The Web address for submitting your data:
    http://www.qrc.com/expweb
Or, mail this form to:
    ORC Macro
    7315 Wisconsin Avenue, Suite 400W
    Bethesda, MD 20814-3202
Or, e-mail your response to:
    expweb@qrc.com
The Web password and user ID were e-mailed each institution. If
you have any questions about this or any other issue, please call:
Marge Machen of NSF at (703) 292-7786, or call the Help Line at
ORC Macro, 1-866-349-8626.

Your cooperation in returning the survey questionnaire promptly is
very important. This information is solicited under the authority of the
National Science Foundation Act of 1950, as amended. Your
response is entirely voluntary; your failure to provide some or all of the
information will in no way adversely affect your institution.

All financial data requested on this form should be reported in
thousands of dollars; for example, an expenditure of $25,342 should
be rounded to the nearest thousand dollars and reported as $25.
Where exact data are not available, estimates are acceptable. Your
estimates will be better than ours.

It is estimated that response to this survey will require 4 hours. If you
wish to comment on this burden, please contact Suzanne H. Plimpton
of NSF at (703) 292-7556, or e-mail splimpto@nsf.gov.

Scope:
This survey contains one question which requests data on current fund
expenditures by source of funds for separately budgeted research and
development (R&D). Definitions used are compatible with OMB Circular
A-21, revised August 8, 2000.

Definitions:
Research and Development (R&D). R&D for purposes of this survey is
the same as "organized research" as defined in Section B.1.b. of OMB
Circular A-21 (revised). It includes all R&D activities that are separately
budgeted and accounted for.

    Research is systematic study directed toward fuller knowledge
or understanding of the subject studied. Research is classified
as either basic or applied, according to the objectives of the
investigator.

    Development is systematic use of the knowledge or
understanding gained from research, directed toward the
production of useful materials, devices, systems, or methods,
including design and development of prototypes and processes.

Current fund expenditures. These are expenditures of funds available
for current operations. Such expenditures include all unrestricted gifts
and restricted current funds to the extent that such funds were expended
for current operating purposes.

Please circle the month in which your institution’s fiscal year begins:
Jan   Feb   Mar   Apr   May   Jun   Jul   Aug   Sept   Oct   Nov   Dec

Person who submitted this form:

<table>
<thead>
<tr>
<th>Name:</th>
<th>Telephone number:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Title:</td>
<td>E-mail:</td>
</tr>
<tr>
<td>Address:</td>
<td>Fax:</td>
</tr>
</tbody>
</table>

Date submitted:

Person who prepared this form (if different from above):

<table>
<thead>
<tr>
<th>Name:</th>
<th>Telephone number:</th>
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<tbody>
<tr>
<td>Title:</td>
<td>E-mail:</td>
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<td>Fax:</td>
<td></td>
</tr>
</tbody>
</table>
Instructions

Separately budgeted research and development (R&D) includes all funds expended for activities specifically organized to produce research outcomes and commissioned by an agency either external to the institution or separately budgeted by an organizational unit within the institution. Include research equipment purchased under research project awards from “current fund” accounts. Also include research funds for which an outside organization is a subrecipient. Exclude training grants, public service grants, demonstration projects, clinical trials, and research expenditures that are not separately budgeted. Allocate funding to the original sources whenever possible, as specified below. If this information is unknown, report the proximate funding source.

Total


b. State and local governments. Include funds for R&D (including direct and reimbursed indirect costs) from State, county, municipal, or other local governments and their agencies.

c. Industry. Include all awards for R&D (including direct and reimbursed indirect costs) from profit-making organizations, whether engaged in production, distribution, research, service, or other activities. Do not include awards from nonprofit foundations financed by industry; these should be included under “All other sources.”

d. Institution funds. Report funds, including related indirect costs, that your institution spent for R&D activities from the following unrestricted sources: general-purpose awards from industry, foundations, or other outside sources, and other institutional funds. In addition, estimate your institution’s unreimbursed indirect costs associated with externally funded R&D projects, including mandatory and voluntary cost sharing. To estimate unreimbursed indirect costs, preferably on a project-by-project basis, use your appropriate negotiated research indirect cost rate(s) multiplied by the corresponding base(s) minus actual indirect cost recovery.

e. All other sources. Include awards for R&D (including direct and reimbursed indirect costs) from nonprofit foundations and voluntary health agencies as well as from all other sources not elsewhere classified. Also include gifts from individuals that are restricted by the donor to research. Funds from foundations that are affiliated with, or granted solely to your institution, should be included under “Institution funds.” Funds for R&D received from a health agency that is a unit of a State or local government should be included under “State and local governments.”

How much of your current fund expenditures for separately budgeted research and development in the sciences and engineering (including indirect costs) came from the following sources in FY 2003?

<table>
<thead>
<tr>
<th>Source of Funds</th>
<th>Line No.</th>
<th>(1) Total (Dollars in thousands)</th>
<th>(2) What Percentage of Federal &amp; Total Funds Are Basic Research</th>
</tr>
</thead>
<tbody>
<tr>
<td>a. Federal Government</td>
<td>1110</td>
<td>$</td>
<td></td>
</tr>
<tr>
<td>b. State and local governments</td>
<td>1125</td>
<td></td>
<td></td>
</tr>
<tr>
<td>c. Industry</td>
<td>1150</td>
<td></td>
<td></td>
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<tr>
<td>d. Institution funds (sum of lines 1161 and 1162)</td>
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<td></td>
<td></td>
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<tr>
<td>(1) Institutionally financed organized research</td>
<td>1161</td>
<td></td>
<td></td>
</tr>
<tr>
<td>(2) Unreimbursed indirect costs and related sponsored research</td>
<td>1162</td>
<td></td>
<td></td>
</tr>
<tr>
<td>e. All other sources</td>
<td>1175</td>
<td></td>
<td></td>
</tr>
<tr>
<td>f. TOTAL (sum of a through e)</td>
<td>1100</td>
<td>$</td>
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</table>