



FORM APPROVED
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NATIONAL SCIENCE FOUNDATION
ARLINGTON, VA 22230

HIGHER EDUCATION RESEARCH AND DEVELOPMENT SURVEY
FY 2010 Short Form

The National Science Foundation (NSF), a federal government agency, is charged with collecting and reporting information on research and development activity in the United States. As part of this mission, NSF collects information about R&D performed at colleges and universities.

This brief survey is about expenditures at your institution for sponsored research and other R&D activities set up in a specific account. NSF is requesting this information from all institutions in the United States granting bachelors' or higher degrees to:

- (1) conduct a one-time census of total R&D expenditures within the higher education sector, and
- (2) establish a threshold for selecting institutions for the annual Higher Education R&D Survey.

If your institution meets our threshold for R&D expenditures, you will be asked to participate in the annual survey. The annual survey requests breakdowns of R&D expenditures and activities for categories such as field of R&D and source of funding.

Your participation provides important information on the national level of R&D activity. NSF is authorized to collect this information under the National Science Foundation Act of 1950, as amended. Your institution's response is entirely voluntary.

QUESTIONS?

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INFORMATION COPY
DO NOT USE TO REPORT

Response to this survey is estimated to require 2 hours. If you wish to comment on this burden, please contact Suzanne H. Plimpton of NSF at (703) 292-7556, or e-mail splimpto@nsf.gov.

Thank you for your participation.

Before answering the questions on the next two pages, please consider the following definitions:

Separately budgeted R&D expenditures include all current operating funds expended for activities specifically organized to conduct research and development. R&D expenditures include both externally funded “sponsored projects” and internally funded projects.

Research is creative work conducted systematically to extend knowledge or devise new or improved applications.

Development is the systematic use of knowledge or understanding gained from research for the production of useful materials, devices, systems, or methods, including the design and development of prototypes and processes.

R&D <i>includes</i> :	R&D does <i>not</i> include:
<ul style="list-style-type: none">• Expenditures from research grants or contracts awarded by federal agencies, state government, business, or nonprofit organizations and foundations• Indirect costs associated with research expenditures• Internally funded expenditures for specific faculty research projects• Internally funded expenditures for faculty to develop new or improved products or applications	<ul style="list-style-type: none">• Non-research training grants• Curriculum development (unless included as part of an overall research project)• Economic development programs• Faculty development• Public service grants or outreach programs• Market research• Routine testing or other support services for research conducted outside your college• Capital projects (i.e. construction or renovation of research facilities)

Question 1. During your institution’s fiscal year 2010, did your institution have any separately budgeted R&D expenditures?

Yes → Go to Question 2

No → Go to Question 3

Question 2. How much of your total expenditures for separately budgeted research and development (R&D) came from the following sources in FY 2010?
(See definition of R&D on the previous page.)

- In rows a, b, c, d, and f: Include both **direct** and **recovered indirect costs** (Recovered indirect costs are reimbursements of Facilities and Administrative (F&A) costs from external sponsors).
- Report the **original source** of funds, when possible.
- Include **all** fields of R&D: sciences, engineering, humanities, education, law, arts, etc.

R&D expenditures

SOURCE OF FUNDS

a. U.S. federal government

Any agency of the United States government.
 Include federal funds passed through from another institution.

\$ _____

b. State and local government

Any state, county, municipality, or other local government entity in the United States, including state health agencies. Include state funds that support R&D at agricultural and other experiment stations.
Public institutions: Report state appropriations restricted for R&D activities here rather than in Institutional funds.

\$ _____

c. Business

Domestic or foreign for-profit organizations. (Report funds from a company's nonprofit foundation in row d.)

\$ _____

d. Nonprofit organizations

Domestic or foreign nonprofit foundations and organizations.

\$ _____

e. Institutional funds

Include the following types of internally funded R&D:

\$ _____

- Expenditures of university funds from unrestricted sources that are separately budgeted for organized research
- Committed cost sharing on sponsored R&D projects
- Unrecovered indirect costs

You may calculate this amount as follows for your externally funded R&D (preferably on a project-specific basis) using the appropriate cost rate—on-campus, off-campus, etc.

First, multiply your negotiated rate by the corresponding base. Second, subtract recovered indirect costs.

f. All other sources

Other sources not reported above, such as funds from foreign governments.

\$ _____

g. Total

\$ _____

Question 3. In what month did your institution's 2010 fiscal year end?

Question 4. Who should we contact regarding the above information?

Name	<input type="text"/>
Title or office	<input type="text"/>
Institution name	<input type="text"/>
Building/Department	<input type="text"/>
Street address	<input type="text"/>
City, state, and zip code	<input type="text"/>
Phone number	<input type="text"/>
Email address	<input type="text"/>

Thank you for completing this questionnaire. If you have any additional comments, please use the space below: