





NSF Survey of Federal Science and Engineering Support to Colleges, Universities, and Nonprofits

FY 2009



Instructions

Agency Code and Name

On every page that you return to NSF, please write your agency code (ID number) and agency name in the space provided.

Contact Information

Please supply the name and contact information for the person submitting the data and his or her supervisor.

Enter Data for Academic Institution/Consortium

Please complete a separate sheet for each academic institution/consortium receiving funding in the specified fiscal year. Please use as many pages as necessary to supply the information for all academic institutions/consortiums receiving funding.

FICE Code and Institution Name are the identifying information for the academic institution/consortium receiving the funding. The FICE code for an academic institution/consortium can be found by searching the survey codebook at

http://www.nsf-surveys.net/FedSupport/help/download_codebook.aspx

If you cannot find a FICE code for a given academic institution/consortium, please write in as much identifying information (EIN, DUNS ID, address, city, state, zip code, telephone, website, etc.) as you have for the institution at the bottom of the page. This will help us to identify the institution for addition to the survey codebook.

Category of Support. There are six possible categories of support for academic institutions/consortiums. Please provide the amount of funding given to the academic institution/consortium in each of the applicable categories of support. Definitions of each category are provided below.

Research & Development (R&D) activities comprise creative work undertaken on a systematic basis in order to increase the stock of knowledge, including knowledge of man, culture, and society, and the use of this stock of knowledge to devise new applications.

Include

- Administrative expenses for R&D

Exclude

- Physical assets for R&D, such as R&D equipment and facilities
- Routine product testing
- Quality control
- Mapping
- Collection of general-purpose statistics
- Experimental production
- Routine monitoring and evaluation of an operational program
- Training of scientific and technical personnel

Fellowships, Traineeships, & Training Grants include all fellowship, traineeship, and training grant programs that are directed primarily toward the development and maintenance of scientific and technical manpower. Excluded are projects that support research and educational institutes, seminars, and conferences, such as teacher training activities provided through teacher institutes, short courses, research participation, and in-service seminars; activities aimed at the development of educational techniques and materials for use in S&E training; and programs that provide special opportunities for increasing the scientific knowledge and experience of precollege and undergraduate students. These activities are either to be reported under other science & engineering or not reported if they are not S&E-related.

R&D Plant includes all projects whose principal purpose is to provide support for construction, acquisition, renovation, modification, repair, or rental of facilities, land, works, or fixed equipment for use in scientific or engineering research and development. A facility is to be interpreted broadly to include any physical resource important to the conduct of research or development. All costs—direct, indirect, and related expenditures—are to be included. If the R&D facilities are to be a larger facility devoted to other purposes as well, the funds should be distributed among the categories of support involved as appropriate. In general, another category that would be involved is facilities and equipment for instruction.

Facilities & Equipment for Instruction includes all programs whose principal purpose is to provide support for construction, acquisition, renovation, modification, repair, or rental of facilities, land, works, or equipment for use in *instruction* in science and engineering. If the instructional facilities are part of a larger facility devoted to other purposes as well, the funds should be distributed among the support involved as appropriate. In general, the other category most likely to be involved is R&D plant.

General Support for Science & Engineering includes activities that provide support for nonspecific or generalized purposes related to scientific research and education. Such projects are generally oriented toward academic departments, institutes, or institutions as a whole. "General support" implies a spectrum of varying types of support. At one extreme is support provided without any specification of purpose other than that funds be used for scientific activities. Another kind of general support is to be found in projects that provide funds for an activity within a specified field of science and engineering but without specification of explicit purpose. The distinguishing feature of general support for science and engineering projects is that they permit a significant measure of freedom as to purpose (research, faculty support, education, institutional support, etc.). It is intended that among the projects to be reported under this category are projects awarded through the following agency programs:

- NIH Minority Biomedical Research Support for Undergraduate Colleges
- NIH Minority Biomedical Support Grants

Other programs consistent with the above guidelines may also be reported under this category.

Other Science & Engineering includes all academic S&E activities that cannot meaningfully be assigned to one of the above categories. Among the types of activities to be included in this category are support for scientific conferences and symposia, teacher institutes, and activities aimed at increasing the scientific knowledge of precollege and undergraduate students.

ARRA, Non ARRA, and Total. The American Recovery and Reinvestment Act (ARRA) is also known as the federal stimulus funds. Please separate funding given to the specified academic institution/consortium in each category of support into ARRA and non-ARRA. Please also sum the two columns to arrive at a total amount of funding for each category of support.

■ Enter Data for Nonprofit Institution/Consortium

Please complete a separate sheet for each nonprofit institution/consortium receiving funding in the specified fiscal year. Please use as many pages as necessary to supply the information for all nonprofit institutions/consortiums receiving funding.

FICE Code and Institution Name – The FICE code and institution name are the identifying information for the nonprofit institution/consortium receiving the funding. The FICE code for a nonprofit institution/consortium can be found by searching the survey codebook at

http://www.nsf-surveys.net/FedSupport/help/download_codebook.aspx

If you cannot find a FICE code for a given nonprofit institution/consortium, please write in as much identifying information (EIN, DUNS ID, address, city, state, zip code, telephone, website, etc.) as you have for the institution at the bottom of the page. This will help us to identify the institution for addition to the survey codebook.

Category of Support. There are only two possible categories of support for nonprofit institutions/consortiums – Research & Development and R&D Plant. Definitions of each category are provided above for academic institutions/consortiums. Please provide the amount of funding given to the nonprofit institution/consortium in each of the applicable categories of support.

ARRA, Non ARRA, and Total. The American Recovery and Reinvestment Act (ARRA) is also known as the federal stimulus funds. Please separate funding given to the specified nonprofit institution/consortium in each category of support into ARRA and non-ARRA. Please also sum the two columns to arrive at a total amount of funding for each category of support.



Agency Code: _____ **Agency Name:** _____

Contact Information

Please update this information, even if it has not changed since last year.

Person Submitting the Data		Supervisor Information		
First name		First name		
Middle initial		Middle initial		
Last name		Last name		
Title		Title		
Street address 1		Phone number		Ext
Street address 2		e-mail address		
City				
State				
Zip				
Phone number		Ext		
Fax number				
e-mail address				



Agency Code: _____ Agency Name: _____

Enter Data for Academic Institution/Consortium

FICE: _____ Institution Name: _____

Category of support	FY 2009		
	ARRA	Non-ARRA	Total
Research & Development			
Fellowships, Traineeships, & Training Grants			
R&D Plant (R&D facilities and fixed equipment)			
Facilities & Equipment for Instruction			
General Support for Science & Engineering			
Other Science & Engineering			

IMPORTANT NOTE: We are no longer collecting data 'in thousands'. Please report actual numbers for all requested data.

ARRA: funding provided under the American Recovery and Reinvestment Act, also known as the federal stimulus funds.



Agency Code: _____ Agency Name: _____

Enter Data for Nonprofit Institution/Consortium

FICE: _____ Institution Name: _____

Category of support	FY 2009		
	ARRA	Non-ARRA	Total
Research & Development			
R&D Plant (R&D facilities and fixed equipment)			

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