efforts is known to depend on thoughtful coordination mechanisms that regularly bring together the various participants of the project, a Collaboration Plan is required for all Large proposals. Up to 2 pages are allowed for Collaboration Plans. The length of and degree of detail provided in the Collaboration Plan should be commensurate with the complexity of the proposed project. If a Large proposal does not include a Collaboration Plan, that proposal will be returned without review. Please see Proposal Preparation Instructions Section V.A for additional submission guidelines.

CISE investments in Small, Medium and Large projects complement the Directorate's investments in the Expeditions in Computing program, where projects are funded at levels of up to $10,000,000 total for durations of up to 5 years. Additional information on the Expeditions program can be accessed at: http://www.nsf.gov/cise/ccf/shf_pgm12.jsp

**BREAKTHROUGH PROPOSALS:**

CISE encourages proposals that promise extraordinary outcomes, with a possibly corresponding increase in uncertainty in the research plan and overall risk of success relative to traditional submissions, such as: revolutionizing entire disciplines, creating entirely new fields, disrupting accepted theories and perspectives, and solving widely recognized, long-standing and important challenging problems. In order to encourage the submission of proposals possessing one or more of these characteristics, we are offering the opportunity to submit and identify such projects as "Breakthrough Proposals." CISE expects that the total number of awards to such proposals will be relatively small and urges prospective PIs to consider this option carefully.

Breakthrough proposals may be submitted to all CISE (CCF/CNS/IIS) core programs and may be Small, Medium, or Large. They must be submitted in accordance with the deadlines for Small, Medium, and Large proposals. Submission of a breakthrough proposal will count as one against the limit of two proposals per PI as described in the previous sections. The proposal preparation instructions, budgetary limits, and requirements for these proposals are identical to other proposals submitted to CISE (CCF/CNS/IIS) core programs under each of these size categories with the following exceptions:

1. The word "breakthrough" must be listed as the first keyword in the submitted list of keywords.
2. A statement, of up to two pages, explaining why the proposed research can be described as "breakthrough" and how any associated uncertainty and risk will be managed, must be submitted as a document under Supplementary Documentation. A breakthrough proposal must include this statement in order to be considered as a breakthrough proposal. If it does not include this statement, that proposal will be considered as a regular proposal.

**PROPOSALS FOR CONSIDERATION BY MULTIPLE CISE PROGRAMS**

Proposals that intersect more than one CISE research program are welcome. In such cases, PIs must identify the most relevant programs in the proposal submission process (for information about submission and how to identify such proposals, see Proposal Preparation Instructions later in this document). CISE Program Officers will ensure that these proposals are co-reviewed as appropriate.

**IMPORTANT PROJECT CHARACTERISTICS**

The submission of far-reaching, creative research and education projects is encouraged. Funds will be used to support potentially

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transformative research with high-impact potential. In this way, CISE will catalyze exciting new research activities with the potential to make significant advances in the state-of-the-art.

Interdisciplinary, international and/or academic-industry collaborations that promise to result in major science or engineering advances are welcome. The directorate hopes to attract proposals from faculty at a broad range of academic institutions, including faculty at minority-serving and predominantly undergraduate institutions.

Proposals submitted should demonstrate that rich learning experiences will be provided for a diverse population of students and may propose the development of innovative curricula or educational materials that advance literacy about and expertise in areas supported by CISE.

III. AWARD INFORMATION

Approximately $100 million each year will support up to 200 awards, pending the availability of funds.

IV. ELIGIBILITY INFORMATION

Organization Limit:
The categories of proposers eligible to submit proposals to the National Science Foundation are identified in the Grant Proposal Guide, Chapter I, Section E.

PI Limit:
None Specified

Limit on Number of Proposals per Organization:
None Specified

Limit on Number of Proposals per PI: 2

In any contiguous September through December period, an individual may participate as PI, Co-PI or Senior Personnel in no more than two Small, Medium, or Large proposals submitted in response to the coordinated solicitation (where coordinated solicitation is defined to include the Information and Intelligent Systems (IIS): Core Programs, the Computer and Network Systems (CNS): Core Programs and the Computing and Communication Foundations (CCF): Core Programs solicitations). For example, between September 2012 and December 2012, an individual may participate as PI, co-PI or Senior Personnel in one proposal submitted to a core program in CCF and in a second proposal submitted to a core program in CNS, or an individual may participate as PI, co-PI or Senior Personnel in two proposals submitted to an IIS core program, etc.

These eligibility constraints will be strictly enforced in order to treat everyone fairly and consistently. In the event that an individual exceeds this limit, proposals received within the limit will be accepted based on earliest date and time of proposal submission (i.e., the first two proposals received will be accepted and the remainder will be returned without review). No exceptions will be made.

The limit on the number of proposals per PI, co-PI or Senior Personnel applies only to the coordinated solicitation.

V. PROPOSAL PREPARATION AND SUBMISSION INSTRUCTIONS

A. Proposal Preparation Instructions

Full Proposal Preparation Instructions: Proposers may opt to submit proposals in response to this Program Solicitation via Grants.gov or via the NSF FastLane system.

- Full proposals submitted via FastLane: Proposals submitted in response to this program solicitation should be prepared and submitted in accordance with the general guidelines contained in the NSF Grant Proposal Guide (GPG). The complete text of the GPG is available electronically on the NSF website at: http://www.nsf.gov/publications/pub_summ.jsp?ods_key=gpg. Paper copies of the GPG may be obtained from the NSF Publications Clearinghouse, telephone (703) 292-7827 or by e-mail from nsfpubs@nsf.gov. Proposers are reminded to identify this program solicitation number in the program solicitation block on the NSF Cover Sheet For Proposal to the National Science Foundation. Compliance with this requirement is critical to determining the relevant proposal processing guidelines. Failure to submit this information may delay processing.

- Full proposals submitted via Grants.gov: Proposals submitted in response to this program solicitation via Grants.gov should be prepared and submitted in accordance with the NSF Grants.gov Application Guide: A Guide for the Preparation and Submission of NSF Applications via Grants.gov. The complete text of the NSF Grants.gov Application Guide is available on the Grants.gov website and on the NSF website at: (http://www.nsf.gov/publications/pub_summ.jsp?ods_key=grantsgovguide). To obtain copies of the Application Guide and Application Forms Package, click on the Apply tab on the Grants.gov site, then click on the Apply Step 1: Download a Grant Application Package and Application Instructions link and enter the funding opportunity number, (the program solicitation number without the NSF prefix) and press the
Collaborative Proposals. All collaborative proposals submitted as separate submissions from multiple organizations must be submitted via the NSF FastLane system. Chapter II, Section D.4 of the Grant Proposal Guide provides additional information on collaborative proposals.

The following information SUPPLEMENTS (does not replace) the guidelines provided in the NSF Grant Proposal Guide (GPG).

Proposal Titles: Proposal titles must begin with an acronym that indicates the most relevant core program. Select an acronym from the following list:

- Algorithmic Foundations - AF
- Communications and Information Foundations - CIF
- Software and Hardware Foundations - SHF

The acronym should be followed with a colon, then the project class followed by a colon, then the title of your project. For example, if you are submitting a medium proposal to the Communications and Information Foundations core program, then your title would be CIF:Medium:Title. If you submit a proposal as part of a set of collaborative proposals, the title of the proposal should begin with the acronym that indicates the most relevant core program followed by a colon, then the project class followed by a colon, then "Collaborative Research" followed by a colon, and the title. For example, if you are submitting a collaborative set of proposals to the Software and Hardware Foundations core program for a Large project, the title of each would be SHF:Large:Collaborative

Research: Title.

Proposals from PIs in institutions that have RUI (Research in Undergraduate Institutions) eligibility should have a proposal title that begins with the acronym that indicates the most relevant program, followed by a colon, then the project class, followed by a colon, then "RUI", followed by a colon and then the title, for example, AF:Small:RUI:Title.

PIs submitting Grant Opportunities for Academic Liaison with Industry (GOALI) proposals should have a proposal title that begins with the acronym that indicates the most relevant program, followed by a colon, then the project class, followed by a colon, then "GOALI", followed by a colon and then the title, for example, SHF:Small:GOALI:Title.

Proposals that extend beyond the scope of one CISE core program or area are welcome. Proposals should be submitted in response to the solicitation for the CISE division (CCF, CNS or IIS) that includes the most relevant core program. In such cases, PIs should identify the acronym for the most relevant core program or area, followed by any other relevant program acronym(s) separated by colons, for example, III:AF:Medium:Title, in this case, the proposal would be submitted to the Division of Information and Intelligent Systems solicitation but would be considered by IIS/III and CCF/AF. CISE Program Officers will work with their NSF and CISE colleagues to ensure that these proposals are appropriately reviewed and considered for funding. Please see the coordinated CNS and IIS solicitations for information on other CISE core programs and the corresponding acronyms.

Project Summary: The Project Summary must include an explicit description of both the Intellectual Merit and Broader Impacts of the activities proposed, preferably in separate paragraphs titled "Intellectual Merit" and "Broader Impacts".

Please provide between 2 and 6 sets of keywords at the end of the Project Summary. CISE personnel will use this information in implementing the merit review process. The keywords should describe the main scientific/engineering areas explored in the proposal. Keywords should be prefaced with "Keywords" followed by a colon and each keyword set should be separated by semi-colons. Keywords should be of the type used to describe research in a journal submission. They should be included at the end of the project summary and might appear, for example, as Keywords: energy-aware computing; formal logic; computer graphics; sensor networks; information visualization; privacy. "Breakthrough proposals" should have the word "breakthrough" as the first keyword in the submitted list of keywords.

Project Description:

Length of Project Description - Describe the research and education activities to be undertaken in 15 pages or less for Small and Medium proposals and in 20 pages or less for Large proposals. Describe curriculum development activities in a separate section (included in these page limits) titled "Curriculum Development Activities."

Collaboration Plans for Medium and Large Proposals - Since the success of collaborative research efforts is known to depend on thoughtful coordination mechanisms that regularly bring together the various participants of the project, all Medium proposals that include more than one investigator and all Large proposals must include a Collaboration Plan. While the length of the Project Description for Small and Medium proposals is limited to 15 pages and for Large proposals to 20 pages, for Medium and Large proposals up to 2 additional pages are allowed for Collaboration Plans. Collaboration Plans should be included at the end of the Project Description in a section entitled "Collaboration Plan". The length of and degree of detail provided in the Collaboration Plan should be commensurate with the complexity of the proposed project. Where appropriate, the Collaboration Plan might include: 1) the specific roles of the project participants in all organizations involved; 2) information on how the project will be managed across all the investigators, institutions, and/or disciplines; 3) identification of the specific coordination mechanisms that will enable cross-investigator, cross-institution, and/or cross-discipline scientific integration (e.g., yearly workshops, graduate student exchange, project meetings at conferences, use of the grid for videoconferences, software repositories, etc.), and 4) specific references to the budget line items that support collaboration and coordination mechanisms. If a Large proposal, or a Medium proposal with more than one investigator, does not include a Collaboration Plan of up to 2 pages, that proposal will be returned without review.

Supplementary Documents: In the Supplementary Documents Section, upload the following information where relevant:

1. List of Project Personnel and Partner Institutions (Note - In collaborative proposals, only the lead institution should provide this information).

Provide current, accurate information for all personnel and institutions involved in the project. NSF staff will use this information in the merit review process to manage conflicts of interest. The list should include all PIs, Co-PIs, Senior Personnel, paid/unpaid Consultants or Collaborators, Subawardees, Postdocs, and project-level advisory committee members. This list should be numbered and include (in this order) Full name, Organization(s), and Role in the project, with each item separated by a semi-colon. Each person listed should start a new numbered line. For example:

1. Mary Smith; XYZ University; PI
2. **Postdoctoral Researcher Mentoring Plan (if applicable)**

Each proposal that requests funding to support postdoctoral researchers must include, as a supplementary document, a description of the mentoring activities that will be provided for such individuals. Please be advised that if required, FastLane will not permit submission of a proposal that is missing a Postdoctoral Researcher Mentoring Plan. See Chapter II.C.2.j (http://www.nsf.gov/pubs/policydocs/pappguide/nsf11001/gpg_2.jsp#IIC2j) of the GPG for further information about the implementation of this requirement.

3. **Data Management Plan (required)**

Proposals must include a supplementary document of no more than two pages labeled "Data Management Plan". This supplementary document should describe how the proposal will conform to NSF policy on the dissemination and sharing of research results.


For additional information see: [http://www.nsf.gov/bfa/dias/policy/dmp.jsp](http://www.nsf.gov/bfa/dias/policy/dmp.jsp)

4. **Requirement for "Breakthrough" Proposals Only**

A statement, of up to two pages, explaining why the proposed research can be described as "breakthrough," and how any associated uncertainty and risk will be managed, must be submitted as a document under Supplementary Documentation. A breakthrough proposal must include this statement in order to be considered as a breakthrough proposal. If it does not include this statement, that proposal will be considered as a regular proposal.

5. **Documentation of collaborative arrangements of significance to the proposal through letters of commitment.**

Any substantial collaboration with individuals not included in the budget should be described and documented with a letter from each collaborator, which should be provided in the supplementary documentation section. Letters of commitment that promise access to facilities or resources (such as data sets or databases) should also be provided.

6. **Other Specialized Information**

**RUI Proposals:** PIs from predominantly undergraduate institutions should include a Research in Undergraduate Institutions (RUI) Impact Statement and Certification of RUI Eligibility in this section.

**GOALI proposals:** PIs submitting GOALI proposals should include industry-university agreement letters on intellectual property in this section.

**7. No other supplementary documents, except as permitted by the Grant Proposal Guide, are allowed.** In particular, other letters not included in (5) above should not be submitted as they are not a standard component of an NSF proposal.

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**B. Budgetary Information**

**Cost Sharing:** Inclusion of voluntary committed cost sharing is prohibited

**C. Due Dates**

- **Submission Window Date(s) (due by 5 p.m. proposer's local time):**
  - September 20, 2012 - October 09, 2012
  - September 15 - September 30, Annually Thereafter
    - MEDIUM Projects
    - November 01, 2012 - November 30, 2012
    - November 1 - November 30, Annually Thereafter
      - LARGE Projects
      - December 03, 2012 - December 17, 2012
      - December 3 - December 17, Annually Thereafter
        - SMALL Projects

**D. FastLane/Grants.gov Requirements**
For Large and relevant Medium proposals, reviewers will be asked to:

**Additional Solicitation Specific Review Criteria**

The solicitation, will be evaluated under the Broader Impacts criterion. Mentoring activities provided to postdoctoral researchers supported on the project, as described in a one-page supplementary document, will be evaluated under the Broader Impacts criterion. Examples illustrating activities likely to demonstrate broader impacts are available electronically on the NSF website at: http://www.nsf.gov/pubs/gpg/broaderimpacts.pdf.

All NSF proposals are evaluated through use of the two National Science Board (NSB)-approved merit review criteria: intellectual merit and the broader impacts of the proposed effort. In some instances, however, NSF will employ additional criteria as required to accomplish the project objectives.

**VI. NSF PROPOSAL PROCESSING AND REVIEW PROCEDURES**

Proposals received by NSF are assigned to the appropriate NSF program where they will be reviewed if they meet NSF proposal preparation requirements. All proposals are carefully reviewed by a scientist, engineer, or educator serving as an NSF Program Officer, and usually by three to ten other persons outside NSF who are experts in the particular fields represented by the proposal. These reviewers are selected by Program Officers charged with the oversight of the review process. Proposers are invited to suggest names of persons they believe are especially well qualified to review the proposal and/or persons they would prefer not to review the proposal. These suggestions may serve as one source in the reviewer selection process at the Program Officer’s discretion. Submission of such names, however, is optional. Care is taken to ensure that reviewers have no conflicts of interest with the proposal.

**A. NSF Merit Review Criteria**

All NSF proposals are evaluated through use of the two National Science Board (NSB)-approved merit review criteria: intellectual merit and the broader impacts of the proposed effort. In some instances, however, NSF will employ additional criteria as required to highlight the specific objectives of certain programs and activities.

The two NSB-approved merit review criteria are listed below. The criteria include considerations that help define them. These considerations are suggestions and not all will apply to any given proposal. While proposers must address both merit review criteria, reviewers will be asked to address only those considerations that are relevant to the proposal being considered and for which the reviewer is qualified to make judgments.

**What is the intellectual merit of the proposed activity?**

- How important is the proposed activity to advancing knowledge and understanding within its own field or across different fields?
- How well qualified is the proposer (individual or team) to conduct the project? (If appropriate, the reviewer will comment on the quality of the prior work.) To what extent does the proposed activity suggest and explore creative, original, or potentially transformative concepts? How well conceived and organized is the proposed activity? Is there sufficient access to resources?

**What are the broader impacts of the proposed activity?**

- How well does the activity advance discovery and understanding while promoting teaching, training, and learning?
- How well does the proposed activity broaden the participation of underrepresented groups (e.g., gender, ethnicity, disability, geographic, etc.)? To what extent will it enhance the infrastructure for research and education, such as facilities, instrumentation, networks, and partnerships? Will the results be disseminated broadly to enhance scientific and technological understanding? What may be the benefits of the proposed activity to society?


Mentoring activities provided to postdoctoral researchers supported on the project, as described in a one-page supplementary document, will be evaluated under the Broader Impacts criterion.

**Additional Solicitation Specific Review Criteria**

For Large and relevant Medium proposals, reviewers will be asked to:

- Comment on the extent to which the project scope justifies the level of investment requested, and the degree to which the Collaboration Plan (if required) adequately demonstrates that the participating investigators will work synergistically to accomplish the project objectives.
A summary rating and accompanying narrative will be completed and submitted by each reviewer. In all cases, reviews are treated as confidential documents. Verbatim copies of reviews, excluding the names of the reviewers, are sent to the Principal Investigator/Project Director by the Program Officer. In addition, the proposer will receive an explanation of the decision to award or decline funding.

In all cases, after programmatic approval has been obtained, the proposals recommended for funding will be forwarded to the Division of Grants and Agreements for review of business, financial, and policy implications and the processing and issuance of a grant or other agreement. Proposers are cautioned that only a Grants and Agreements Officer may make commitments, obligations or awards on behalf of NSF or authorize the expenditure of funds. No commitment on the part of NSF should be inferred from technical or budgetary discussions with a NSF Program Officer. A Principal Investigator or organization that makes financial or personnel commitments in the absence of a grant or cooperative agreement signed by the NSF Grants and Agreements Officer does so at their own risk.

VII. AWARD ADMINISTRATION INFORMATION

A. Notification of the Award

Notification of the award is made to the submitting organization by a Grants Officer in the Division of Grants and Agreements. Organizations whose proposals are declined will be advised promptly by the cognizant NSF Program administering the program. Verbatim copies of reviews, excluding the identity of the reviewer, will be provided automatically to the Principal Investigator. (See Section VI.B. for additional information on the review process.)

B. Award Conditions

An NSF award consists of: (1) the award letter, which includes any special provisions applicable to the award and any numbered amendments thereto; (2) the budget, which indicates the amounts, by categories of expense, on which NSF has based its support or awards on behalf of NSF or authorize the expenditure of funds. No commitment on the part of NSF should be inferred from technical or budgetary discussions with a NSF Program Officer. A Principal Investigator or organization that makes financial or personnel commitments in the absence of a grant or cooperative agreement signed by the NSF Grants and Agreements Officer does so at their own risk.

*These documents may be accessed electronically on NSF's Website at http://www.nsf.gov/awards/managing/award_conditions.jsp?org=NSF. Paper copies may be obtained from the NSF Publications Clearinghouse, telephone (703) 292-7827 or by e-mail from nsfpubs@nsf.gov.


C. Reporting Requirements

For all multi-year grants (including both standard and continuing grants), the Principal Investigator must submit an annual project report to the cognizant Program Officer at least 90 days before the end of the current budget period. (Some programs or awards
require more frequent project reports). Within 90 days after expiration of a grant, the PI also is required to submit a final project report, and a project outcomes report for the general public.

Failure to provide the required annual or final project reports, or the project outcomes report will delay NSF review and processing of any future funding increments as well as any pending proposals for that PI. PIs should examine the formats of the required reports in advance to assure availability of required data.

PIs are required to use NSF’s electronic project-reporting system, available through FastLane, for preparation and submission of annual and final project reports. Such reports provide information on activities and findings, project participants (individual and organizational), publications, and other specific products and contributions. PIs will not be required to re-enter information previously provided, either with a proposal or in earlier updates using the electronic system. Submission of the report via FastLane constitutes certification by the PI that the contents of the report are accurate and complete. The project outcomes report must be prepared and submitted using Research.gov. This report serves as a brief summary, prepared specifically for the public, of the nature and outcomes of the project. This report will be posted on the NSF website exactly as it is submitted by the PI.

VIII. AGENCY CONTACTS

Please note that the program contact information is current at the time of publishing. See program website for any updates to the points of contact.

General inquiries regarding this program should be made to:

- Balasubramanian Kalyanasundaram, Point of Contact, Algorithmic Foundations, 1115, telephone: (703) 292-8910, email: bkalyana@nsf.gov
- John H. Cozzens, Point of Contact, Communications and Information Foundations, 1115, telephone: (703) 292-8910, email: jcozzens@nsf.gov
- Nina Amla, Point of Contact, Software and Hardware Foundations, 1115, telephone: (703) 292-8910, email: namla@nsf.gov

For questions related to the use of FastLane, contact:

- FastLane Help Desk, telephone: 1-800-673-6188; e-mail: fastlane@nsf.gov.

For questions relating to Grants.gov contact:

- Grants.gov Contact Center: If the Authorized Organizational Representatives (AOR) has not received a confirmation message from Grants.gov within 48 hours of submission of application, please contact via telephone: 1-800-518-4726; e-mail: support@grants.gov.

In addition to the Program Officers identified as program points of contact above, the following CCF Program Officers also support CCF core programs as indicated below:

Algorithmic Foundations (AF)
- Mitra Basu, (703) 292-8910, mbasu@nsf.gov, Room 1115
- Dmitry Maslov, (703) 292-8910, dmaslov@nsf.gov, Room 1115

Communications and Information Foundations (CIF)
- Phillip Regalia, (703) 292-8910, pregalia@nsf.gov, Room 1115

Software and Hardware Foundations (SHF)
- Sankar Basu, (703) 292-8910, sbasu@nsf.gov, Room 1115
- Almadena ChitkaleKanova, (703) 292-8910, achchit@nsf.gov, Room 1115
- Sol Greenspan, (703) 292-8910, sgreensp@nsf.gov, Room 1115
- Hong Jiang, (703) 292-8910, hjiang@nsf.gov, Room 1115
- John Reppy, (703) 292-8910, jreppy@nsf.gov, Room 1115

IX. OTHER INFORMATION

The NSF Website provides the most comprehensive source of information on NSF Directorates (including contact information), programs and funding opportunities. Use of this Website by potential proposers is strongly encouraged. In addition, National Science Foundation Update is a free e-mail subscription service designed to keep potential proposers and other interested parties apprised of new NSF funding opportunities and publications, important changes in proposal and award policies and procedures, and upcoming NSF Regional Grants Conferences. Subscribers are informed through e-mail when new publications are issued that match their identified interests. Users can subscribe to this service by clicking the “Get NSF Updates by Email” link on the NSF web site.

Grants.gov provides an additional electronic capability to search for Federal government-wide grant opportunities. NSF funding opportunities may be accessed via this new mechanism. Further information on Grants.gov may be obtained at http://www.grants.gov.

In addition to the coordinated solicitation discussed in this document, NSF provides funding opportunities for the computing community via the following programs and their solicitations:

Discovery Research Programs

CAREER: Faculty Early Career Development,
CISE-MPS Interdisciplinary Faculty Program in Quantum Information Science,  

Collaborative Research in Computational Neuroscience (CRCNS),  
http://nsf.gov/funding/pgm_summ.jsp?pims_id=504743&org=CISE&from=home

Core Techniques and Technologies for Advancing Big Data Science and Engineering (BIGDATA),  
http://www.nsf.gov/funding/pgm_summ.jsp?pims_id=504767&org=CISE&from=home

Cyber-Physical Systems (CPS),  

Engineering Research Centers (ERCs),  

Expeditions in Computing,  

Grant Opportunities for Academic Liaison with Industry (GOALI),  
http://www.nsf.gov/funding/pgm_summ.jsp?pims_id=504699

**Industry/University Cooperative**

Research Centers Program (I/UCRC),  
http://www.nsf.gov/funding/pgm_summ.jsp?pims_id=5501&org=CISE&sel_org=CISE&from=fund

Innovation Corps Program (I-Corps),  

National Robotics Initiative (NRI),  
http://www.nsf.gov/funding/pgm_summ.jsp?pims_id=503641

Partnerships for International Research and Education (PIRE),  
http://www.nsf.gov/funding/pgm_summ.jsp?pims_id=12819&org=CISE&sel_org=CISE&from=fund

Research in Undergraduate Institutions (RUI),  
http://www.nsf.gov/funding/pgm_summ.jsp?pims_id=5518&org=CISE&sel_org=CISE&from=fund

Science and Technology Centers (STCs),  
http://www.nsf.gov/funding/pgm_summ.jsp?pims_id=5541&org=CISE&sel_org=CISE&from=fund

Science, Engineering and Education for Sustainability (SEES),  
http://www.nsf.gov/funding/pgm_summ.jsp?pims_id=504707

Science of Learning Centers (SLCs),  

Secure and Trustworthy Cyberspace (SaTC),  
http://www.nsf.gov/funding/pgm_summ.jsp?pims_id=504709

Smart Health and Wellbeing (SHB),  
http://www.nsf.gov/funding/pgm_summ.jsp?pims_id=504739

**Education and Workforce Development Programs**

ADVANCE: Increasing the Participation and Advancement of Women in Academic Science and Engineering Careers,  
http://www.nsf.gov/funding/pgm_summ.jsp?pims_id=5383&from=fund

Advanced Technological Education(ATE),  
http://www.nsf.gov/funding/pgm_summ.jsp?pims_id=5464

Computing Education for the 21st Century (CE21),  
http://www.nsf.gov/funding/pgm_summ.jsp?pims_id=503582&org=CNS&from=home

Cyberlearning: Transforming Education (CTE):  

Discovery Research K-12 (DR-K12),  
http://www.nsf.gov/funding/pgm_summ.jsp?pims_id=500047&org=EHR&sel_org=EHR&from=fund

Federal Cyber Service: Scholarship for Service (SFS),  
http://www.nsf.gov/funding/pgm_summ.jsp?pims_id=5228

Graduate Research Fellowships (GRF),  
http://www.nsf.gov/funding/pgm_summ.jsp?pims_id=6201&org=DGE&from=home

Integrative Graduate Education and Research Training (IGERT),  
http://www.nsf.gov/funding/pgm_summ.jsp?pims_id=12759

Information Technology Experiences for Students and Teachers(ITEST),  
http://www.nsf.gov/funding/pgm_summ.jsp?pims_id=5467&org=EHR&sel_org=EHR&from=fund

International Research Experiences for Students (IRES),  
http://www.nsf.gov/funding/pgm_summ.jsp?pims_id=12831&org=CISE&sel_org=CISE&from=fund

NSF Scholarships in Science, Technology, Engineering, and Mathematics(S-STEM),  
http://www.nsf.gov/funding/pgm_summ.jsp?pims_id=5257&org=EHR&sel_org=EHR&from=fund

Research Experiences for Teachers (RET) in Engineering and Computer Science,  
http://www.nsf.gov/funding/pgm_summ.jsp?pims_id=5736

Research Experiences for Undergraduates (REU) Sites and Supplements,
Science, Technology, Engineering, and Mathematics Talent Expansion Program (STEP),
http://www.nsf.gov/funding/pgm_summ.jsp?pims_id=5517&from=fund

Transforming Undergraduate Education in Science (TUES),
http://www.nsf.gov/funding/pgm_summ.jsp?pims_id=5741

Research Infrastructure Programs

CISE Computing Research Infrastructure (CRI),

Major Research Infrastructure (MRI),

Software Infrastructure for Sustained Innovation (SI²),

For more information on these programs, please consult the NSF web site.

ABOUT THE NATIONAL SCIENCE FOUNDATION

The National Science Foundation (NSF) is an independent Federal agency created by the National Science Foundation Act of 1950, as amended (42 USC 1861-75). The Act states the purpose of the NSF is "to promote the progress of science; [and] to advance the national health, prosperity, and welfare by supporting research and education in all fields of science and engineering."

NSF funds research and education in most fields of science and engineering. It does this through grants and cooperative agreements to more than 2,000 colleges, universities, K-12 school systems, businesses, informal science organizations and other research organizations throughout the US. The Foundation accounts for about one-fourth of Federal support to academic institutions for basic research.

NSF receives approximately 55,000 proposals each year for research, education and training projects, of which approximately 11,000 are funded. In addition, the Foundation receives several thousand applications for graduate and postdoctoral fellowships. The agency operates no laboratories itself but does support National Research Centers, user facilities, certain oceanographic vessels and Arctic and Antarctic research stations. The Foundation also supports cooperative research between universities and industry, US participation in international scientific and engineering efforts, and educational activities at every academic level.

Facilitation Awards for Scientists and Engineers with Disabilities provide funding for special assistance or equipment to enable persons with disabilities to work on NSF-supported projects. See Grant Proposal Guide Chapter II, Section D.2 for instructions regarding preparation of these types of proposals.

The National Science Foundation has Telephonic Device for the Deaf (TDD) and Federal Information Relay Service (FIRS) capabilities that enable individuals with hearing impairments to communicate with the Foundation about NSF programs, employment or general information. TDD may be accessed at (703) 292-5090 and (800) 281-8749, FIRS at (800) 877-8339.

The National Science Foundation Information Center may be reached at (703) 292-5111.

The National Science Foundation promotes and advances scientific progress in the United States by competitively awarding grants and cooperative agreements for research and education in the sciences, mathematics, and engineering.

To get the latest information about program deadlines, to download copies of NSF publications, and to access abstracts of awards, visit the NSF Website at http://www.nsf.gov

- **Location:** 4201 Wilson Blvd. Arlington, VA 22230
- **For General Information**
  - NSF Information Center:
  - TDD (for the hearing-impaired):
  - To Order Publications or Forms:
    - Send an e-mail to: nsfpubs@nsf.gov
    - or telephone: (703) 292-7827
  - To Locate NSF Employees:
    - (703) 292-5111

PRIVACY ACT AND PUBLIC BURDEN STATEMENTS

The information requested on proposal forms and project reports is solicited under the authority of the National Science Foundation Act of 1950, as amended. The information on proposal forms will be used in connection with the selection of qualified proposals; and project reports submitted by awardees will be used for program evaluation and reporting within the Executive Branch and to Congress. The information requested may be disclosed to qualified reviewers and staff assistants as part of the proposal review process; to proposer institutions/grantees to provide or obtain data regarding the proposal review process, award decisions, or the
administration of awards; to government contractors, experts, volunteers and researchers and educators as necessary to complete assigned work; to other government agencies or other entities needing information regarding applicants or nominees as part of a joint application review process, or in order to coordinate programs or policy; and to another Federal agency, court, or party in a court or Federal administrative proceeding if the government is a party. Information about Principal Investigators may be added to the Reviewer file and used to select potential candidates to serve as peer reviewers or advisory committee members. See Systems of Records, NSF-50, "Principal Investigator/Proposal File and Associated Records," 69 Federal Register 26410 (May 12, 2004), and NSF-51, "Reviewer/Proposal File and Associated Records," 69 Federal Register 26410 (May 12, 2004). Submission of the information is voluntary. Failure to provide full and complete information, however, may reduce the possibility of receiving an award.

An agency may not conduct or sponsor, and a person is not required to respond to, an information collection unless it displays a valid Office of Management and Budget (OMB) control number. The OMB control number for this collection is 3145-0058. Public reporting burden for this collection of information is estimated to average 120 hours per response, including the time for reviewing instructions. Send comments regarding the burden estimate and any other aspect of this collection of information, including suggestions for reducing this burden, to:

Suzanne H. Plimpton
Reports Clearance Officer
Division of Administrative Services
National Science Foundation
Arlington, VA 22230