



**REVISION OF THE NATIONAL SCIENCE FOUNDATION (NSF)
SMALL BUSINESS INNOVATION RESEARCH (SBIR)/SMALL BUSINESS
TECHNOLOGY TRANSFER (STTR) PHASE II GRANT GENERAL CONDITIONS
(SBIR-II)**

EFFECTIVE FEBRUARY 1, 2012

Effective February 1, 2012, new NSF SBIR/STTR Phase II grants and funding amendments to existing NSF SBIR/STTR Phase II grants will begin referencing and are subject to the *NSF SBIR/STTR Phase II Grant General Conditions* (SBIR-II) dated 02/12. The complete text of the SBIR-II conditions (as well as other NSF grant policy issuances) is available electronically on the NSF website.¹

Unless otherwise noted in a specific article, the *NSF SBIR/STTR Phase II Grant General Conditions* apply to all new NSF SBIR/STTR Phase II grants and funding increments on existing NSF SBIR/STTR Phase II grants awarded on or after February 1, 2012.

The changes to Article 11 (Travel) identified below also will be incorporated in the next issuance of the *NSF Award & Administration Guide*.

A comprehensive summary of the changes is included below. Any questions regarding these changes may be directed to the Policy Office, Division of Institution and Award Support, on (703) 292-8243 or by e-mail to policy@nsf.gov.

Significant Changes to the NSF SBIR/STTR Phase II Grant General Conditions, dated 02/12

- **Travel, Article 11**, has been updated to incorporate revised circumstances under which use of a foreign-flag air carrier is permissible. The Article provides information on recent modifications to the “Open Skies” Agreement with the European Union, and includes a link to the General Services Administration website for additional information.

Clarifications and Other Changes to the NSF SBIR/STTR Phase II Grant General Conditions, dated 02/12

- **Grantee Responsibilities and Federal Requirements, Article 2**, has been supplemented with a weblink to a listing of the National Policy Requirements.
- **Patent Rights, Article 22**, has a footnote added to it stating that, while the Article remains unchanged at this time, NSF is assessing how best to implement revisions given the *Stanford vs. Roche* Supreme Court decision.

¹ The Policy Office website address is: <http://www.nsf.gov/bfa/dias/policy/>