# Division of Environmental Biology (core programs) (DEB)

# **PROGRAM SOLICITATION**

NSF 21-504

# REPLACES DOCUMENT(S): NSF 20-502



#### **National Science Foundation**

Directorate for Biological Sciences Division of Environmental Biology

#### Full Proposal Deadline(s):

Proposals Accepted Anytime

#### **IMPORTANT INFORMATION AND REVISION NOTES**

#### IMPORTANT INFORMATION

Innovating and migrating proposal preparation and submission capabilities from FastLane to Research.gov is part of the ongoing NSF information technology modernization efforts, as described in Important Notice No. 147. In support of these efforts, the Directorate for Biological Sciences (BIO) is now requiring the use of Research.gov for the preparation and submission of proposals in response to its core programs that do not have deadline dates (see Dear Colleague Letter NSF 20-129). As such, full research proposals submitted in response to this program solicitation must be prepared and submitted via Research.gov. Proposals also may continue to be submitted via use of Grants.gov.

NSF is taking proactive steps to move the preparation and submission of all proposals from FastLane to Research.gov, however until capabilities are fully implemented, the other types of proposals outlined in Chapter II.E of the NSF Proposal & Award Policies & Procedures Guide (PAPPG), as well as accomplishment-based renewal proposals, must be prepared and submitted via FastLane or Grants.gov in accordance with the applicable guidance contained in the PAPPG or the NSF Grants.gov Application Guide.

#### **REVISION NOTES**

The description of the Bridging Ecology and Evolution (BEE) special category has been revised.

RoL Track: The Rules of Life (RoL) track is no longer a part of this solicitation. A new separate opportunity centered on The Rules of Life Track (RoL) is forthcoming. Sign up for NSF Updates to be notified when it is released.

Full research proposals submitted in response to this program solicitation can no longer be prepared and submitted via FastLane.

Any proposal submitted in response to this solicitation should be submitted in accordance with the NSF Proposal & Award Policies & Procedures Guide (PAPPG).

# **SUMMARY OF PROGRAM REQUIREMENTS**

## **General Information**

# Program Title:

Division of Environmental Biology (DEB) Core programs

#### Synopsis of Program:

The Division of Environmental Biology (DEB) Core supports research and training on evolutionary and ecological processes acting at the level of populations, species, communities, and ecosystems. DEB encourages research that elucidates fundamental principles that identify and explain the unity and diversity of life and its interactions with the environment over space and time. Research may incorporate field, laboratory, or collection-based approaches; observational or manipulative studies; synthesis activities; phylogenetic discovery projects; or theoretical approaches involving analytical, statistical, or computational modeling. Proposals should be submitted to the core clusters (Ecosystem Sciences, Evolutionary Processes, Population and Community Ecology, and Systematics and Biodiversity Sciences). DEB also encourages interdisciplinary proposals that cross conceptual boundaries and integrate over levels of biological organization or across multiple

spatial and temporal scales. Research addressing ecology and ecosystem science in the marine biome should be directed to the Biological Oceanography Program in the Division of Ocean Sciences; research addressing evolution and systematics in the marine biome should be directed to the Evolutionary Processes or Systematics and Biodiversity Science programs in DEB.

All DEB programs also encourage proposals that leverage NSF-supported data networks, databases, centers, and other forms of scientific infrastructure, including but not limited to the National Ecological Observatory Network (NEON), Long-Term Ecological Research (LTER), Environmental Data Initiative (EDI), and Integrated Digitized Biocollections (iDigBio).

#### Cognizant Program Officer(s):

Please note that the following information is current at the time of publishing. See program website for any updates to the points of contact.

• Division of Environmental Biology, telephone: (703) 292-8480, email: debquestions@nsf.gov

#### Applicable Catalog of Federal Domestic Assistance (CFDA) Number(s):

• 47.074 --- Biological Sciences

## **Award Information**

Anticipated Type of Award: Standard Grant or Continuing Grant

**Estimated Number of Awards:** 120 each year, pending availability of funds.

Anticipated Funding Amount: \$100,000,000

for new awards each year pending availability of funds.

## **Eligibility Information**

#### **Who May Submit Proposals:**

Proposals may only be submitted by the following:

- Institutions of Higher Education (IHEs) Two- and four-year IHEs (including community colleges) accredited in, and having a campus located in the US, acting on behalf of their faculty members. Special Instructions for International Branch Campuses of US IHEs: If the proposal includes funding to be provided to an international branch campus of a US institution of higher education (including through use of subawards and consultant arrangements), the proposer must explain the benefit(s) to the project of performance at the international branch campus, and justify why the project activities cannot be performed at the US campus.
- Non-profit, non-academic organizations: Independent museums, observatories, research labs, professional societies and similar organizations in the U.S. associated with educational or research activities.

## Who May Serve as PI:

There are no restrictions or limits.

## Limit on Number of Proposals per Organization:

There are no restrictions or limits.

#### Limit on Number of Proposals per PI or Co-PI:

There are no restrictions or limits.

## **Proposal Preparation and Submission Instructions**

## A. Proposal Preparation Instructions

· Letters of Intent: Not required

• Preliminary Proposal Submission: Not required

• Full Proposals:

- Full Proposals submitted via Research.gov: NSF Proposal and Award Policies and Procedures Guide (PAPPG) guidelines apply. The
  complete text of the PAPPG is available electronically on the NSF website at: https://www.nsf.gov/publications/pub\_summ.jsp?
  ods key=pappg.
- Full Proposals submitted via Grants.gov: NSF Grants.gov Application Guide: A Guide for the Preparation and Submission of NSF Applications via Grants.gov guidelines apply (Note: The NSF Grants.gov Application Guide is available on the Grants.gov website and on the NSF website at: https://www.nsf.gov/publications/pub\_summ.jsp?ods\_key=grantsgovguide).

#### **B. Budgetary Information**

## **Cost Sharing Requirements:**

Inclusion of voluntary committed cost sharing is prohibited.

Indirect Cost (F&A) Limitations:

Not Applicable

Other Budgetary Limitations:

Not Applicable

#### C. Due Dates

• Full Proposal Deadline(s):

Proposals Accepted Anytime

# **Proposal Review Information Criteria**

#### Merit Review Criteria:

National Science Board approved criteria. Additional merit review criteria apply. Please see the full text of this solicitation for further information.

#### **Award Administration Information**

#### **Award Conditions:**

Standard NSF award conditions apply.

#### Reporting Requirements:

Standard NSF reporting requirements apply.

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#### I. INTRODUCTION

The Division of Environmental Biology (DEB) Core Track supports research and training on evolutionary and ecological processes acting at the level of populations, species, communities, and ecosystems. DEB encourages research that elucidates fundamental principles that identify and explain the unity and diversity of life and its interactions with the environment over space and time. Research may incorporate field, laboratory, or collection-based approaches; observational or manipulative studies; synthesis activities; phylogenetic discovery projects; or theoretical approaches involving analytical, statistical, or computational modeling. Proposals should be submitted to the core clusters (Ecosystem Sciences, Evolutionary Processes, Population and Community Ecology, Systematics and Biodiversity Sciences). DEB also encourages interdisciplinary proposals that cross conceptual boundaries and integrate over levels of biological organization, or across multiple spatial and temporal scales. Research addressing ecology and ecosystem science in the marine biome should be directed to the Biological Oceanography Program in the Division of Ocean Sciences; research addressing evolution and systematics in the marine biome should be directed to the Evolutionary Processes or Systematics and Biodiversity Science programs in DEB.

All DEB programs also encourage proposals that leverage NSF-supported data networks, data bases, centers, and other forms of scientific infrastructure, including but not limited to the National Ecological Observatory Network (NEON), Long-Term Ecological Research (LTER) Environmental Data Initiative (EDI), and Integrated Digitized Biocollections (iDigBio).

DEB is particularly interested in increasing the participation of underrepresented groups in biological research and education such as women, persons with disabilities, and underrepresented minorities [1] [2], and those from geographically underrepresented areas in science, technology, engineering, and mathematics (STEM). Proposals submitted to any program described in this solicitation are strongly encouraged to involve Pls, co-Pls, postdoctoral fellows, students, and other personnel who are members of these groups. Proposers are also strongly encouraged to consider involving veterans of the U.S. Armed Forces as part of NSF's broader effort to promote veteran involvement in STEM research and education.

[1] https://ncses.nsf.gov/pubs/nsf19304/digest/introduction

[2] https://www.nsf.gov/od/oia/activities/ceose/reports/CEOSE ReportToCongress RP FVmp 508.pdf

# **II. PROGRAM DESCRIPTION**

#### Proposals are welcome in all areas of science supported by the Division of Environmental Biology.

Ecosystem Science Cluster (ES): https://www.nsf.gov/funding/pgm\_summ.jsp?pims\_id=503663&org=DEB&from=home

Evolutionary Processes Cluster (EP): https://www.nsf.gov/funding/pgm\_summ.jsp?pims\_id=503664&org=DEB&from=home

Population and Community Ecology Cluster (PCE): https://www.nsf.gov/funding/pgm\_summ.jsp?pims\_id=503665&org=DEB&from=home

Systematics and Biodiversity Science Cluster (SBS): https://www.nsf.gov/funding/pgm\_summ.jsp?pims\_id=503666&org=DEB&from=home

#### Special Categories Included in the DEB Core Track

#### 1. Bridging Ecology and Evolution (BEE)

The BEE category is intended to support research that innovatively integrates the fields of Ecology and Evolution, including, but not limited to, questions in eco-evolutionary dynamics, historical community ecology, macroecology, macroevolution, biogeography or ecosystem-level consequences of evolution. Proposals that seek to understand feedbacks between ecological and evolutionary processes, or that use concepts in one field to motivate novel questions and analyses in the other are particularly encouraged. Studies should aim to address questions that integrate across the ecological and evolutionary core programs in DEB. The title of such proposals should begin with the acronym "BEE:" and be submitted to any of the DEB clusters (Ecosystem Sciences, Population and Community Ecology, Evolutionary Processes, Systematics and Biodiversity Science). Proposals seeking to Bridge Ecology and Evolution in the marine biome should be submitted to either the SBS or EP cluster, with the Biological Oceanography program in the Division of Ocean Sciences, Directorate for Geosciences, selected as an additional program.

Prior to submission it is advisable to contact a program officer to discuss the fit of your proposal to the BEE category.

#### 2. Small Grants

The Division welcomes proposals for Small Grants to the core programs via this solicitation. Projects with total budgets of \$200,000 or less should be identified as such with the designation "SG:" as a prefix to the project title in the full proposal. These awards are intended to support full-fledged research projects that simply require smaller budgets. Small Grant projects will be assessed based on the same merit review criteria as all other proposals. Research Experiences for Undergraduates (REU) projects can be requested as part of the full proposal for a Small Grant. Funds requested for REU educational supplements can be in addition to the \$200,000 funding limit for SG projects. Small Grants are also eligible to request post-award educational supplements for REU, Research Experience for Teachers (RET), Research Assistantships for High School Students (RAHSS), and Research Opportunity Award (ROA) projects as described on the DEB supplement request website.

## 3. NERC and BSF International Collaborative Proposals

The core programs will accept proposals for international collaborative research under two separate agreements for joint review between: 1) NSF and the Natural Environment Research Council (NERC) of UK Research and Innovation (UKRI) and 2) NSF and the U.S. Israel Binational Science Foundation (BSF). Submission instructions for both opportunities are detailed below.

International collaborative proposals are expected to adhere to the eligibility requirements, remit, funding limits, and grant durations for the agency from which funding is sought (NSF, BSF or NERC) and must represent an integrated collaborative effort.

If an international collaborative proposal is awarded, the U.S. researchers will receive funding from NSF and the international researchers will receive funding from the international partner agency. Questions regarding these activities can be directed to NSFDEB-NERC@nsf.gov or NSFDEB-BSF@nsf.gov respectively. These agreements do not preclude other international collaborations.

#### Submission of NSF & NERC Collaborative proposals

Proposers designate a "lead" agency, either NSF or NERC, based on where the largest proportion of the research lies. The title of the proposal should begin with "NSFDEB-NERC:" followed with the substantive title. The lead agency will carry out the review. While not identical in their review process, both the NSF and NERC ask reviewers to evaluate proposed projects on the basis of scientific/intellectual merit as well as broader societal impacts. Proposers are encouraged to confirm their lead agency designation at international@nerc.ac.uk for NERC and NSFDEB-NERC@nsf.gov for NSF/DEB.

Proposers must follow the submission guidelines and deadlines for the lead agency, and adhere to the NERC submission limits.

For NSF-lead proposals, applicants are required to submit an email to NSFDEB-NERC@nsf.gov ahead of the planned proposal submission. This email

should be jointly prepared by US and UK researchers, and provide a clear outline of the research proposed, a listing of senior personnel, and a bottom line budget for both US and UK researchers. This information will be shared with NERC to check for eligibility (whether the proposed research fits within the participating agencies' remit and whether the proposed researchers and institutions meet eligibility requirements).

NERC-lead proposals are accepted under either the Standard Research Grants or Large Research Grants scheme for NERC Discovery Science and should follow the NERC guidelines for applicants (http://www.nerc.ac.uk/funding/available/researchgrants/international/). For Standard Research Grants applicants are required to submit a Letter of Intent (LOI) to international@nerc.ac.uk three months ahead of the July standard grant full proposal closing date to which the applicants plan to submit. For Large Research Grants an outline proposal must be submitted via the Je-S system in accordance with usual large grant outline closing date and requirements. Note: For both NERC-lead Standard and Large Research Grant proposals, the NSF (non-lead) portion of the project budget cannot exceed \$500,000.

## Submission of NSF & BSF Collaborative proposals

Detailed submission instructions are described in the Dear Colleague Letter NSF 20-094. NSF is always designated as the "lead" agency and NSF will conduct the review of these submissions. The title of the proposal should begin with "NSFDEB-BSF:" followed with the substantive title. The Israeli investigator(s) must submit a parallel proposal to BSF in accordance with BSF guidelines for applicants (http://www.bsf.org.il/ElectronicSubmission/GatewayFormsAndGuidelines.aspx).

All NERC-Collaborative and BSF-Collaborative submissions to DEB will be reviewed alongside other proposals received in the same review period.

## Information for Other Types of Proposals under this Solicitation

In addition to the regular research proposals sought under this solicitation, the clusters/programs support a variety of other Foundation-wide activities:

- Faculty Early Career Development Program (CAREER) proposals may be submitted to any of the clusters/programs described in this
  solicitation but must be submitted by the deadlines listed in the CAREER solicitation and follow the proposal preparation guidance in that
  solicitation.
- Research Coordination Networks (RCN), and Research at Undergraduate Institutions (RUI) proposals may be submitted at any time, to any of
  the clusters/programs described in this solicitation but must follow the proposal preparation guidance in those solicitations.
- This solicitation will accept Renewal and Accomplishment Based Renewal (ABR) Proposals. Information on eligibility, scope, and format for Renewal and ABR submissions can be found in the PAPPG. If you are considering an ABR submission you are strongly advised to contact a Program Officer prior to submission.
- Grants for Rapid Response Research (RAPID), Early-concept Grants for Exploratory Research (EAGER), Research Advanced by Interdisciplinary Science and Engineering (RAISE), Grant Opportunities for Academic Liaison with Industry (GOALI), and proposals for Travel or Conferences support, including workshops, can be submitted at any time to any of the clusters/programs described in this solicitation. These types of proposals should be submitted in accordance with the guidance in the PAPPG. Conference and Travel proposals should be submitted at least 6 months before the start date of the conference or workshop; you are strongly advised to contact a Program Officer prior to submission. Note that before submitting RAPID, EAGER, or RAISE proposals you must receive approval from a Program Officer in the area of the proposal.

## **III. AWARD INFORMATION**

Estimated program budget, number of awards and average award size/duration are subject to the availability of funds. For FY 2021, it is estimated that \$100 million will be available to fund approximately 120 new awards.

## IV. ELIGIBILITY INFORMATION

# Who May Submit Proposals:

Proposals may only be submitted by the following:

- Institutions of Higher Education (IHEs) Two- and four-year IHEs (including community colleges) accredited in, and having a campus located in the US, acting on behalf of their faculty members. Special Instructions for International Branch Campuses of US IHEs: If the proposal includes funding to be provided to an international branch campus of a US institution of higher education (including through use of subawards and consultant arrangements), the proposer must explain the benefit(s) to the project of performance at the international branch campus, and justify why the project activities cannot be performed at the US campus.
- Non-profit, non-academic organizations: Independent museums, observatories, research labs, professional societies and similar organizations in the U.S. associated with educational or research activities.

## Who May Serve as PI:

There are no restrictions or limits.

Limit on Number of Proposals per Organization:

There are no restrictions or limits.

Limit on Number of Proposals per PI or Co-PI:

There are no restrictions or limits.

# Additional Eligibility Info:

Note that institution types, other than those listed in the "Who May Submit Proposals" section, are allowed to receive subawards through an eligible institution, but there are limitations on what can be supported by those subawards. The PI should discuss with a program officer any plans to incorporate a subaward to an institution not eligible to submit directly to this solicitation.

## V. PROPOSAL PREPARATION AND SUBMISSION INSTRUCTIONS

## A. Proposal Preparation Instructions

Full Proposal Preparation Instructions: Proposers may opt to submit proposals in response to this Program Solicitation via Research.gov or Grants.gov.

- Full Proposals submitted via Research.gov: Proposals submitted in response to this program solicitation should be prepared and submitted in accordance with the general guidelines contained in the NSF Proposal and Award Policies and Procedures Guide (PAPPG). The complete text of the PAPPG is available electronically on the NSF website at: https://www.nsf.gov/publications/pub\_summ.jsp?ods\_key=pappg. Paper copies of the PAPPG may be obtained from the NSF Publications Clearinghouse, telephone (703) 292-8134 or by e-mail from nsfpubs@nsf.gov. The Prepare New Proposal setup will prompt you for the program solicitation number.
- Full proposals submitted via Grants.gov: Proposals submitted in response to this program solicitation via Grants.gov should be prepared and submitted in accordance with the NSF Grants.gov Application Guide: A Guide for the Preparation and Submission of NSF Applications via Grants.gov. The complete text of the NSF Grants.gov Application Guide is available on the Grants.gov website and on the NSF website at: (https://www.nsf.gov/publications/pub\_summ.jsp?ods\_key=grantsgovguide). To obtain copies of the Application Guide and Application Forms Package, click on the Apply tab on the Grants.gov site, then click on the Apply Step 1: Download a Grant Application Package and Application Instructions link and enter the funding opportunity number, (the program solicitation number without the NSF prefix) and press the Download Package button. Paper copies of the Grants gov Application Guide also may be obtained from the NSF Publications Clearinghouse, telephone (703) 292-8134 or by e-mail from nsfpubs@nsf.gov.

In determining which method to utilize in the electronic preparation and submission of the proposal, please note the following:

Collaborative Proposals. All collaborative proposals submitted as separate submissions from multiple organizations must be submitted via Research.gov. PAPPG Chapter II.D.3 provides additional information on collaborative proposals.

See PAPPG Chapter II.C.2 for guidance on the required sections of a full research proposal submitted to NSF. Please note that the proposal preparation instructions provided in this program solicitation may deviate from the PAPPG instructions.

Cover Sheet: Research.gov Users: The Prepare New Proposal setup will prompt you for the program solicitation number (located on the first page of this document). From 'where to apply', select Directorate for Biological Sciences, DEB, and the appropriate DEB lead program. Grants.gov users: The program solicitation will be pre-populated by Grants.gov on the NSF Grant Application Cover Page. Refer to Section VI.1.2. of the NSF Grants.gov Application Guide for specific instructions on how to designate the NSF Unit of Consideration. Entries on the Cover Sheet are limited to the Principal Investigator and a maximum of four co-Principal Investigators. Beginning Investigators (individuals who have not been a Principal Investigator [PI] or co-Principal Investigator [co-PI] on a Federally-funded award with the exception of doctoral dissertation, postdoctoral fellowship or research planning grants) listed as Lead PI must check the box for "Beginning Investigator" on the proposal Cover Sheet.

Title of Proposed Project: If appropriate, the title should include acronyms for the following special tracks or categories preceding the substantive title:

- Bridging Ecology and Evolution "BEE:"Small Grants "SG:"
- Research in Undergraduate Institutions "RUI:"
- DEB-led NERC collaborations "NSFDEB-NERC:" DEB-led BSF collaborations "NSFDEB-BSF:"
- Advancing Revisionary and Taxonomic Systematics "ARTS:"
- Poorly Sampled and Unknown Taxa "PurSUiT:"
- Multi-institutional collaborative proposals should begin with "Collaborative Research:" followed by an optional acronym listed above and then by the substantive title.
- Accomplishments Based Renewal "ABR:"

Project Summary: The Project Summary should include the three sections required by the PAPPG (II.C.2.b): Overview, Intellectual Merit, and Broader Impacts.

Project Description: The Project Description is limited to 15 pages. In addition to the reporting requirement format described by the PAPPG (see Results from Prior NSF Support, II.C.2.d.iii), the Results from Prior NSF Support section must include evidence of deposition of samples, data and/or data products in recognized, accessible, community-accepted repositories by listing such repositories and, if practical, metadata. All publications, data, data products, programs and/or scripts that are specifically mentioned in the Results from Prior NSF Support section must be referenced in the References Cited section and must provide unique, resolvable and persistent identifiers (such as Digital Object Identifiers [DOIs]; Uniform Resource Locators (URLs), or similar). For BEE proposals, the discussion on Intellectual Merit must clearly state how and in what ways the proposed work will generate an advanced understanding of integrated ecological and evolutionary processes.

Biographical Sketches and Current and Pending Support: Biographical sketches and Current and Pending Support Statements should be submitted for all senior personnel in the full proposal, including U.K. or Israeli investigators if submitting a NERC or BSF collaborative proposal. Biographical sketches should follow the format described in the PAPPG. All senior personnel biographical sketches (including subawardees) should be placed in biographical sketch section of the proposal. Biographical sketches for post-doctoral fellows can optionally be included, but if included, must be added as Senior Personnel. No biographical sketches should be included in supplementary documents. Biographical sketches should not be included for anyone providing a "Letter of Collaboration".

Supplementary Documents: The following documents are uploaded as Supplementary Documents:

• Data Management Plan. The PAPPG (II.C.2.j) requires the inclusion of a Data Management Plan with all full proposal submissions. The Data

Management Plan can be no longer than two pages and must be inclusive of the entire project. All projects must ensure that data and biological materials are collected, archived, digitized, and made available using methods that allow current and future investigators to access data and material. Funded projects must disseminate project data broadly, using widely accepted electronic data standards, and a named publicly-accessible data site. Investigators are strongly encouraged to make use of appropriate community infrastructure for data management. The Directorate for Biological Sciences provides additional context and guidance to Pls on the preparation of Data Management Plans here: https://www.nsf.gov/bio/biodmp.jsp.

- Postdoctoral Research Mentoring Plan (if applicable). This one page document should describe the mentoring of all postdocs on the projects, including those at collaborating institutions.
- Research Experiences for Undergraduates (REU) (if applicable). Funds to support REUs should be included in the original proposal. A limited number of post-award supplements may be available if such activities were unforeseen at the time of submission and the request broadens participation in STEM fields (as described in the DEB supplement request website: https://www.nsf.gov/bio/deb/suppopp.jsp). Eligibility for post-award educational supplements for REU, REU, RAHSS, and ROA projects is described on the DEB supplement request website.

  REU Submission Guidance. The descriptions of proposed REU activities should be included in the Supplementary Documents. For REUs, follow the guidelines as described in the REU solicitation (NSF 19-582). REU projects must involve students in meaningful ways in ongoing research programs or in research projects specifically designed for the REU student. If the intent is to engage students as technicians, then an REU is not the appropriate support mechanism; instead, salary support should be entered on the Undergraduate Students line of the proposal budget. The description of the REU activities is limited to 3 pages. If multiple institutions on a collaborative proposal are requesting funds for REUs, all REU activities should be included in one 3-page supplementary document. All personnel costs, including stipends and/or travel should be placed in Participant Support Costs on the budget. Materials and supplies costs should be included under section G1 of the budget. A detailed breakdown of the budget must be included in the budget justification. Budgets for REUs are generally: \$6,000-8,000 per student. Funds requested for REU educational supplements can be in addition
- Letters of Collaboration. Supplementary Documents may include letters of collaboration from individuals or organizations that are integral to the proposed project but are neither senior personnel nor supported by subawards. This may include subsidiary involvement in some aspect of the project, cooperation on outreach efforts, or documentation of permission to access materials or data. Letters of collaboration must focus solely on affirming that the individual or organization is willing to collaborate on the project as specified in the Project Description or Facilities, Equipment and Other Resources section of the proposal. No additional description of research activities or endorsements of the potential value or significance of the project may be included. Each letter of collaboration must be signed by the designated collaborator. Requests to collaborators for letters of collaboration should be made by the PI well in advance of the planned proposal submission date because they must be included at the time of the proposal submission. The recommended template for letters of collaboration from the PAPPG (Chapter II.C.2.d(iv)) is provided below:

From:	<del></del>	
(Printed name of the individu	al collaborator or name of the organization and n	ame and position of the official submitting this memo)
		tor] entitled [insert the proposal title] is selected for funding by NSF, it is my intent or the Facilities, Equipment and Other Resources section of the proposal.
Signed:		
Organization:		
Date:		
Single Copy Documents		
must be provided for there should be a suse of the COA term  • Suggested Review expertise, email add	or each individual who has a biographical sketch is eparate space within Single Copy Documents to iplate.  yers. PIs are encouraged to provide a list of suggidresses, and URLs if available. Please ensure no	• •
For NERC or BSF Collabor	ative full proposals, two additional Supplement	ary documents and one additional Single Copy Document must be submitted.
Two Supplementary Docume	ents:	
1. Funding requested	from non-lead agency: A detailed breakdown of f	unding requested from non-lead agency, using the non-lead agency's budget form
a. For NERC, comp	elete and attach the form found at: http://www.ner	c.ac.uk/funding/available/researchgrants/international/uk-budget-form
partners must also s submit a budget wh 2. Institutional endorse	submit this information online as part of the paral en using the BSF system.	g.il/data/FormsToDownload/Budget_Page_NSF.xlsx. Important: The Israeli lel submission to BSF. Completing this form does not replace the requirement to ubmission must be a signed letter from an authorized institutional representative
Template to be used for insti	tutional endorsement:	
"I confirm on behalf of	[insert name of institution] that the	[pick one: U.SU.K. or U.SIsrael] Collaborative proposal between

Template to be used for consent:

One Single Copy Document:

been submitted by

to the \$200,000 funding limit for SG projects.

[Program Title]

Program

[insert the name of lead agency PI and institution] and \_

To: NSF

1. Consent for sharing of unattributed reviews: Unattributed reviews will be shared with the funding agency partner, NERC or BSF. The following text must

[insert name of Research Office], thereby acknowledging the proposed collaboration."

be signed by the lead investigator, confirming that the investigators involved in the proposal acknowledge and confirm this fact.

[insert the name of the non-lead PI and institution] is endorsed and has

"On behalf of the proposal investigators, I, _ the DEB partner funding agency.	[insert Lead PI Name]	, consent that the Full proposal as v	vell as its unattributed reviews will b	oe shared with
Signed:	-			
Organization:				
Date:	<u>"</u>			

#### **Full Proposal Checklist For Compliance**

Prior to submission, please review your proposal against this checklist to ensure that it is fully compliant with the guidelines provided in this solicitation. Unless stated otherwise, this list applies to all Core proposals:

- The full proposal must be submitted to this Program Solicitation, or the RUI Solicitation if eligible and are providing the required RUI documentation. Do
  not submit this full proposal to the PAPPG.
  - For the Core Track special category proposals, the title should include the appropriate acronym for BEE, SG, RUI, NSFDEB-NERC, NSFDEB-BSF, ARTS, PurSUiT, or ABR.
- The cover page should identify the program(s) that should consider this project.
- Project Summary (maximum 1 page) includes as separate sections an Overview, the Intellectual Merit, and the Broader Impacts of the proposed activity.
- · Project Description (maximum 15 pages) includes as separate sections Broader Impacts, and the Results from Prior NSF Support, if applicable.
- Biographical Sketches (maximum 2 pages, each) for all senior personnel do not bundle multiple biographical sketches into a single file, and do not upload biographical sketches in the Supplementary Documents section.
- Supplementary Documents should include:
  - The Data Management Plan (maximum 2 pages).
  - The Postdoctoral Mentoring Plan (maximum 1 page), if applicable.
  - · Letters of Collaboration that focus solely on affirming the collaboration (see format above). General letters of support are not allowed.
  - REU activities, if proposed, are described in 3 pages maximum, uploaded into Supplementary Documents, and included in both the budget request and the budget justification. For **SG**, REU supplement requests may exceed the \$200,000 cap.
  - For NERC or BSF Collaborative full proposals, ensure 1) a detailed non-lead agency budget and 2) an institutional endorsement letter are
    uploaded as Supplementary Documents.
  - o Contact a cognizant Program Officer if you have questions about other Supplementary Documents that you plan to upload.
- Single Copy Documents should include:
  - o Collaborators & Other Affiliations for each person having a biosketch is prepared using the COA template as described in the PAPPG.
  - (Highly Recommended) A list of suggested reviewers, including the individuals' names, institutions, and areas of expertise, email addresses, and URLs if available. Please ensure no one on this list has a conflict of interest with the proposal.
  - For NERC and BSF Lead Agency proposals, upload a signed consent form for NSF to share unattributed reviews with the partner funding agency.

# **B. Budgetary Information**

## Cost Sharing:

Inclusion of voluntary committed cost sharing is prohibited.

#### C. Due Dates

• Full Proposal Deadline(s):

Proposals Accepted Anytime

## D. Research.gov/Grants.gov Requirements

# For Proposals Submitted Via Research.gov:

To prepare and submit a proposal via Research.gov, see detailed technical instructions available at: https://www.research.gov/research-portal/appmanager/base/desktop?

\_nfpb=true&\_pageLabel=research\_node\_display&\_nodePath=/researchGov/Service/Desktop/ProposalPreparationandSubmission.html. For Research.gov user support, call the Research.gov Help Desk at 1-800-673-6188 or e-mail rgov@nsf.gov. The Research.gov Help Desk answers general technical questions related to the use of the Research.gov system. Specific questions related to this program solicitation should be referred to the NSF program staff contact(s) listed in Section VIII of this funding opportunity.

#### For Proposals Submitted Via Grants.gov:

Before using Grants.gov for the first time, each organization must register to create an institutional profile. Once registered, the applicant's organization can then apply for any federal grant on the Grants.gov website. Comprehensive information about using Grants.gov is available on the Grants.gov Applicant Resources webpage: <a href="https://www.grants.gov/web/grants/applicants.html">https://www.grants.gov/web/grants.gov/web/grants.html</a>. In addition, the NSF Grants.gov Application Guide (see link in Section V.A) provides instructions regarding the technical preparation of proposals via Grants.gov. For Grants.gov user support, contact the Grants.gov Contact Center at 1-800-518-4726 or by email: <a href="https://www.grants.gov">https://www.grants.gov</a>. The Grants.gov. Contact Center answers general technical questions related to the use of Grants.gov. Specific questions related to this program solicitation should be referred to the NSF program staff contact(s) listed in Section VIII of this solicitation.

Submitting the Proposal: Once all documents have been completed, the Authorized Organizational Representative (AOR) must submit the application

to Grants.gov and verify the desired funding opportunity and agency to which the application is submitted. The AOR must then sign and submit the application to Grants.gov. The completed application will be transferred to the NSF FastLane system for further processing.

Proposers that submitted via Research.gov may use Research.gov to verify the status of their submission to NSF. For proposers that submitted via Grants.gov, until an application has been received and validated by NSF, the Authorized Organizational Representative may check the status of an application on Grants.gov. After proposers have received an e-mail notification from NSF, Research.gov should be used to check the status of an application.

#### VI. NSF PROPOSAL PROCESSING AND REVIEW PROCEDURES

Proposals received by NSF are assigned to the appropriate NSF program for acknowledgement and, if they meet NSF requirements, for review. All proposals are carefully reviewed by a scientist, engineer, or educator serving as an NSF Program Officer, and usually by three to ten other persons outside NSF either as ad hoc reviewers, panelists, or both, who are experts in the particular fields represented by the proposal. These reviewers are selected by Program Officers charged with oversight of the review process. Proposers are invited to suggest names of persons they believe are especially well qualified to review the proposal and/or persons they would prefer not review the proposal. These suggestions may serve as one source in the reviewer selection process at the Program Officer's discretion. Submission of such names, however, is optional. Care is taken to ensure that reviewers have no conflicts of interest with the proposal. In addition, Program Officers may obtain comments from site visits before recommending final action on proposals. Senior NSF staff further review recommendations for awards. A flowchart that depicts the entire NSF proposal and award process (and associated timeline) is included in PAPPG Exhibit III-1.

A comprehensive description of the Foundation's merit review process is available on the NSF website at: https://www.nsf.gov/bfa/dias/policy/merit review/.

Proposers should also be aware of core strategies that are essential to the fulfillment of NSF's mission, as articulated in *Building the Future: Investing in Discovery and Innovation - NSF Strategic Plan for Fiscal Years (FY) 2018 – 2022.* These strategies are integrated in the program planning and implementation process, of which proposal review is one part. NSF's mission is particularly well-implemented through the integration of research and education and broadening participation in NSF programs, projects, and activities.

One of the strategic objectives in support of NSF's mission is to foster integration of research and education through the programs, projects, and activities it supports at academic and research institutions. These institutions must recruit, train, and prepare a diverse STEM workforce to advance the frontiers of science and participate in the U.S. technology-based economy. NSF's contribution to the national innovation ecosystem is to provide cutting-edge research under the guidance of the Nation's most creative scientists and engineers. NSF also supports development of a strong science, technology, engineering, and mathematics (STEM) workforce by investing in building the knowledge that informs improvements in STEM teaching and learning.

NSF's mission calls for the broadening of opportunities and expanding participation of groups, institutions, and geographic regions that are underrepresented in STEM disciplines, which is essential to the health and vitality of science and engineering. NSF is committed to this principle of diversity and deems it central to the programs, projects, and activities it considers and supports.

# A. Merit Review Principles and Criteria

The National Science Foundation strives to invest in a robust and diverse portfolio of projects that creates new knowledge and enables breakthroughs in understanding across all areas of science and engineering research and education. To identify which projects to support, NSF relies on a merit review process that incorporates consideration of both the technical aspects of a proposed project and its potential to contribute more broadly to advancing NSF's mission "to promote the progress of science; to advance the national health, prosperity, and welfare; to secure the national defense; and for other purposes." NSF makes every effort to conduct a fair, competitive, transparent merit review process for the selection of projects.

#### 1. Merit Review Principles

These principles are to be given due diligence by Pls and organizations when preparing proposals and managing projects, by reviewers when reading and evaluating proposals, and by NSF program staff when determining whether or not to recommend proposals for funding and while overseeing awards. Given that NSF is the primary federal agency charged with nurturing and supporting excellence in basic research and education, the following three principles apply:

- All NSF projects should be of the highest quality and have the potential to advance, if not transform, the frontiers of knowledge.
- NSF projects, in the aggregate, should contribute more broadly to achieving societal goals. These "Broader Impacts" may be accomplished through the
  research itself, through activities that are directly related to specific research projects, or through activities that are supported by, but are
  complementary to, the project. The project activities may be based on previously established and/or innovative methods and approaches, but in either
  case must be well justified.
- Meaningful assessment and evaluation of NSF funded projects should be based on appropriate metrics, keeping in mind the likely correlation between
  the effect of broader impacts and the resources provided to implement projects. If the size of the activity is limited, evaluation of that activity in isolation
  is not likely to be meaningful. Thus, assessing the effectiveness of these activities may best be done at a higher, more aggregated, level than the
  individual project.

With respect to the third principle, even if assessment of Broader Impacts outcomes for particular projects is done at an aggregated level, PIs are expected to be accountable for carrying out the activities described in the funded project. Thus, individual projects should include clearly stated goals, specific descriptions of the activities that the PI intends to do, and a plan in place to document the outputs of those activities.

These three merit review principles provide the basis for the merit review criteria, as well as a context within which the users of the criteria can better understand their intent.

#### 2. Merit Review Criteria

All NSF proposals are evaluated through use of the two National Science Board approved merit review criteria. In some instances, however, NSF will employ additional criteria as required to highlight the specific objectives of certain programs and activities.

The two merit review criteria are listed below. **Both** criteria are to be given **full consideration** during the review and decision-making processes; each criterion is necessary but neither, by itself, is sufficient. Therefore, proposers must fully address both criteria. (PAPPG Chapter II.C.2.d(i). contains additional information for use by proposers in development of the Project Description section of the proposal). Reviewers are strongly encouraged to review the criteria, including

PAPPG Chapter II.C.2.d(i), prior to the review of a proposal.

When evaluating NSF proposals, reviewers will be asked to consider what the proposers want to do, why they want to do it, how they plan to do it, how they will know if they succeed, and what benefits could accrue if the project is successful. These issues apply both to the technical aspects of the proposal and the way in which the project may make broader contributions. To that end, reviewers will be asked to evaluate all proposals against two criteria:

- Intellectual Merit: The Intellectual Merit criterion encompasses the potential to advance knowledge; and
- Broader Impacts: The Broader Impacts criterion encompasses the potential to benefit society and contribute to the achievement of specific, desired societal outcomes.

The following elements should be considered in the review for both criteria:

- 1. What is the potential for the proposed activity to
  - a. Advance knowledge and understanding within its own field or across different fields (Intellectual Merit); and
- b. Benefit society or advance desired societal outcomes (Broader Impacts)?
- 2. To what extent do the proposed activities suggest and explore creative, original, or potentially transformative concepts?
- 3. Is the plan for carrying out the proposed activities well-reasoned, well-organized, and based on a sound rationale? Does the plan incorporate a mechanism to assess success?
- 4. How well qualified is the individual, team, or organization to conduct the proposed activities?
- 5. Are there adequate resources available to the PI (either at the home organization or through collaborations) to carry out the proposed activities?

Broader impacts may be accomplished through the research itself, through the activities that are directly related to specific research projects, or through activities that are supported by, but are complementary to, the project. NSF values the advancement of scientific knowledge and activities that contribute to achievement of societally relevant outcomes. Such outcomes include, but are not limited to: full participation of women, persons with disabilities, and underrepresented minorities in science, technology, engineering, and mathematics (STEM); improved STEM education and educator development at any level; increased public scientific literacy and public engagement with science and technology; improved well-being of individuals in society; development of a diverse, globally competitive STEM workforce; increased partnerships between academia, industry, and others; improved national security; increased economic competitiveness of the United States; and enhanced infrastructure for research and education.

Proposers are reminded that reviewers will also be asked to review the Data Management Plan and the Postdoctoral Researcher Mentoring Plan, as appropriate.

#### **Additional Solicitation Specific Review Criteria**

**BEE** proposals will be evaluated for their integration of ecological and evolutionary processes to facilitate our understanding of populations, communities, ecosystems, and clades.

#### **B. Review and Selection Process**

Proposals submitted in response to this program solicitation will be reviewed by Ad hoc Review and/or Panel Review.

Reviewers will be asked to evaluate proposals using two National Science Board approved merit review criteria and, if applicable, additional program specific criteria. A summary rating and accompanying narrative will generally be completed and submitted by each reviewer and/or panel. The Program Officer assigned to manage the proposal's review will consider the advice of reviewers and will formulate a recommendation.

After scientific, technical and programmatic review and consideration of appropriate factors, the NSF Program Officer recommends to the cognizant Division Director whether the proposal should be declined or recommended for award. NSF strives to be able to tell applicants whether their proposals have been declined or recommended for funding within six months. Large or particularly complex proposals or proposals from new awardees may require additional review and processing time. The time interval begins on the deadline or target date, or receipt date, whichever is later. The interval ends when the Division Director acts upon the Program Officer's recommendation.

After programmatic approval has been obtained, the proposals recommended for funding will be forwarded to the Division of Grants and Agreements for review of business, financial, and policy implications. After an administrative review has occurred, Grants and Agreements Officers perform the processing and issuance of a grant or other agreement. Proposers are cautioned that only a Grants and Agreements Officer may make commitments, obligations or awards on behalf of NSF or authorize the expenditure of funds. No commitment on the part of NSF should be inferred from technical or budgetary discussions with a NSF Program Officer. A Principal Investigator or organization that makes financial or personnel commitments in the absence of a grant or cooperative agreement signed by the NSF Grants and Agreements Officer does so at their own risk.

Once an award or declination decision has been made, Principal Investigators are provided feedback about their proposals. In all cases, reviews are treated as confidential documents. Verbatim copies of reviews, excluding the names of the reviewers or any reviewer-identifying information, are sent to the Principal Investigator/Project Director by the Program Officer. In addition, the proposer will receive an explanation of the decision to award or decline funding.

## VII. AWARD ADMINISTRATION INFORMATION

## A. Notification of the Award

Notification of the award is made to *the submitting organization* by a Grants Officer in the Division of Grants and Agreements. Organizations whose proposals are declined will be advised as promptly as possible by the cognizant NSF Program administering the program. Verbatim copies of reviews, not including the identity of the reviewer, will be provided automatically to the Principal Investigator. (See Section VI.B. for additional information on the review process.)

#### **B. Award Conditions**

An NSF award consists of: (1) the award notice, which includes any special provisions applicable to the award and any numbered amendments thereto; (2) the budget, which indicates the amounts, by categories of expense, on which NSF has based its support (or otherwise communicates any specific approvals or disapprovals of proposed expenditures); (3) the proposal referenced in the award notice; (4) the applicable award conditions, such as Grant General Conditions (GC-1)\*; or Research Terms and Conditions\* and (5) any announcement or other NSF issuance that may be incorporated by reference in the award notice. Cooperative agreements also are administered in accordance with NSF Cooperative Agreement Financial and Administrative Terms and Conditions (CA-FATC) and the applicable Programmatic Terms and Conditions. NSF awards are electronically signed by an NSF Grants and Agreements Officer and transmitted electronically to the organization via e-mail.

\*These documents may be accessed electronically on NSF's Website at https://www.nsf.gov/awards/managing/award\_conditions.jsp?org=NSF. Paper copies may be obtained from the NSF Publications Clearinghouse, telephone (703) 292-8134 or by e-mail from nsfpubs@nsf.gov.

More comprehensive information on NSF Award Conditions and other important information on the administration of NSF awards is contained in the NSF *Proposal & Award Policies & Procedures Guide* (PAPPG) Chapter VII, available electronically on the NSF Website at https://www.nsf.gov/publications/pub\_summ.jsp?ods\_key=pappg.

## **C. Reporting Requirements**

For all multi-year grants (including both standard and continuing grants), the Principal Investigator must submit an annual project report to the cognizant Program Officer no later than 90 days prior to the end of the current budget period. (Some programs or awards require submission of more frequent project reports). No later than 120 days following expiration of a grant, the PI also is required to submit a final project report, and a project outcomes report for the general public.

Failure to provide the required annual or final project reports, or the project outcomes report, will delay NSF review and processing of any future funding increments as well as any pending proposals for all identified PIs and co-PIs on a given award. PIs should examine the formats of the required reports in advance to assure availability of required data.

Pls are required to use NSF's electronic project-reporting system, available through Research.gov, for preparation and submission of annual and final project reports. Such reports provide information on accomplishments, project participants (individual and organizational), publications, and other specific products and impacts of the project. Submission of the report via Research.gov constitutes certification by the PI that the contents of the report are accurate and complete. The project outcomes report also must be prepared and submitted using Research.gov. This report serves as a brief summary, prepared specifically for the public, of the nature and outcomes of the project. This report will be posted on the NSF website exactly as it is submitted by the PI.

More comprehensive information on NSF Reporting Requirements and other important information on the administration of NSF awards is contained in the *NSF Proposal & Award Policies & Procedures Guide* (PAPPG) Chapter VII, available electronically on the NSF Website at <a href="https://www.nsf.gov/publications/pub\_summ.jsp?ods\_key=pappg">https://www.nsf.gov/publications/pub\_summ.jsp?ods\_key=pappg</a>.

# **VIII. AGENCY CONTACTS**

Please note that the program contact information is current at the time of publishing. See program website for any updates to the points of contact.

General inquiries regarding this program should be made to:

• Division of Environmental Biology, telephone: (703) 292-8480, email: debquestions@nsf.gov

For questions related to the use of FastLane or Research.gov, contact:

• FastLane and Research.gov Help Desk: 1-800-673-6188

FastLane Help Desk e-mail: fastlane@nsf.gov.

Research.gov Help Desk e-mail: rgov@nsf.gov

For questions relating to Grants.gov contact:

• Grants.gov Contact Center: If the Authorized Organizational Representatives (AOR) has not received a confirmation message from Grants.gov within 48 hours of submission of application, please contact via telephone: 1-800-518-4726; e-mail: support@grants.gov.

General inquiries regarding this program should be made to:

- $\bullet \quad \textbf{Ecosystem Science Cluster}, \\ \textbf{https://www.nsf.gov/funding/pgm\_summ.jsp?pims\_id=503663\&org=DEB\&from=home}$
- Evolutionary Processes Cluster, https://www.nsf.gov/funding/pgm\_summ.jsp?pims\_id=503664&org=DEB&from=home
- Population and Community Ecology Cluster, https://www.nsf.gov/funding/pgm\_summ.jsp?pims\_id=503665&org=DEB&from=home
- Systematics and Biodiversity Science, https://www.nsf.gov/funding/pgm\_summ.jsp?pims\_id=503666&org=DEB&from=home

Inquiries regarding U.K.-Collaborative proposals (NSFDEB-NERC) should be made to:

- For questions related to NERC led submissions email: international@nerc.ac.uk
- For questions related to DEB led submissions email: NSFDEB-NERC@nsf.gov

Inquiries regarding Israeli-Collaborative proposals (NSFDEB-BSF) should be made to:

- For questions related to the BSF submission email: Mrs. Yael Dressler (yael@bsf.org.il; 972 2 5828239)
- For questions related to DEB submission email: NSFDEB-BSF@nsf.gov

## IX. OTHER INFORMATION

The NSF website provides the most comprehensive source of information on NSF Directorates (including contact information), programs and funding opportunities. Use of this website by potential proposers is strongly encouraged. In addition, "NSF Update" is an information-delivery system designed to keep potential proposers and other interested parties apprised of new NSF funding opportunities and publications, important changes in proposal and award policies and procedures, and upcoming NSF Grants Conferences. Subscribers are informed through e-mail or the user's Web browser each time new publications are issued that match their identified interests. "NSF Update" also is available on NSF's website.

Grants.gov provides an additional electronic capability to search for Federal government-wide grant opportunities. NSF funding opportunities may be accessed via this mechanism. Further information on Grants.gov may be obtained at https://www.grants.gov.

#### **ABOUT THE NATIONAL SCIENCE FOUNDATION**

The National Science Foundation (NSF) is an independent Federal agency created by the National Science Foundation Act of 1950, as amended (42 USC 1861-75). The Act states the purpose of the NSF is "to promote the progress of science; [and] to advance the national health, prosperity, and welfare by supporting research and education in all fields of science and engineering."

NSF funds research and education in most fields of science and engineering. It does this through grants and cooperative agreements to more than 2,000 colleges, universities, K-12 school systems, businesses, informal science organizations and other research organizations throughout the US. The Foundation accounts for about one-fourth of Federal support to academic institutions for basic research.

NSF receives approximately 55,000 proposals each year for research, education and training projects, of which approximately 11,000 are funded. In addition, the Foundation receives several thousand applications for graduate and postdoctoral fellowships. The agency operates no laboratories itself but does support National Research Centers, user facilities, certain oceanographic vessels and Arctic and Antarctic research stations. The Foundation also supports cooperative research between universities and industry, US participation in international scientific and engineering efforts, and educational activities at every academic level.

Facilitation Awards for Scientists and Engineers with Disabilities (FASED) provide funding for special assistance or equipment to enable persons with disabilities to work on NSF-supported projects. See the NSF Proposal & Award Policies & Procedures Guide Chapter II.E.6 for instructions regarding preparation of these types of proposals.

The National Science Foundation has Telephonic Device for the Deaf (TDD) and Federal Information Relay Service (FIRS) capabilities that enable individuals with hearing impairments to communicate with the Foundation about NSF programs, employment or general information. TDD may be accessed at (703) 292-5090 and (800) 281-8749, FIRS at (800) 877-8339.

The National Science Foundation Information Center may be reached at (703) 292-5111.

The National Science Foundation promotes and advances scientific progress in the United States by competitively awarding grants and cooperative agreements for research and education in the sciences, mathematics, and engineering.

To get the latest information about program deadlines, to download copies of NSF publications, and to access abstracts of awards, visit the NSF Website at https://www.nsf.gov

Location: 2415 Eisenhower Avenue, Alexandria, VA 22314

• For General Information (703) 292-5111

(NSF Information Center):

• TDD (for the hearing-impaired): (703) 292-5090

. To Order Publications or Forms:

Send an e-mail to: nsfpubs@nsf.gov

or telephone: (703) 292-8134

• To Locate NSF Employees: (703) 292-5111

## PRIVACY ACT AND PUBLIC BURDEN STATEMENTS

The information requested on proposal forms and project reports is solicited under the authority of the National Science Foundation Act of 1950, as amended. The information on proposal forms will be used in connection with the selection of qualified proposals; and project reports submitted by awardees will be used for program evaluation and reporting within the Executive Branch and to Congress. The information requested may be disclosed to qualified reviewers and staff

assistants as part of the proposal review process; to proposer institutions/grantees to provide or obtain data regarding the proposal review process, award decisions, or the administration of awards; to government contractors, experts, volunteers and researchers and educators as necessary to complete assigned work; to other government agencies or other entities needing information regarding applicants or nominees as part of a joint application review process, or in order to coordinate programs or policy; and to another Federal agency, court, or party in a court or Federal administrative proceeding if the government is a party. Information about Principal Investigators may be added to the Reviewer file and used to select potential candidates to serve as peer reviewers or advisory committee members. See System of Record Notices, NSF-50, "Principal Investigator/Proposal File and Associated Records," and NSF-51, "Reviewer/Proposal File and Associated Records." Submission of the information is voluntary. Failure to provide full and complete information, however, may reduce the possibility of receiving an award.

An agency may not conduct or sponsor, and a person is not required to respond to, an information collection unless it displays a valid Office of Management and Budget (OMB) control number. The OMB control number for this collection is 3145-0058. Public reporting burden for this collection of information is estimated to average 120 hours per response, including the time for reviewing instructions. Send comments regarding the burden estimate and any other aspect of this collection of information, including suggestions for reducing this burden, to:

Suzanne H. Plimpton Reports Clearance Officer Office of the General Counsel National Science Foundation Alexandria, VA 22314

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