7. Appendix 7: Wave 2 Paper Screener Questionnaire

Screening Questions for the Survey of 1996 and 1997 Research and Development Funding and Performance by Nonprofit Organizations

Nonprofit organizations play a key role in conducting and funding important research in the medical and health-related sciences, natural and social sciences, and engineering. The National Science Foundation is seeking your help in understanding the work of the nonprofit sector by asking you to complete this questionnaire on research and development activities undertaken by your organization.

This information is solicited under the authority of the National Science Foundation Act of 1950, as amended. Your response is entirely voluntary and your failure to provide some or all of the information will not adversely affect your organization.

Please write any address corrections on or alongside the label.

Name of person completing this questionnaire____________________________________
Title_____________________________________________________________________
Telephone Number _____________________________E-mail Address __________ @ __________
Organizations (if different from above)_______________________________________________________
Address (of new organization)_____________________________________________________________
_____________________________________________________________________________________
If your organization is different from the organization listed on the label, what is the relationship between the two organizations? ____________________________________________________________________________
_____________________________________________________________________________________

Completing this survey requires an average of 12 minutes. If you wish to comment on this burden, please contact Suzanne H. Plimpton, Reports Clearance Officer, NSF, at 703-306-1125, or email splimpto@nsf.gov.
Please return the completed survey by March 31, 1999 to:

NSF Study of Nonprofits
General Instructions

Please refer to the following definitions when responding to survey questions, even if your organization may use a different definition.

- **Research** is systematic study directed toward fuller knowledge or understanding of the subject studied. Research is classified as either basic or applied, according to the objectives of the investigator.

- **Development** is systematic use of the knowledge or understanding gained from research, directed toward the production of useful materials, devices, systems, or methods, including design and development of prototypes and processes.

- **Research and development** includes the development and use of scientific knowledge through fundamental research in the laboratory, in the field, or through experiments; clinical investigations; clinical trials; epidemiological, engineering, and demographic studies; and controlled pilot projects. Included in this definition is the preparation for publication of books and papers describing the results of the specific research and development, if carried out as an integral part of that research and development. Also included is the administration of research and development. Traineeships, if they are mainly directed to R&D, are also included.

**Science and Engineering** (S&E) includes:

- **Medical or Health-Related Sciences** including Biochemistry, Genetics, Physiology, Cell Biology/Molecular Biology, Pharmacology/Toxicology, Epidemiology, Health Care Sciences and Services, Reproduction, Growth and Development, Oncology/Pathology/Hematology, Immunology, Microbiology/Virology, Biomedical Engineering and Instrumentation, Neuroscience, Clinical Medicine, and other medical or health sciences.

- **Natural and Social Sciences** including Agricultural Sciences, Biological Sciences (non-medical), Computer Sciences, Environmental Sciences, Mathematical Sciences, Physical Sciences, Psychology, and Social Sciences.

- **Engineering** including Aeronautical and Astronautical, Chemical, Civil, Electrical, Mechanical, Metallurgical and Materials, and other engineering fields.

Science and engineering do not include law, business administration/management science, humanities, history (except research in history and philosophy of science and technology), the arts, or education (except educational psychology).
Scannable Form—This questionnaire is a scannable form. Please follow the steps below carefully when completing this questionnaire.

- Use a blue or black ink pen only.
- Do not use ink that soaks through the paper.
- Make solid marks that fall inside the response boxes.
- For each question, please choose only ONE answer.
1. **Your Organization**

Is your organization a nonprofit organization? (By nonprofit, we mean an organization classified as 501(c) by the Internal Revenue Service, filing a 990 or a 990-PF tax return form.)

___ Yes  ___ No

2. **Research Performance**

In fiscal year 1996, did your organization *conduct* any research or development in the science, engineering, or technology fields?

*Science, engineering and technology fields include: Social Sciences, Psychology, Medical and Health Sciences, Biological Sciences, Engineering, Physical Sciences, Environmental Sciences, Mathematical Sciences, Computer Sciences, and Agricultural Sciences.*

___ Yes (Continue with Question 2a)  ___ No (Skip to Question 3)

2a. Approximately how much research or development in the science, engineering or technology fields did your organization *perform* in fiscal year 1996? (Consider total costs, including both direct and indirect costs in both internally and externally funded research or development.)

___ Less than $50,000  ___ $1,000,000 - $4,999,999
___ $50,000 - $249,999  ___ $5,000,000 - $24,999,999
___ $250,000 - $999,999  ___ $25 million or more

3. **Research and Development Funding**

In fiscal year 1996, did your organization *fund* other organizations to conduct research or development in the science, engineering, or technology fields?

*Science, engineering, and technology fields include: Social Sciences, Psychology, Medical and Health Sciences, Biological Sciences, Engineering, Physical Sciences, Environmental Sciences, Mathematical Sciences, Computer Sciences, and Agricultural Sciences.*

___ Yes (Continue with Question 3a)  ___ No (Skip to NOTE below Question 3a)

3a. Approximately how much research or development in the science, engineering or technology fields did your organization *fund* to other organizations in fiscal year 1996?

___ Less than $50,000  ___ $1,000,000 - $4,999,999
___ $50,000 - $249,999  ___ $5,000,000 - $24,999,999
___ $250,000 - $999,999  ___ $25 million or more

**NOTE:** If you answered “No” to Question 2 and Question 3, you need not answer any more questions. Please return this questionnaire in the enclosed postage paid envelope. Thank you.

Others, please continue.
4. University Affiliation

Did your organization have an agreement of affiliation with any college or university in fiscal year 1996?
___ Yes  (Continue with Question 4a)  ___ No  (Skip to Question 5)

4a. Did the college or university include the activities of your organization as part of its budget in fiscal year 1996?
___ Yes  ___ No  ___ Don’t Know

6. Government Affiliation

Is your organization a unit of the Federal, regional, state, county, city, or local government?
___ Yes  ___ No

5a. Is your organization part of a public college or public university?
___ Yes  ___ No

7. Survey Coordinator

The NSF has contracted with The Gallup Organization to develop an estimate of the amount of research and development in science and engineering funded or performed by nonprofit organizations. We would like to send your organization a survey which will ask for more detailed data on the funds your organization spends on science and engineering research or development.

Please fill in the information requested below identifying the best-qualified person in your organization to provide us with this information. If this person is located at a different organization or address from what is listed on the front of this survey, please provide that organization’s name and correct address.

Name__________________________________________________________________________

Title__________________________________________________________________________

Name of Organization__________________________________________________________________________

Address______________________________________________________________________________

______________________________________________________________________________

Telephone Number________________________________________________________________________

Fax Number____________________________________________________________________________

E-mail Address__________________________

Thank you for providing us with preliminary information and a contact for your organization. Please return this form within the next four weeks in the enclosed postage paid envelope to NSF Survey of Nonprofit Organizations, The Gallup Organization Survey Processing Center, PO Box 5700, Lincoln NE 68505-9926.