

## Appendix table 5-64

**Academic patenting and licensing activities: 2001–11**

Activity indicator	2001 (139)	2002 (156)	2003 (165)	2004 (164)	2005 (159)	2006 (161)	2007 (161)	2008 (159)	2009 (153)	2010 (155)	2011 (157)
	\$millions										
Net royalties <sup>a</sup>	753.8	868.9	866.8	924.9	1,588.1	1,322.3	1,898.8	2,127.1	1,474.6	1,480.5	1,486.0
Gross royalties <sup>a</sup>	868.3	997.8	1,033.6	1,088.5	1,775.0	1,511.6	2,098.8	2,397.2	1,782.1	1,790.1	1,814.0
Royalties paid to others	41.0	38.8	65.5	54.4	67.8	67.8	63.7	114.8	153.2	164.4	175.5
Unreimbursed legal fees expended	73.5	90.1	101.3	109.2	119.1	121.5	136.3	155.3	154.3	145.2	152.5
	Number										
Invention disclosures received	11,259	12,638	13,718	15,002	15,371	16,855	17,677	17,694	18,163	18,635	19,732
New U.S. patent applications filed	5,784	6,509	7,203	9,462	9,306	10,748	10,899	11,197	11,222	11,075	12,090
U.S. patents granted	3,179	3,109	3,450	3,268	2,944	2,895	3,291	2,933	3,088	4,018	4,296
Startup companies formed	402	364	348	425	418	500	510	549	555	613	617
Operational startups	1,904	2,070	2,090	2,451	2,652	2,960	3,148	3,076	3,175	3,339	3,573
Active licenses	18,845	20,927	22,091	23,269	23,896	26,070	26,094	26,816	28,763	33,309	33,284
Revenue-generating licenses/options	7,715	8,490	8,976	9,543	10,251	10,733	12,467	13,231	13,927	13,995	14,754
New licenses/options executed <sup>b</sup>	3,300	3,739	3,855	4,087	4,201	4,192	4,419	4,416	4,624	4,735	5,398
Equity licenses/options	328	373	316	318	278	357	377	382	354	357	387

<sup>a</sup> One-year spikes in royalty data reflect extraordinary one-time payments.

<sup>b</sup> Data prior to 2004 may not be comparable with data for 2004 and beyond due to changes in survey wording.

NOTES: Number of institutions reporting is given in parentheses. Data from nonuniversity hospitals and medical institutes are not included. Responding institutions may report for any 12-month period ending in the identified year.

SOURCE: Association of University Technology Managers (AUTM), AUTM Licensing Survey (various years).

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