

**U. S. ARMY RESEARCH OFFICE
FEDERAL DEMONSTRATION PARTNERSHIP (FDP) IV
AGENCY SPECIFIC REQUIREMENTS**

October 2002

Army Research Office Home Page <http://www.aro.army.mil>

DoDGARS Part 32 <http://web7.whs.osd.mil/html/32106r.htm>

Forms <http://www.aro.army.mil>

OMB Circulars <http://www.whitehouse.gov/OMB/grants/index.html>

Article 1. Awards Covered by FDP T&Cs.

Research grants to FDP members. The FDP T&Cs do not apply to cooperative agreements, other transactions, and contracts.

Article 2. Prior Approval Requirements not Included in the General T&Cs.

REFERENCE: FDP ARTICLE 25(c)(2) and (3)

Prior written approval of the ARO Grants Officer is required to extend the period of performance, without additional funds, beyond the expiration date of the grant. The recipient must notify the Grants Officer in writing with the supporting reasons and requested expiration date at least twenty (20) days prior to expiration of the award.

REFERENCE: FDP ARTICLE 25(d)

Prior written approval of the ARO Grants Officer is required to rebudget for foreign travel not specifically identified in the budget incorporated as part of the award.

Prior written approval of the ARO Grants Officer is required to rebudget for equipment exceeding \$5,000 not specifically identified in the budget incorporated as part of the award.

REFERENCE: FDP ARTICLE 27(a)(1)(E)

Prior written approval of the ARO Grants Officer is required to expend Federal or recipient cost sharing funds greater than \$25,000 for ordinary or normal alterations and renovations of facilities.

Article 3. Unallowable Direct Costs Aside from Those in A-21/A-122.

None.

Article 4. Contact Information for Technical Matters.

Questions regarding technical matters should be referred to the Grants Officer's Representative cited in the grant.

Article 5. Contact Information for Administrative Matters.

Questions regarding administrative matters should be referred to the Grants Officer cited in the grant.

Article 6. Contact Information for Intellectual Property Matters.

Questions regarding intellectual property matters should be referred to the US Army Materiel Command, Intellectual Property

Law Branch, ATTN: AMCCC-B-IP, 5001 Eisenhower Avenue, Alexandria, VA 22333-0001.

Article 7. Revised Budget Requirements.

Revised budgets should be submitted in the same general format as the original budget submission.

Article 8. Technical reporting.

Technical reporting requirements are contained in the award.

Article 9. Financial reporting.

Financial reporting requirements are contained in the award.

Article 10. Incremental funding actions.

No additional documentation is required to trigger an increment; however, unacceptable expenditure rates reported on the recipient's Forecast Expenditure Report may delay incremental funding actions.

ARO AGENCY SPECIFIC REQUIREMENTS

ARTICLES

11. Equipment
12. Supplies
13. Intangible Property
14. Monitoring and Reporting Program Performance
15. Financial Reporting
16. Termination
17. Enforcement
18. Collection of Amounts Due
19. National Policy Requirements, Appendix B

ARTICLES WITH NO FDP REFERENCE

20. Option to Renew
21. Modification of the Grant

Article 11. Equipment.

REFERENCE: FDP ARTICLE 34(a)

Notwithstanding this FDP article, the ARO unconditionally vests title to equipment purchased or fabricated with Federal or recipient cost sharing funds in the recipient unless specifically addressed in the award.

Article 12. Supplies.

REFERENCE: FDP ARTICLE 35(a)

Notwithstanding this FDP article, title to supplies and other expendable property shall vest in the recipient providing the residual inventory of unused supplies does not exceed \$5,000 in total aggregate value upon termination or completion of the project or program. If the value of residual supplies exceeds \$5,000, the provisions of OMB Circular, Section 35 apply.

Article 13. Intangible Property.

REFERENCE: FDP ARTICLE 36(e)

POC for communications relating to intellectual property is the US Army Materiel Command's Intellectual Property Law Branch. Additionally, the recipient shall use DD Form 882, Report of

Inventions and Subcontracts, for disclosure of patents and inventions. Reports are only required when an invention is considered reportable during the award's performance period. Annual negative reports are not required. A final Report of Inventions and Subcontracts is due ninety (90) days after the expiration of the final research period. The award shall not be closed out until this reporting requirement is met.

Article 14. Monitoring and Reporting Program Performance.

REFERENCE: FDP ARTICLE 51(b)

Technical reporting requirements are contained in the award.

Article 15. Financial Reporting.

REFERENCE: FDP ARTICLE 52

Financial reporting requirements are contained in the award.

Article 16. Termination.

REFERENCE: FDP ARTICLE 61

In cases of partial or full termination by the recipient, the recipient shall provide a written termination notice to the ARO Grants Officer no later than 30 calendar days prior to the effective date of termination.

Article 17. Enforcement.

REFERENCE: FDP ARTICLE 62

The recipient shall submit claims arising out of or relating to this grant in writing to the ARO Grants Officer and shall specify the nature and basis for the relief required and include all data that supports the claim. The recipient and Grants Officer shall attempt to resolve all issues at the Grants Officer level. Both parties shall use Alternative Dispute Resolution (ADR) procedures to the maximum extent possible. If ADR procedures are not successful, the recipient may submit an appeal to the Department of Army's Grant Appeal Authority. Appeal procedures shall be in accordance with DODGARS §22.815(e)(3)(i).

Article 18. Collection of Amounts Due.

REFERENCE: FDP ARTICLE 73

In absence of a mutual agreement between the recipient and the awarding agency, the ARO Grants Officer shall make a decision regarding any recipient indebtedness and submit a written notice of such decision to the recipient. Within 30 calendar days of the Grants Officer's decision, the recipient shall either pay the amount owed or inform the Grants Officer of the recipient's intent to appeal the decision. If the recipient elects to appeal the decision, the recipient has 90 calendar days, or 150 calendar days if ADR procedures are used, after receipt of the Grants Officer's decision to file the appeal in writing to the Department of Army's Grant Appeal Authority. If the recipient elects not to appeal, any amounts not paid within 30 calendar days of the decision will be considered a delinquent debt.

Article 19. National Policy Requirements.

REFERENCE: FDP APPENDIX B

Human Subjects and Animal Use: Prior written approval of the ARO Grants Officer is required for the use of human subjects or laboratory animals.

ARTICLES WITH NO FDP REFERENCE

Article 20. Option to Renew.

If an option is indicated in the award document, the Government may require the continuation of the research. The option shall be exercised by a modification to the grant.

Article 21. Modification of the Grant.

Requests by the recipient to modify a grant must be in writing to the ARO Grants Officer. A grant modification incorporating the request will be unilaterally issued at the discretion of the Grants Officer.