

Questions and Answers

Submit your questions via the Q&A box on your screen

- You may elect to submit your question anonymously.
- For specific questions about your project, please contact a Program Director.

Next MCB Virtual Office Hours

May 10th, 2023: Faculty Early-Career Development Program (CAREER)

June 7th, 2023: Let's Talk Broader Impacts



MCB Virtual Office Hour Today's Topic:

How to Write a Great NSF Proposal

Richard Cyr - rcyr@nsf.gov

Slides and recordings of past presentations at

https://mcbblog.nsfbio.com/office-hours/2/

(Example: August 10, 2022 – Working with an NSF Program Director)





National Science Foundation

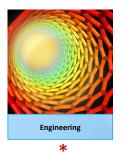
- Funding for basic research and education across all STEM disciplines since 1950.
- Supports 24% of all federallyfunded basic scientific research.
- FY23 at a glance:
 - Budget: \$10.9 billion
 - o ~39,000 proposals
 - ~11,000 competitive awards to
 ~352,000 scientists, educators
 and students
 - Overall funding rate of 28%

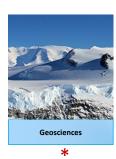
NSF Organization – 8 Directorates and 2 Offices





















New!



^{*} Fund biological research projects

NSF Merit Review Criteria

Intellectual Merit:

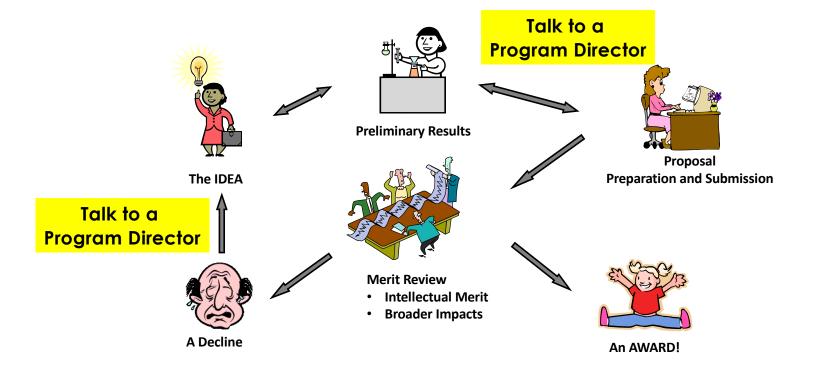
- Potential to advance knowledge
- Qualifications of the investigators
- Creativity and originality
- Organization of the ideas/experiments
- Access to resources
- Potentially transformative research?

Broader Impact:

- Promoting teaching, training, and education
- Enhancement of infrastructure for research and education
- Community resources and outreach
- Participation of underrepresented groups
- Benefits to society



Proposal Cycle from PI's Perspective





Panel Rating Categories

OUTSTANDING

- Strongest in <u>both</u>
 Intellectual merit and Broader impacts
- Most innovative and bold

HIGH PRIORITY

- Strong in <u>both</u>
 Intellectual Merit and Broader Impacts
- Innovative and bold
- Only minor issues

LOW PRIORITY

 Significant weaknesses in Intellectual Merit or Broader Impacts, or both

and/or

 Likely to have incremental impact (i.e., confirmatory work)

MEDIUM PRIORITY

 Potentially strong in <u>both</u> Intellectual Merit and Broader Impacts

but

 One or more issues dampen enthusiasm

NON-COMPETITIVE

- Seriously flawed in Intellectual Merit or Broader Impacts
 - and/or
- Missing crucial elements related to these merit criteria



How to Get Started...

- Think broadly about what basic scientific questions your research might address
- Consider what Broader Impact activities you want to propose
- Peruse the NSF website (<u>www.nsf.gov</u>) to identify likely programs
- Contact a Program Director before you submit a proposal
 - Email a one-page synopsis of your research idea (tips for synopsis)
 - Ask for feedback; we are here to help!



What Makes a Proposal Competitive? The Good

- Potential for high impact-Important, not just Interesting.
- New, original ideas
- Focused, feasible project plan
- Articulated knowledge of subject area, published relevant work
- Experience in essential methods or approaches, and/or collaborator expertise
- Sound scientific rationale
- Realistic amount of work; sufficient detail; critical approach (pitfalls and alternative hypotheses considered)
- Well written and understandable to someone not working directly in the field



Advice for Writing an Excellent Proposal More Good!

- Start early!
- Read the solicitation!
- Identify your audience
 - Balance between general and specific subject area knowledge
- Frame a big picture
- Identify significant needs, gaps, and hypotheses
- Describe the plan to address the needs, gaps, and hypotheses

- Emphasize creative or innovative aspects
- Provide proof-of-concept
 - Preliminary dataespecially if approach is new to you, or the field
- Speak with a Program Director
- Reread the solicitation



Additional Excellent Features

- Expected outcomes are described
 - Unexpected outcomes considered
- Outcomes explicitly related to original Goal(s)
- Ideally, negative results should be interpretable and meaningful

- Recognition of Reviewers
 - Easy to read
 - Neat and tidy
 - Budget is reasonable
 - All relevant and current literature is cited
 - You can (and should) suggest reviewers



Common Mistakes: Scientific The Bad

- Work is too close to what has been done before i.e., incremental advance or limited impact
- Project has too large a scope or is too narrowly focused to be exciting
- Proposed methods / research plan will not yield results that address the stated goals of the project
- Experimental / theoretical / analytical design is flawed
- Aims are interdependent
- Failure to be transparent in writing
 - Disconnect between what you are Thinking and what the Reviewer Reads
- Medically motivated
 - Careful, OK to mention disease in Broader Impacts





What You Don't Want to See in Your Reviews The Ugly

- The PI has not been very productive either during or since the Ph.D.
- The proposal is naïve / overly ambitious
- Potential pitfalls and alternate strategies are not described
- Alternate interpretation of data is ignored
- PI has failed to cite essential literature
- Necessary resources are not available, or the PI does not have demonstrated expertise
- Proposal is Strong in Intellectual Merit, but Broader Impacts are weak





Common Mistakes: Failure to Follow Guidelines More Ugly!

- Essential documents are missing
 - Departmental letter (if required)
 - Letters of collaboration
- Letters of collaboration are non-compliant
- Extraneous documents are included
- Document is not easy to read
 - Margins too narrow
 - Font size too small
 - Figures too small or low res. / legends lack detail
 - Excessive use of acronyms

- Sloppy
 - Typos, misspellings, incorrect figure placement
 - Conversion from Word to PDF is inaccurate





Some Notes on Broader Impacts

- It's not a formula
 - Do something that **interests you**, has measurable outcomes, and matches the time you are willing to devote
 - Go above and beyond what you are already paid to do
- Ask for money if you need it
- Use existing infrastructure, as appropriate Don't need to reinvent
 - But...Give, as well as take Build on something that works at your institution
 - Realize that institutions certify to support your efforts
- How will you know the activities have the intended outcome?
 - Ask for help with assessment
- See resources at Center for Advancing Research Impact in Society (ARIS);
 researchinsociety.org Sign up for June 7th VOH -



What about Medical Research?

- Biological research on mechanisms of disease in humans, including on the etiology, diagnosis, or treatment of disease or disorder, is normally not supported.
- Biological research to develop animal models of such conditions, or the development or testing of procedures for their treatment, also are not normally eligible for support.

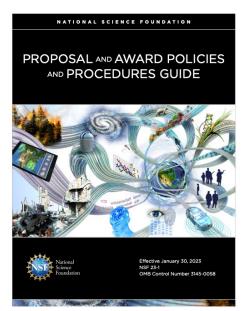
NSF Proposal & Award Policies and Preparation Guide (PAPPG 23-1)

Contact a Program Director! (send ~1-pg summary)

- However, use-inspired basic research with societal benefits (such as future implications for human health) can be supported.
- For example, research on:
 - Mechanisms of DNA damage and repair YES
 DNA repair pathway/enzyme as drug target NO
 - Fundamental questions about viral structure, replication, evolution, etc. – YES
 Therapeutic interventions against infection – NO
 - Mechanisms underlying cell motility YES
 Metastasis of tumor cells NO



requirements!



NSF 23-1: FAQ

New PAPPG 23-1 is in Effect

NSF 23-1 - effective date January 30, 2023

Summary of Changes: https://beta.nsf.gov/policies/pappg/23-1/summary-changes

- Safe and Inclusive Working Environments for Off-campus/Off-site Research:
 After April 18, 2023, BIO and GEO proposals that involve off-campus or off-site
 research must submit a plan for safe and inclusive research (PAPPG II.E.9). This
 requirement reflects NSF's efforts to foster safe and harassment-free environments
 wherever science is conducted. Feb 7th, 2023, webinar recording.
- Potential Life Sciences Dual Use Research of Concern (DURC): Proposals that could potentially be considered DURC or involve enhanced potential pandemic pathogens must self-identify and comply with US Govt. policy requirements (PAPPG II.E.6). Governed by policies released in 2014 and 2017.
- Biographical Sketch and Current and Pending Statement:
 After October 23, 2023, biosketches and C&P statements must use SciENcv format (PAPPG II.D.2.h).



Declination is a Part of the Process

- Stay Calm and Do NOT Get Discouraged!
 - Read the reviews and Panel Summary more than once
 - Ask others to interpret the reviews for you
 - Reflect on your next moves after you have had time to digest the feedback (Reviews, Panel Summary, PD Comments, Context Statement)
 - Contact your Program Director
- Resubmit after addressing significant weaknesses
 - Do you need more preliminary data?
 - What were the common themes in the reviews?
 - Is one component better than another?
 - Are there significant strengths that you can build upon for resubmission?



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- Wednesday May 10th, 2023, 2-3 pm ET
 Faculty Early-Career Development Program (CAREER; NSF 22-586)
- Wednesday June 7th, 2023, 2-3 pm ET
 Let's Talk Broader Impacts



SCIENCE HAPPENS HERE

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#NSFStories









