



**REVISION OF THE NATIONAL SCIENCE FOUNDATION (NSF)
Small Business Innovation Research (SBIR)/ Small Business Technology Transfer
(STTR) Phase I Grant General Conditions (SBIR-1)**

EFFECTIVE July 1, 2016

Effective July 1, 2016, all new NSF Phase I SBIR/STTR grants, will incorporate by reference the *Small Business Innovation Research/Small Business Technology Transfer Phase I Grant General Conditions (SBIR-1)* dated 07/01/16.

Unless otherwise noted in a specific article, the [SBIR Phase I Grant General Conditions](#) apply to all new NSF Phase I SBIR/STTR grants awarded on or after July 1, 2016.

A comprehensive summary of the changes is included below. Any questions regarding these changes may be directed to the cognizant SBIR/STTR Program Director.

Significant Changes to the NSF SBIR/STTR Grant General Conditions, dated 07/16

- **Significant Project Changes, Article 3**, contains a new requirement that written approval (e.g. via email) is required from the cognizant NSF Program Director for any project revision that results in a 5% or greater budget reallocation.
- **Payments, Article 9**, contains a new requirement that all SBIR Phase I awardees must request payments electronically through NSF's Award Cash Management Service (ACM\$).

Clarifications and Other Changes to the NSF SBIR/STTR Grant General Conditions, dated 07/16

- **Grantee Responsibilities and Federal Requirements, Article 2**, has been supplemented with language regarding the Authorized Organizational Representative (AOR).
- **Recipient Integrity and Performance Matters, Article 37**, is an entirely new Article which implements the requirements stipulated in Appendix XII to Part 200 of 2 CFR § 200.