

The supplemental request will include:

- The commercial opportunity, including the ultimate commercial application of the technology.
- The proposed commercialization activities to be undertaken with support from the service provider. These activities should be a logical extension of the Phase II commercialization plan that was submitted in the Phase II proposal.
- The expected outcomes of the proposed activities.
- A brief profile of the service provider, including a discussion of the provider's qualifications and track record.
- Letter of commitment or statement of work from the service provider describing the services to be provided and clearly defined, agreed-upon deliverables with measurable success metrics.

Budgetary Information: Budget for a CAP supplemental funding request may not exceed \$10,000 per Phase II award.

Submission Deadlines: Only active Phase II awards are eligible to receive a CAP supplement. Phase II awardees are strongly encouraged to submit their CAP supplemental funding requests within 12 months of the effective start date of the Phase II award. Phase II awardees are not eligible to apply for a CAP supplement within 45 days prior to expiration of their award. However, a Program Director may, at his or her discretion, modify the submission deadline.

REVIEW INFORMATION

Requests for supplemental funding submitted in response to this Dear Colleague Letter will be reviewed internally by the cognizant SBIR/STTR Program Director. The review will focus on the commercialization objectives in the supplemental funding request as well as the expected commercialization outputs of the proposed activity.

REPORTING REQUIREMENTS

The activities undertaken and results obtained under the CAP supplement must be reported in the interim and final reports for the Phase II award.

CONTACT PERSONNEL

Questions about this letter should be directed to the cognizant SBIR/STTR Program Director in the Division of Industrial Innovation and Partnerships, Directorate for Engineering.

OTHER SUPPLEMENTAL OPPORTUNITIES OF INTEREST

All active SBIR/STTR Phase II grantees are invited to participate in other supplemental opportunities related to strategic partners and outside investors, the Phase II TECP and the Phase IIB programs (reference: <http://www.nsf.gov/eng/iip/sbir/Supplement/index.jsp>). Through these supplements, NSF seeks to stimulate strategic partnerships between small businesses and potential commercial partners and/or private sector investors to enable NSF SBIR/STTR grantees to successfully commercialize their technologies.