Notice — Your report to the Census Bureau is confidential by law (title 13, U.S. Code). The instructions and definitions on this form are not complete. Please read the enclosed instruction sheet before completing this form.

Please return by:

U.S. Census Bureau
1201 East 10th Street
Jeffersonville, IN 47132-0001

Mandatory Reporting Requirements
Data supplied in items 2A and B and in item 3.3, columns 1 and 3 for 2001 on this form will satisfy the mandatory reporting requirements (title 13, U.S. Code).

FROM THE ACTING DIRECTOR
U.S. Census Bureau

We have enclosed your company’s report form and instructions for the 2001 Survey of Industrial Research and Development (R&D). Please read the definition of R&D on page 2 of the form and review Item 1. If your company does not conduct R&D, please call the Touchtone Data Entry system to report on 1-800-851-2014. If your company conducted R&D in 2001, please review the instructions, complete the form, and return it within 30 days. Federal law requires your response to four items identified on the form. Your voluntary response to all other items is needed to assure useful results.

This survey provides information for examining R&D tax credits. Some businesses are able to use R&D tax credits to reduce their Federal tax burden. The data assist public officials in allocating research funding by state, which may benefit companies like yours. Analysts also use the results to compare R&D spending in this country with other countries to ensure that U.S. businesses are not at a competitive disadvantage.

Information you report should cover the domestic operations of your consolidated enterprise for calendar year 2001. We recognize that providing this information is a burden, and we have worked hard to minimize it. For example, if you do not have book records for any item, you may provide carefully prepared estimates. The law that authorizes this survey (Title 13, United States Code) requires that we keep your report in full confidence. Only sworn U.S. Census Bureau employees will see your information, and they will use it only for statistical purposes.

We conduct this survey with National Science Foundation (NSF) support. We have enclosed a letter from the Director of the NSF encouraging your response to the survey. If you have any questions, please call my staff on (301) 457-1339. Thank you in advance for your cooperation.

Sincerely,

William G. Barron, Jr

Enclosures
## Item 2 – RECEIPTS, EMPLOYMENT AND NUMBER OF SCIENTISTS AND ENGINEERS FOR COMPANY

<table>
<thead>
<tr>
<th>Receipts</th>
<th>Company and others</th>
<th>Total (1 + 2)</th>
</tr>
</thead>
<tbody>
<tr>
<td>A. Sales, operating receipts and revenues from all domestic operations of company, net of returns and allowances. (Report in thousands of dollars)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>B. Federal</td>
<td>Company and other</td>
<td></td>
</tr>
<tr>
<td>$000</td>
<td>$000</td>
<td>$000</td>
</tr>
</tbody>
</table>

**RESEARCH AND DEVELOPMENT**

1. Pursue a planned search for new knowledge, whether or not the search has reference to a specific application. (Basic Research)
2. Apply existing knowledge to problems involved in the creation of a new product or process, including work required to evaluate possible uses. (Applied Research)
3. Apply existing knowledge to problems involved in the improvement of a present product or process.

Research and development includes the activities described above whether assigned to separate R&D organizational units of the company or carried out by company laboratories and technical groups not part of an R&D organization. Reporting the R&D activities of such latter groups may require the use of estimates for some of your responses. Activities to be excluded from R&D are as follows: research in social sciences or psychology, routine product testing, geological and geophysical exploration activities and technical services.

**COSTS INCURRED FOR RESEARCH AND DEVELOPMENT IN 2001**

<table>
<thead>
<tr>
<th>Source of funds</th>
<th>Federal</th>
<th>Company and other</th>
<th>Total (12)</th>
</tr>
</thead>
<tbody>
<tr>
<td>A. Performed within the company</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>B. Applied research and development</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Applied research</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Development</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Total (Sum of Items a and b)</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**Item 3 – COSTS INCURRED FOR RESEARCH AND DEVELOPMENT IN 2001**

<table>
<thead>
<tr>
<th>Item 4 – FORM RD-1A (1-30-2002)</th>
</tr>
</thead>
<tbody>
<tr>
<td>COMPANY AND OTHER FUNDS, EXCEPT FEDERAL, FOR RESEARCH AND DEVELOPMENT PERFORMED WITHIN THE COMPANY BUDGETED FOR THE YEAR 2002</td>
</tr>
</tbody>
</table>
Item 5A – COVERAGE AND OPERATIONAL STATUS

Are research and development costs for the entire consolidated domestic enterprise, including subsidiaries, reported on this form?

☐ Yes  ☐ No – Please explain in remarks below.

Was this company owned or controlled by another company on December 31, 2001?

☐ Yes – Complete 5B. Date acquired ———— Month ———— Year  ☐ No

Item 5B – NEW OWNER INFORMATION (See instructions for Coverage Review)

Name __________________________ Address __________________________

City __________________________ State _______ ZIP Code _________

CHECK ITEM Please complete the check list below BEFORE returning this questionnaire. By checking these items you will reduce the likelihood of our calling you to resolve an error or inconsistency.

In item 2A:
1. Sales is reported in thousands of dollars __________________________ Yes ☐ No ☐

In item 2B:
2. Your answer describes the number of employees, NOT company payroll __________________________ Yes ☐ No ☐

In item 3:
3. Verify that Federal funds (column 1) plus Company funds (column 2) equals Total funds (column 3) for:
   Basic research (3A.1), applied research (3A.2a), development (3A.2b), total applied research and development (3A.2c), and total costs within the company (3A.3) __________________________ Yes ☐ No ☐

IF THE ANSWER TO ANY OF THE ABOVE CHECKS IS "NO", PLEASE MAKE THE NECESSARY CORRECTIONS IN THE APPROPRIATE ITEM(S) OR PROVIDE AN EXPLANATION IN THE REMARKS SECTION.

Item 6 – CERTIFICATION – This report is substantially accurate and has been prepared in accordance with instructions.

Name of person to contact regarding this report __________________________

Signature of authorized official __________________________ Title __________________________

Area code _______ Number _______ Extension _______

Remarks (If you wish to correspond by E-mail, please place your E-mail address here.)