

NSF -72

System Name:

Research.gov (NSF-72).

System Location(s):

Research.gov is hosted by contract in Ashburn, VA. The hosting facility provides only the computer hardware, network environment, and application infrastructure for the Research.gov Portal. The data resulting from grant applications to the NSF are maintained both centrally and by individual NSF offices and programs at the National Science Foundation, 4201 Wilson Boulevard, Arlington, Virginia 22230. Files resulting from submission to USDA/CSREES are maintained both centrally and by individual USDA/CSREES offices and programs at the Cooperative State Research Education and Extension Service, 1400 Independence Avenue, SW., Washington, DC 20250.

Categories of Individuals Covered by the System:

Those who have submitted grant applications to:

- * The NSF using FastLane since October 1999,
- * The NSF using Grants.gov since June 2005,
- * USDA/CSREES through Grants.gov since October 2006.

Categories of Records in the System:

Research.gov displays information about grant applications submitted to NSF and/or USDA/CSREES as well as data necessary for applicants to these agencies to manage user access accounts and organizational records at Research.gov.

Authority for Maintenance of the System:

44 U.S.C. 3101; 42 U.S.C. 1870; National Agricultural Research Extension, and Teaching Policy Act of 1977 (NARETPA), 7 U.S.C. 3318.

Purpose(s):

Research.gov enables applicants to NSF and/or CSREES to view the status of grant application submissions to the respective agency.

Routine Uses of Records Maintained in the System, Including Categories of Users and the Purposes of such Uses:

1. Disclosure may be made to a Congressional office from the record of an individual in response to an inquiry from the Congressional office made at the request of that individual.
2. Information from the system may be disclosed to contractors, grantees, volunteers, experts, advisors, and other individuals who perform a service to or work on or under a contract, grant, cooperative agreement, advisory committee, committee of visitors, or other arrangement with or for the Federal government, as necessary to carry out their duties in pursuit of the purposes described above. The contractors are subject to the provisions of the Privacy Act.
3. Information from the system may be merged with other computer files in order to carry out statistical studies or otherwise assist NSF with program management, evaluation, and reporting. Disclosure may be made for this purpose to NSF contractors and collaborating researchers, other Government agencies, and qualified research institutions and their staffs. Disclosures are made only after scrutiny of research protocols and with appropriate controls. The results of such studies are statistical in nature and do not identify individuals.
4. Information from the system may be disclosed to the Department of Justice or the Office of Management and Budget for the purpose of obtaining advice on the application of the Freedom of Information Act or Privacy Act to the records.
5. Information from the system may be given to another Federal agency, a court, or a party in litigation before a court or in an administrative proceeding being conducted by a Federal agency when the Government is a party to the judicial or administrative proceeding.
6. Information from the system may be given to the Department of Justice, to the extent disclosure is compatible with the purpose for which the record was collected and is relevant and necessary to litigation or anticipated litigation, in which one of the following is a party or has an interest: (a) NSF or any of its components; (b) an NSF employee in his/her official capacity; (c) an NSF employee in his/her individual capacity when the Department of Justice is representing or considering representing the employee; or (d) the United States, when NSF determines that litigation is likely to affect the Agency.
7. Records from this system may be disclosed to representatives of the General Services Administration and the National Archives and Records Administration who are conducting records management inspections under the authority of 44 U.S.C. 2904 and 2906.
8. To appropriate agencies, entities, and persons when (1) the NSF suspects or has confirmed that the security or confidentiality of information in the system of records has been compromised; (2) the NSF has determined that as a result of the suspected or confirmed compromise there is a risk of harm to economic or property interests, identity theft or fraud, or harm to the security or integrity of this system or other systems or programs (whether maintained by the NSF or another agency or entity) that rely upon the compromised information; and (3) the disclosure made to such agencies, entities, and persons is reasonably necessary to assist in connection with the

NSF's efforts to respond to the suspected or confirmed compromise and prevent, minimize, or remedy such harm.

Policies and Practice for Storing, Retrieving, Accessing, Retaining, and Disposing of Records in the System:

Storage:

The information displayed by Research.gov is stored in separate systems maintained by participating agencies (i.e., NSF's FastLane and USDA/CSREES' C-REEEMS). Each agency maintains these systems separately, and maintains the original records electronically and/or in paper files.

Retrievability:

Information can be retrieved electronically using an applicant's name or identifying number. An individual's name may be used to manually access material in alphabetized paper files.

Safeguards:

Buildings are locked during non-business hours. Records are kept in rooms that are locked during non-business hours. Records maintained in electronic form are password protected.

System Manager(s) and Address(es):

For NSF, the Division Director of particular office or program maintaining such records, National Science Foundation, 4201 Wilson Boulevard, Arlington, Virginia 22230.

For CSREES, the Director of the office or program maintaining such records, United States Department of Agriculture, Cooperative Research Education and Extension Service, 1400 Independence Avenue, SW, Washington, DC 20250.

Notification Procedure:

The NSF Privacy Act Officer should be contacted in accordance with procedures set forth at 45 CFR part 613.

Record Access Procedures:

See "Notification Procedure" above.

Contesting Record Procedures:

See "Notification Procedure" above.

Record Source Categories:

Information is obtained from the principal investigator, academic institution or other applicant, peer reviewers, and others.

Exemptions Claimed for the System:

The portions of this system consisting of investigatory material that would identify reviewers or other persons supplying evaluations of NSF applicants and their proposals have been exempted at 45 CFR part 613 pursuant to 5 U.S.C. 552a(k)(5).